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Excerpts From Oral Arguments by Jaworski

Special to The New York Times Special to The New York Times WASHINGTON, July 8 — Following are excerpts from the oral argument before the Supreme Court by Leon Ja-worski, Watergate special prosecutor and James D. St. Clair, President Nixon's coun-sel in the cases of United Clair, President Nixon's coun-sel in the cases of United States of America v. Richard M. Nixon v. United States of America. The unofficial steno-graphic transcript did not identify the Justices asking questions. Their names have been provived, wherever pos-sible, from news reporters' notes. Where there is uncer-tainty, the names have been tainty, the names have been omitted.

JAWORSKI ARGUMENT

On March 1 last, a United On March 1 last, a United States District Court grand jury sitting here, returned an indictment against seven de-fendants charging various of-fenses, including among them a conspiracy to defraud the United States, and also to ob-struct justice. struct justice.

John Mitchell, one of the defendants, was a former At-torney General of the United States, and also chairman of the Committee to Re-Elect the President. Another, H. R. Haldeman, was the Presi-dent's chief of staff, another, John Ehrlichman, was As-sistant to the President for Domestic Affairs, the others were either on the President's staff or held responsible po-sitions on the re-election committee. In the course of its delib-

committee. In the course of its delib-erations, the grand jury voted unanimously with 19 mem-bers concurring, that the course of events in the for-mation and continuation of a conspiracy was such that President Nixon, among a number of others, should be identified as an unindicted co-conspirator in the bill of particulars to be filed in connection with the pre-trial proceedings. proceedings.

It was a well-kept secret until it became necessary to reveal it as a result of the President's motion to quash the subpoena, as I will indi-cate subsequently in my ar-gument gument.

the subpoenta, ats 1 will mun-cate subsequently in my ar-gument. Now, to obtain additional evidence, which the special prosection has good reason to believe is in the possession of and under the control of the President, and which it is believed by the special prose-cutor is quite important to the development of the Gov-ernment's proof in the trial in United States vs. Mitchell et al, the special prosecutor, on behalf of the United States, moved for a subpoena duces tecum. And it is the subpoena here in question. The District Court ordered the subpoena to issue, re-turnable on May 2. Now, on April 30 the Presi-dent released to the public and submitted to the House Judiciary Committee 1,216 pages [of] edited transcripts of 43 conversations dealing with Watergate. Portions of 20 of the subpoenaed conver-sations were included among the 43. Then on May 1, [he] by his counsel, filed a special appearance, a formal claim of privilege and a motion to quash the subpoena.

Now, for the United States to conduct a full and appro-priate hearing [on] a motion priate hearing [on] a motion to quash the subpoena, it be-came necessary to reveal the grand jury's finding regard-ing the President. And this was first done by the special prosecutor calling on the chief of staff, Gen. Alexander Haig, and the President's counsel, Mr. St. Clair, and advising them of what had occurred two-and-a-half months prior. And then on the following morning advis-ing Judge Sirica of what had occurred, in camera, and pointing out the necessity of this being used in connection with the arguments on a motion to quash because of their relevance and the neces-sity of these matters being made a part of the proceedtion

ings. Now, the special prose-cutor joined counsel for the Descident in urging that the cutor joined counsel for the President in urging that the matter be heard in camera, which was done. Three of the defendants had joined the special prosecutor in moving for the subpoena. All of the defendants, at the time of argument in camera to Judge Sirica, opposed the motion to quash. OUESTION: I don't see the

QUESTION: I don't see the relevancy of the fact that the grand jury indicated the President as co-conspirator to the legal issue as to the duty to deliver pursuant to the subnoene that way are been as subpoena that you are asking

subpoena that you are asking for. MR. JAWORSKI: The only relevance, Mr. Justice, lies in it being necessary to show, under rule 17(c), that there is some relevance to the ma-terial that we seek to sub-poena. poena

terial that we seek to sub-poena. QUESTION: 17(C) presup-poses the subpoena against the party. The President is not a party. He is not a de-fendant in one of these cases. JAWORSKI: That is cor-rect sir. But it was also felt that it would be necessary, in order to provide all of the links in the conspiracy, to show that the President was named as an unindicted co-conspirator. It became very important, Mr. Justice, for us to have that as a part of the proceedings so that we could use the various links in the testimony so as to show that the conversations were such as to make one admis-sible as against a co-con-spirator. spirator. STEWART: You would be

here, Mr. Jaworski, whether or not the President had been named as an indicted co-conspirator. That simply gives you another string to your bow—isn't that about it? it?

JAWORSKI: It is true that it admits some evidence that would otherwise not be ad-missible.

missible. STEWARD: Right. But even had the President not been named, you would still have subpoenaed at least part of this material. JAWORSKI: There is no question about that. QUESTION: No one yet has ever suggested that dur-ing a criminal trial, a con-spiracy trial, and some evi-

dence is offered of an out-of-court statement, of somecourt statement, or some-one who is alleged to be a co-conspirator, that it is enough for the prosecution to then show that the grand jury had named him a co-conspirator. conspirator.

JAWORSKI: No. And we don't so contend. In other words, this was in connection with showing that we have the right to this evidence. evidence.

QUESTION: I understand

QUESTION: 1 understand that. JAWORSKI: Yes, sir. QUESTION: But you do not suggest that that is all you need to show is that it? JAWORSKI: No, sir, of

JAWORSKI: NO, SH, OR course not. WHITE: You don't sug-gest that the grand jury find-ing is binding on the court or not? JAWORSKI: I do suggest that it makes a prima facie

case. BRENNAN: You don't sug-gest that your right to this evidence depends upon the President having been named as an unindicted co-conspira-tor tor.

as an unmulcied co-conspira-tor. JOWARSKI: No sir. BRENNAN: And so for the purposes of our decision, we can just lay that fact aside, could we? JAWORSKI: Yes. Primari-ly, it was in order to show a reason for the grand jury's action. There is also before this Court a motion to ex-punge the act of a grand jury in naming the President as an unindicted co-conspirator. And I was trying to lay be-fore the court the entire sit-uation that warranted that action.

action. POWELL: Mr. Jaworski, as I understand your brief, you go beyond what you have addressed so far. I think you say that the mere fact that the President was named as an unindicted co-conspirator forecloses his claim of priv-ilege.

forecloses his claim of priv-ilege. JAWORSKI: We certainly make that as one of the points which I intend to dis-cuss at a later point. POWELL: That reduces him in and of itself to the status of any other person accused of a crime? JAWORSKI: I don't say that it forecloses. What I think we suggests is that it does present a situation here that should not make the ap-plication of executive priv-ilege appropriate. We do say that. MARSHALL: But only pri-

that. MARSHALL: But only pri-ma facie. JAWORSKI: Prima facie —that is correct. But when you get to the matter, Mr. Justice Powell, of balancing interests, we do feel that that particular situation is a fac-tor that is important. And this is why we lay stress on it. on it. The

The Court's order, of course, was to deliver the originals of all subpoenaed items, as well as an index and an analysis of those items, together with tape copies of those portions of the subpoenaed recordings for which transcripts had been released to the public by the President on April 30. Now, this case presents for review the action of the lower court.

Now, may I, before 1 get to the jurisdictional points, briefly state what we con-sider to be a bird's eye view of this case. Now enmeshed in almost

Now enmeshed in almost 500 pages of briefs, when boiled down, this case really presents one fundamental is-sue: Who is to be the arbiter of what the Constitution says? Basically this is not a novel question—although the factual situation involved is, of course unprecedented

novel question—attnough the factual situation involved is, of course, unprecedented. There are corollary ques-tions, to be sure. But in the end after the rounds have been made, we return to face these glaring facts that I want to briefly review for a final answer. In refusing to produce the evidence sought by a sub-poena duces tecum in the criminal trial of the seven defendants—among them for-mer chief aides and devotees, the President invokes the provisions of the Constitu-tion. tion.

His counsel's brief is re-His counsel's prier is re-plete with references to the Constitution as justifying his position, And in his public statements, as we all know, the President has embraced the Constitution as offering his support for his refusal to his support for his refusal to supply the subpoenaed tapes.

supply the subpoenaed tapes. Now, the President may be right in how he reads the Constitution. But he may also be wrong. And if he is wrong, who is there to tell him so? And if there is no one, then the President, of course, is free to pursue his course of erroneous interpre-tations. What then becomes of our constitutional form of government? So when counsel for the

So when counsel for the

President in his brief states that this case goes to the heart of our basic constitu-tional system, we agree. Be-cause in our view, this na-tion's constitutional form of government is in serious tion's constitutional form of government is in serious jeopardy if the President, any President, is to say that the Constitution means what he says it does, and that there is no one, not even the Supreme Court, to tell him otherwise.

QUESTION: Mr. Jaworski, the President went to a court. He went to the Dis-trict Court with his notion to

court. He went to the Dis-trict Court with his notion to quash. And then he filed a cross-petition here. He is asking the Court to say that his position is correct as a matter of law, is he not? JAWORSKI: He is saying his position is correct be-cause he interprets the Con-stitution that way. STEWART: Right. He is submitting his position to the Court and asking us to agree with it. He went first to the District Court and he has petitioned in this court. He has himsefi invoked the judicial process, and he has submitted to it.

submitted to it. JAWORSKI: Well, that is not entirely correct, Mr. Justice.

tice. STEWART: Didn't he file a a motion to quash the sub-poenas in the District Court of the United States? JAWORSKI: Sir, he has also taken the position that; we have no standing in this Court to have this issue heard.

STEWART: As a matter of law—he is making that ar-gument to a court: that as a matter of constitutional law he is correct.

JAWORSKI: So that of course this court could then tional question of how he interprets the Constitution, were correct. But I— QUESTION: As a matter of law—big position is directly

QUESTION: As a matter of law—his position is that he is the sole judge. And he is asking this Court to agree with that proposition, as a matter of constitutional law.

matter of constitutional law. JAWORSKI: What I am saying is that if he is the sole judge, and if he is to be considered the sole judge, and he is in error in his inter-pretation, then he goes on being in error in his interpre-tation tation

tation. STEWART: Then this court will tell him so. That is what this case is about, isn't it? JAWORSKI: Well, that is what I think the case is about, yes, sir. BURGER: He is submit-ting himself to the judicial process in the same sense that you are, is that not so, Mr. Jaworski?

JAWORSKI: Well, I can't-BURGER: You take one position and he takes an-

position and he takes an-other. JAWORSKI: Well, Mr. Chief Justice, in my view, frankly, it is a position where he says the Constitution says this, "and nobody is going to tell me what the Constitution says." Because up to this point he says that he and he alone is the proper one to interpret the Constitution. Now, there is no way to es-cape that. Because the briefs definitely point that out, time definitely point that out, time

definitely point that out, time after time. QUESTION: I think this matter may be one of seman-tics. Each of you is taking a diferent position on the basic question, and each of you is submitting for a decision to this Court.

JAWORSKI: That may be, sir.

DOUGLAS: Well, we start with a Constitution that does not contain the words "ex-ecutive privilege." JAWORSKI: That is right,

not contain the second secon his own involvement, is also a matter that calls for the exercise of the question to which Mr. Justice Douglas alluded as one that is somewhat unusual.

Turning now to jurisdsic-tion—before the Court are the two questions of statu-tory jurisdiction the Court directed the parties to brief and

rected the parties to brier and argue. We are standing upon not only the matter that this is an appeal that properly had been in the Court of Appeals, and for that reason has been moved up here properly un-der 1254.1. We also say that

the Court has jurisdiction over the petition and cross-petition under 1254.1 because they present for review all questions raised by the peti-tion, by the Decident's exit. tion—by the President's peti-tion for writ of mandamus. And then we also say that in addition to that the All Writs Act gives this Court the juris-diction to proceed.

The Intra-Executive Issue

Now, I would like to pass to

We say that the conten-tion that there is an intra-executive dispute and for that reason this Court cannot pass upon these questions is not sound.

Before discussing the cases, however, I think it would be appropriate for us to under-take to place this in the right prospective. Let me say first that we

stand upon two bases: first, that actually the orders that that actually the orders that were entered creating the Office of the Special Prose-cutor and delineating his authority, even the original order at the time that my predecessor was acting, as special prosecutor, had the force and effect of law.

We also point to the fact that the arrangement made-with the Acting Attorney General, which the Acting Attorney General points to, with respect to the matter of independence having been discussed by him with the President — thus meaning that the President himself had approved the setting up of this particular office, and the rights and the responsi-bilities that it has under the charter. We also point to the fact charter.

charter. One of the express duties that is delegated to the spe-cial prosecutor is that he shall have full authority for investigating and prosecuting — allegations involving the President. And the delegation of authority expressly states in particular the special pros-ecutor shall have full au-thority to determine whether or not, to contest the asser-tion of executive privilege, or any other testimony or privi-lege.

lege. Now, in the instance of my appointment, unlike the appointment that had been made prior thereto, there was an amended order, and it referred to assurances given by the President to the At-torney General that the Presi-dent will not exercise his constitutional powers to ef-fect the discharge of the special prosecutor, or to limit the independence that he is hereby given. And that he will not be removed from his duties ex-cept for extraordinary im-proprieties on his part, and without the President first consulting the majority and minority leaders and the Now, in the instance of

chairman and ranking minor-ity members of the judiciary committees of the Senate and House of Representatives

QUESTION: I take it when you make reference to this, you are in effect suggesting that your position is certainly different than if a United States Attorney were prose-cuting the case. JAWORSKI: That is cor-rect, sir. I think we have what might be termed a quasi-independent status. QUESTION: Mr. Jaworski, quasi-independent in the QUESTION: I take it when

JAWORSKI: Yes, sir. For instance, the Controller of the

the Currency—he has a sta-tus somewhat similar to that. And we know that there are suits brought between the Department of Justice and

Department of Justice and the Controller. Now, I should say that it is interesting when the case of Nixon v. Sirica was be-fore the Court of Appeals, Prof. Charles Alan 'Wright, who was then arguing that case, argued with respect to the particular office of the special prosecutor: "Now, in this instance we have a divi-sion of function within the this instance we have a divi-sion of function within the executive in that my friend Mr. Cox"—referring to Arch-ibald Cox—"has been given absolute independence. It is for him to decide whom he will seek to indict."

But the President's present

But the President's present counsel in his motion to quash, as he does here—is contending to the Court that the President has the right to determine who, when and with what, information indi-viduals shall be prosecuted. QUESTION: Well, Nixon against Sirica was different in that the parties there were the grand jury—represented, to be sure, by the special prosecutor—the grand jury, which is an adjunct of the judicial branch of govern-ment, on the one hand—and the Chief Executive, on the other. And here, now that an indictment has been returned, the two parties are both the two parties are both members of the executive branch.

branch. JAWORSKI: Yes, sir, that is correct. But I don't think it is a distinction as to the sub-stance. I don't think the de-scription to which I^{*} pointed as to the independent status of the independent executor whould be any different in the Sirica case than it would be in this case.

be in this case. QUESTION: No — you are if anything more independent than Mr. Cox was under the regulations.

JAWORSKI: That is correct. sir

rect, sir. QUESTION: But that doesn't really go to the question that I am raising. JAWORSKI: Yes, sir. I real-ize that. Now, May I, how-ever, indicate just what did transpire with records to have ever, indicate just what did transpire with respect to how this order was interpreted by the President's Acting At-torney General, and also by the Attorney General-desig-nate, and also by the Presi-dent himself, and by the President's chief of staff, General Haig. [Acting Attorney General Robert H.] Bork, in hearings at a time when Congress was pressing the bill of an inde-pendent special prosecutor, "testified that "although it is anticipated that Mr. Jaworski will receive cooperation from

the White House in getting any evidence he feels he needs to conduct investiga-tions and prosecutions, it is clear and understood on all sides that he has the power to use judicial processes to pursue evidence if disagree-ments should develop." Then he further said: "I understand and it is clear to me that Mr. Jaworski can go to court and test out any re-fusal to produce documents on the grounds of confidenti-ality." And Attorney General Saxbe, then a designate, who was also present at the time that this matter was dis-cussed, and at the responsibili-ties, testified that I had the right to contest an assertion of executive privilege and stated that I can go to court at any time to determine that. Now, the President himself in announcing the appoint-

that. Now, the President himself in announcing the appoint-ment of a new independent prosecutor, stated to the na-tion that he had no greater interest than to see that the new special prosecutor had the cooperation from the ex-ecutive branch and the inde-pendence that he needs to bring about that conclusion of the Watergate investiga-tion.

bring about that concusion of the Watergate investiga-tion. The President's chief of staff at the time that this appointment was accepted— and this is a part of the rec-ord, because a letter was written at the request of Senator Hugh Scott. I [was] assured to the right to judicial process by him after he had reviewed the matter with the President and came and told me that I would have the right to take the President to court, and that these were the key words in this arrangement, and that the right would not be questioned. Thus, to argue, as has been done in these briefs, that the

be questioned. Thus, to argue, as has been done in these briefs, that the separation of powers pre-cludes the courts from enter-taining this action because it is the exclusive preroga-tive of the executive branch, not the judiciary, to deter-mine whom to prosecute, on what charges, and with what evidence, we think misses the point. What has evolved is a

prosecutorial force with certain exclusive responsibili-ties. And this is why I say that to some degree it could be described as a quasi-independent agency.

Right to Court Action

Right to Court Action Now I want to make it clear that the President at no point of course delegated to the special prosecutor the exclusive right to pass on the question of executive privi-lege or any other privilege— attorney-client privilege, or any other testimonial privi-lege. What we are merely saying is that we have the clear right to test it in this court. And this is on what we stand. Passing to the merits, we

we stand. Passing to the merits, we would say if there is any one principle of law that Marbury vs. Madison decides is that it is up to the Court to say what the law is. And this Court, of course, through the years has reaffirmed, con-sistently applied that rule. It is done in a number of cases—in Powell vs. Mc-Cormack, in the Youngstown steel seizure case, in Doe vs. McMillan, and a footnote, I

think a very important one, appears in that opinion when Mr. Justice White pointed out that "while an inquiry such as involved in the present case, because it involves two coordinate branches of gov-ernment. must necessarily coordinate branches of gov-ernment, must necessarily have separation of power im-plications, the separation of powers doctrine has not pre-vented this Court from re-viewing acts of Congress, even when, it is pointed out, the executive branch is also involved." Now, there are a number

even when, it is pointed only involved." Now, there are a number of cases that speak to that. I think one of the cases that perhaps went into greater detail, and also points out quite distinguishing features, is the Gravel case; whereas in the Gravel case; whereas in the Gravel case the Court did hold that it was appropri-ate to go into certain matters where privilege has been ex-ercised on the part of a Sen-ator, on behalf of his aide. There are two things that I believe clearly help us in that decision, and also other decisions as far as the ques-tions here involved. One is that the speech or debate clause is in the Constitution; it is written in there. And this is what was invoked. I don't find anything written in the Constitution, and nothing has been pointed, that is a writing in the Con-stitution that relates to the right of the exercise of ex-ecutive privilege on the part of the President. Another very important thing that is pointed out in

of the President. Another very important thing that is pointed out in that case is that it did in-volve an examination into wrong-doing on the part of those who were seeking to invoke the privilege. BRENNAN: Is the term "ex-ecutive privilege" an ancient one?

JAWORSKI: It has been used over a period of time, but it is not one that I find any basis for in the Constitution.

QUESTION: Are you now arguing that there is no such

arguing that there is no such things as executive privilege? JAWORSKI: No, sir. QUESTION: You think if anything it's a common law privelege? Is that your point? JAWORSKI: Yes, sir. And it has been traditionally rec-ognized and appropriately so in a number of cases as we see it. We do not think it is an appropriate one in this case. But we certainly do not for a moment feel that it has any constitutional base. BURGER: In Scheuer v.

for a moment feel that II has any constitutional base. BURGER: In Scheuer v. Rhodes I thought we held that there is a common law privilege in the executives dealing at the state level, but that it is a qualified privelege, is that not so? JAWORSKI: Yes, Mr. Chief Justice; that is exactly the point. This Court has exam-ined a number of situations. And in some situations, where military. secrets were in-volved, or national secrets of great importance, the Court has taken a good close look, and has upheld privilege. QUESTION: When you say it has taken a good, close

look-without looking at the evidence sometimes; taken a good close look at the claim, and the basis of the claim, is that what you mean? JAWORSKI: That is what I mean ver size

JAWORSKI: That is what I mean, yes, sir. QUESTION: Didn't this Court say that it did have constitutional overtones? JAWORSKI: Yes, sir, but it certainly has never placed it in the Constitution so far as I am aware of. QUESTION: That was in Kaiser Aluminum and Chemi-cal Corporation case in the Court of Claims that phrase was used? was used?

JAWORSKI: Yes, sir.

POWELL: Is it your view that there are no influences to be derived from the doc-trine of separation of powers? trine of separation of powers? JAWORSKI: What I am saying is that the separation of powers doctrine, as was pointed out in the Doe v. McMillan case, has not been permitted to stand in the way of this Court examining it from a standpoint or whether the executive privilege should be permitted or not. QUESTION: In Reynolds the Court ended up treating the assertion of privilege there as an evidentiary privi-lege but it did allude to the fact that there was a consti-tutional question, and it said he Court wasn't reaching it. JAWORSKI: On the issue of executive privilege, I should point out here, it is a very narrow one. And that is whether the President, in a pending prosecution, can withhold material evidence from the Court, merely on his assertion that the evidence involves confidential com-munications. The heart of Marshall's opinion was justly summa-

involves confidential com-munications. The heart of Marshall's opinion was justly summa-rized by the Court of Appeals in the Nixon v. Sirica case, in a tapes case, that we have talked about. "The Court was to show respect for the Presi-dent's reason, but the ulti-mate decision remained with the court." And we are not suggesting for a moment here that the matter of execu-tive privilege should not be looked into. But if the courts are the ultimate interpreters of the Constitution and can restrain Congress to operate within constitutional bonds, they certainly shouldn't be empowered any less to meas-ure Presidential claims of constitutional powers. I wanted briefly to make mention of the austion the

constitutional powers. I wanted briefly to make mention of the question that had been raised by counsel for the President that in-volves a motion to expunge the findings of the grand jury's action that the Presi-dent is to be named as an un-indicted co-conspirator along with a number of others when the pre-trial proceed-ings are gone into and a bill of particulars is being filed. And I say that the grand

of particulars is being filed. And I say that the grand jury's finding, painful as it is, must be considered as be-ing valid and sufficient to show continuation of the particular conspiracy that was charged. BURGER: Well, is that the the issue, Mr. Jaworski, or is the issue whether there can be a collateral attack? JAWORSKI: That is also another issue. But I merely whated to point out that I believe that this Court would not go into the grand jury's findings.

STEWART: Except part of the grounds on which you rest in subpecenaing this ma-terial is thef cat that the President has himself been named as a con-conspirator, an unindicted one. That's true, isn't it? And the re-sponse to that is that the President cannot constitution-ally be named as an unindict-ed co-conspirator. JAWORSKI: "I don't think it is a matter that, very frank-ly has any particular basis to it, because I don't see how this court could be asked to substitute its judgment for that of a grand jury. STEWART: Well, that is something quite different again—whether or not there was sufficient evidence before the grand jury to justify the grand jury in naming the President. That is quite diff-

ferent, and, as the chief jus-tice suggested, a collateral issue.

JAWORSKI: That is right.

JAWORSKI: That is right. DOUGLAS: I thought the heart of this case was the rights of defendants in a criminal trial to that evi-dence. It may be exculpatory and free them of all liability. JAWORSKI: Well, certainly it is true that this material, as we have pointed out in our communications to the President, may well involve exculpatory matters, and time and again pointed out we wanted them simply be-cause we felt that there were matters that needed to be developed in connection with the prosecution, but that they could well contain ex-culpatory matter. BURGER: The Brady ques-

culpatory matter. BURGER: The Brady ques-tion really lurks just in the background, does it not? That is, if you get information, whatever you get will be available to any defendant who can make a showing.

JAWORSKI: Correct, sir.

STEWART: And the ques-tion of whether or not the fendants, under the Brady doctrine, are entitled to sub-poena information and mate-rial that is not now in your rial that is not now in your possession but is in the pos-session of the President, was an issue that was left unde-cided by the District Court. JAWORSKI: That is correct, sir.

St. Clair Argument

My learned brother has approached this case, I think, from the traditional point of view-namely, this is an attempt by a special prosecutor to obtain what he thinks is desirable evidence in a crim-inal prosecution that he has the responsibility for. Not once, however, have I heard him mention what I think is really involved, at least in significant part, and that is the co-pendency of impeach-ment proceedings before the House of Representatives, and the realistic fusion that has taken place with respect to these two proceedings, and the promise of continued fusion. It is improper in our view that this case should be heard in the context it is now being heard. QUESTION: It is a political desirable evidence in a crim-

QUESTION: It is a political question here, and it was a political question in the Dis-trict Court. ST. CLAIR: Exactly. And therefore it is a nonjustici-ble issue in this and in the

able issue in this and in the

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District Court.

District Court. QUESTION: Your position is thatthe issuance of a sub-poena duces tecum is not a justiciable issue. ST. CLAIR: In this context at this time, sir. What has happened is this. As you know, on Feb. 24 a grand jury secretly named the President among others as an unindicted co-conspira-tor. That fact was not made known. On March 1 an indict-ment was returned against a number of the President's chief aides. Coincident with that, and in an open court-room, the assistant prosecu-tor—special prosecutor, hand-ed up to the judge a bag, together with a sealed letter, requesting that this material be sent over to the House of Representatives. The Presi-dent took no position regard-ing that proposal, because he considered it to be probably

dent took no position regard-ing that proposal, because he considered it to be probably appropriate, under the belief that there was nothing ac-cusatory in that material. Judge Sirica himself re-viewed the material, found nothing accusatory, and said it would therefore be quite appropriate to send this ma-terial to the House of Repre-sentatives—not realizing and not knowing that the special prosecutor had previously ob-tained a secret charge against the President and others, which was definitely accusa-tory.

which was definitely accusa-tory. BURGER: Are you suggest-ing that there was some duty on the part of the special prosecutor to disclose to the district qudge that there was this secret indicment before the judge passed on whether

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Supreme Court Justices during Watergate arguments. From left: Lewis F. Powell Jr.; Thurgood Marshall; Potter Stewart; William O. Douglas; Chief Justice Warren E. Burger; William J. Brennan Jr.; Byron R. White and Harry A. Blackmun. William H. Rehnquist is not sitting in the case. NBC News/Betty Wells

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the material should be sent to the House?

ST. CLAIR: I think it would have been quite appropriate, because the judge's decision was based on the proposition there was nothing accusa-tory; naming him as a coconspirator does anything but impair the President's posi-Representatives. That should in 'my judgment have been made known to the judge. I don't know what he would have done under those cir-cumstances.

cumstances. Now, my brother says in his brief that this material he now seeks of course will be available to the House committee and will be used to determine whether or not the President should be im-peached So this fueion is go peached. So this fusion is go-ing t ocontinue. And under the Constitution, only the legislature has the right to conduct impeachment proceedings.

The courts have been, from the history involved and from

the history involved and from the language of the provi-sions, excluded from that function. And yet the special prosecutor is drawing the Court into those proceedings, inevitably, and inexorably. No one could stand here and argue with any candor that a decision of this Court would have no impact what-so ever on the pending in-quiry before the House of Representatives concerning the impeachment of the Presthe impeachment of the Pres ident.

ident. STEWART: Well, how far does your point go? Let's as-sume that murder took place on the streets of Washington of which the President hap-pened to be one of the very few eyewitnesses. And some-body was indicted for their body was indicted for that murder. And the President was subpoenaed as a witness. Would you say he canot be subpoenaed now, because there is an impeachment inquiry on and the courts ab-solutely have to stop dead in

solutely have to stop dead in their tracks from doing their ordinary pudicial business? ST. CLAIR: I would not say that. I don't think he could be necessarily sub-poenaed. I don't think the President is subject to the process of the Court unless he so determines he would give evidence.

he so determines he would give evidence. STEWART: You are saying that the courts have to stop dead in their tracks from dodead in their tracks from uo-ing their ordinary business in any matter involving even tängentially the President of the United States if, as and

when a committee of the House of Representatives is

investigating impeachment. ST. CLAIR: No, Justice Stewart, I am not. I say it should not go forward at this time, because the subject matter being inquired before the House committee is exactly the same subject matter being involved in this argu-

ment — namely, should the President produce the tapes. QUESTION: What in those tapes involves the impeach-ment proceedings? I don't know fhat is in the tapes. I assume you do assume you do.

ST. CLAIR: No, I don't.

QUESTION: You don't know, either. Well, how do you know that they are sub-

you know that they are sub-ject to executive privilege? ST. CLAIR: Well, I do know that there is a pre-liminary showing that they are conversations between the President and his close aides aides

QUESTION: Regardless of what it is.

ST. CLAIR: Regardless of what it is.

BURGER: Mr. St. Clair, go-ing back to this murder wit-ness situation, if the Presi-dent, any President, witnessed an automobile accident, was the sole wieness, or a mur-der, as Mr. Justice Stewart suggested, you are not in-dicating that his testimony, his evidence would not be available to the Court, but merely that he cannot be subpoenaed, but might give it by deposition as several but pool deposition, as several Presidents have in the past. ST. CLAIR: I say the Presi-dent should decide as a po-

litical matter what should be made available to the House. That the Court ought not to be drawn into that decision. QUESTION: And that's fi-

nal. Nobody can do anything about it. ST. CLAIR: The House

ST. CLAIR: The House takes a different view. The Hhouse has subpoenaed, something in the neighbor-hood o 145 tapes. And that is a political decision. QUESTION: So that the House can get them, the President can get them, and the only people I know that cannot get them is the courts. courts.

ST. CLAIR: The President has not honored any of the subpoenas other than the first one issued by the Houe. So that there is a dispute in the House now between the President and the Committee on the Judiciary. It is essentially a political dis-pute. It is a dispute that this Court ought not be drawn into.

BRENNAN: You have not convinced me that we are drawn into it by deciding this case. How are we drawn the impeachment 'prointo ceedings by deciding this case?

ST. CLAIR; The impact of a decision in this case un-deniably, Mr. Justice Brennan, in my view, will not be overooked.

BRENNAN: Any decision of

this court has ripples. DOUGLAS: But as I said before the beneficiaries here are six defendants being tried for criminal charges. being And what the President has may free them completely. has Is that true? Theoretically? ST. CLAIR: But I do not believe it is before this Court at this the. What is before this Court is a prosecutor's demand for evidence. And I direct my remarks for a moment to that problem. He says that in effect we have no right to be here, that we have delegated the who, the when, and with what issues to him. We have delegated the who and the when, and pursuant to that he has in-dicted a number of people. And he has indicted them at such time as he thought an such time as he thought ap-propriate. But even he con-tends that we did not dele-gate to him what Presidential conversations would be used as evidence. That was reas evidence. That was re-served. And he concedes that he is the fact. And that is what is at issue here. Not when and who is to be in-dicted, but what Presidential communications are going to be used as evidence. And that the issue is in this case.

The Right to Challenge

ST. CLAIR: Now, my brother says I have no right to even challenge his right to be here. And I would like to deal with that.

deal with that. This is, as we have pointed out in our brief, essentially an executive department matter. Whatever may have been the arrangements be-tween the branches of the ex-ecutive with respect to evidentiary matter—and in fact there were no arrange-ments regarding evidentiary ments regarding evidentiary matters—it is not the func-tion of the Court to direct or rule what evidence will be presented to it by the executive in the executive's duty of prosecuting.

prosecuting. If this was a United States Attorney, this case would not be here, of course. It is here only because certain things were delegated to the special prosecutor. But the special prosecutor was not delegated the right to tell the President

what of his conversations are going to be made available as evidence.

QUESTION: If the United States Attorney brings a prosecution, and in the course of that prosecution he subpoenas material in the custody of the President, what happens?

S8. CLAIR: The President says to the Attorney Gener-al, "I am not going to pro-duce this material."

QUESTION: No. It's the United States Attorney sub-poenaing it under your hypo-thetical case.

ST. CLAIR: In my view the President would instruct the Attorney General to instruct the United States Attorney to withdraw his motion.

QUESTION: And the United States Attorney says, "I'm not going to do that because I am sworn to uphold jus-tice."

ST. CLAIR: Then you would have a new United States Attorney. Well, I'm being a little facetious.

QUESTION: I'm being seri-ous, because I think—

ST. CLAIR: I think the United States Attorney, with all respect, would and should

be removed from that case. QUESTION: By whom? ST. CLAIR: The executive power of the Government is not vested in the United States Attorney. It is vested on one man and that man is the President of the United States.

QUESTIONS: By statute it vested—law enforcement vested in the Attorney is is General.

ST. CLAIR: Yes. But that statute which my brother cites in his brief does not deprive, nor could it deprive, the President of his constitutional authority to be the chief law enforcement officer. He shall take care to see that the laws are enforced. The executive power is vest-ed in him, in one man. And the Attorney General is nothing but a surrogate for the President of the United States.

QUESTION: Your argument is a very good one as a mat-ter of political science, and it would be a very fine one as a matter of constitutional and probably statutory law except hasn't your client dealt himself out of that argument by what has been done in the creation of the just pointed out that the special prosecutor? You have just pointed out that the special prosecutor is quite different from the United States Attorney.

ST. CLAIR: Right. Perhaps with respect to everything except—the President did not delegate to the special prosecutor the right to tell him whether or not his confidential communications should be made available as evidence.

The right to order the Pres-ident to give up confidential communications. That was communications. not delegated.

not delegated. A special prosecutor, with the power that my brother suggests he has, is a consti-tutional anomaly. We have only three branches, not three-and-a-third or three-and- a-half or four. There is only one executive branch. And the executive power is vested in a President. Now if for political reasons the Presfor political reasons the Pres-ident wants to dole out some of those powers, he may do so, and has done in this case. But he cannot vest jurisdic-tion in a court that otherwise the court would not have. Nor should the court accept jurisdiction.

Rebuttal argument of Philip A. Lacovara, esq., on behalf of the United States

We have never argued, and of course there would be no basis for arguing, that the mere grand jury finding, whether on the face of the mere indictment or in the grand jury's minutes, that the President or any of the other 18 unindicted co-conspirators were members of this con-

were members of this con-spiracy would itself be enough at trial to warrant the judge's admission of extra-judicial statements given by those co-conspirators. We are not making that contention here. The issue arises because a motion to quash a subpoena was filed prior to trial. And the basis for that motion was a claim of executive privilege, a gov-ernmental privilege that ex-ists for the benefit of legiti-mate governmental processes.

mate governmental processes. We countered that this President, as difficult as it was to say this—not because of the evidence but because of the evidence but because of the inherent awkwardness of it—this President is not in a position to claim this pub-lic privilege, for the reason that a prima facie showing can be made that these conversations were not in pur-suance of legitimate governmental processes or the lawful deliberation of the pubthe lic's business. These conver-sations, as we showed in our 49-page appendix, and as the grand jury alleged, were in furtherance of a criminal con-

spiracy to defraud the United States and obstruct justice.

We did not rely, even be-fore Judge Sirica, and we do not rely here, merely on fact that the grand jury the made this determination. We do submit that for purposes of a pre-trial consideration of a subpoena that is challenged on grounds of executive priv-ilege, we are not confronted with the need that we will be confronted with a trial, which we fully intend to discharge, of showing by evidence to the trial judge that the Presi-dent and the other co-con-spirators 'were members of

POWELL: Mr. Lacovara, let's back up a minute. Do you concede that an incumb-ent President of the United States could not be indicted States could not be indicted and tried for a crime?

LACOVARA: No ir.

POWELL: You do not. Do you think he could be? LACOVARA: We have not

expressed a position on that, Mr. Justice Powell. POWELL: Let's assume for the moment that he could

the moment that he could not be. Would you still argue that the grand jury had hte power or the right, and if so by virtue of what? LACOVARA: Yes, we— POWELL: To name his as an unindicted co-conspirator. LACOVARA: The issue of Preidential indictability does not determine the issue which an incumbent Presiwhich an incumbent Presi-dent can be named as an unindicted co-conspirator by a grand jury. We have shown in our

brief why even persons who do have some constitutional immunity — and counsel ar-gues that implicity under the framework of the Con-stitution, the President should stitution, the President should have an implicit immunity from prosecution—even such persons can be and frequent-ly are named by grand juries as unindicted co-conspirators. The practical arguments that may militate in favor of a judicial recognition of some unique immunity for the President alone—not for cir-

President alone—not for cir-cuit judges, not for Supreme Court Justices, not for mem-bers of Congress, but the President alone it may be President alone it may be held at some later date is immune from prosecution----but that by no means sug-gests the answer to the ques-tion here. And the grand jury elected not to test, that issue. POWELL---The thing that I was wondering about is that there is only one President, and executive power is vested in him. And I do wonder whether or not the precedents you set with respect to other

people would vest the authority in a grand jury, either on its own motion or be-cause of what some prose-cutor suggested, while the cutor suggested, while the President is in office, to name him as an unindicted co-con-spirator. With grand juries sitting all over the United States, and occasionally you find a politically motivated prosecutor — that's a rather far-reaching power, if it exists exists.

LACOVARA: It is, Mr. Justice, and there is no doubt about it. We are conscious of the delicacy of the issue. We have suggested ,however, that although there is some conceivable opportunity for abuse, our judicial system, our democratic system is based on several fundamental propositions, one of which is that grand juries usually are not malicious. Even pros-eoutors cannot be assumed to be malicious. We also asto be mailcious. We also as-sume, as this Court regularly holds in First Amendment cases dealing with public of-ficials, that we have a resili-ent society where people can be trusted to sort out truth from falsehoods. We have a robust debate

robust debate. I submit to you, sir, that just as in this case a grand jury would not lightly accuse the President of a crime, so, too, the fear that, perhaps without basis, some grand jury somewhere might maliciously accuse a President of a crime is not necessarily a compelling reason for saying compelling reason for saying that a grand jury has no power to do that. I think the system may be vibrant enough to deal with that. And Ithink the inherent dig-nity of the President office of any incumbent provides him with a notable check against with a notable check against being defeated, or as my colleague says, impeached by the action of a grand jury.

the action of a grand jury. Thsi is perhaps the most notorious event, notorious case in recent times. When the grand jury's action was disclosed, I venture to say that although it was a diffi-cult time for all concerned, including the prosecutors as well as other coursel and the well as other counsel and the country—the President has not been displaced from of--fice, he still is President, he still functions in accordance with his Constitutional powers.

BRENNAN: Mr. Lacovara, BRENNAN: Mr. Lacovara, you have only a very few minutes. Are you going to address Mr. St. Clair's open-ing argument that the pen-dency of the House Judiciary impeachment inquiry either should lead the Court to con-clude that this whole busiclude that this whole busi-

ness before us is a nonjusticiable matter, therefore, necessarily, that Judge Sir-ica's order should be quashed. therefore, Or, in any event, that be-cause of the possible effect cause of the possible effect of a decision on the issue presented, upon the impeach-ment inquiry, that the Court should stay its hand. LACOVARA: That was to be my last point sir and t

LACOVARA: That was to be my last point, sir, and I will make it right now. The notion that because there is concurrently under way an impeachment inquiry before the House of Repre-sentatives, that somehow makes this a nonjusticiable political question is, we think, a remarkable notion which is a remarkable notion which is a remarkable notion which is not supported by sound con-titutional law or by any of the decisions of this Court, and, indeed, I submit that to the extent that the Court has discretion in the matter and discretion in the matter, and although this Court has now been given discretionary certiorari power, district courts have no such option, it would not even be a wise exercise of discretion for this Court to stay its hand. This case before the Court

is not a request for an opin-ion between two Congres-sional committees as to who has jurisdiction over a par-ticular bill. It's not even a request for a dispute be-tween Cabinet officers, or the President and a Cabinet of-ficer, over what proper exec-utive policy ought to be. This is a criminal proceed-

ing, a Federal criminal case against six defendants. A sub-

against six defendants. A sub-poena has been issued to obtain evidence for use at the trial, which is scheduled to begin on Sept. 9. The Court cannot escape the fact that this is a trial of tremendous national import-ance, but a trial that was brought to head without re-gard to the impeachment in-quiry. This is an indepen-dent, separate constitutional process that is under way, and a traditional, ordinary, prosaic remedy —, a sub-poena — has been utilized to obtain evidence for that trial. trial.

There is some debate about whether the evidence is critical to our prosection. I noted in JUSTICE REHN-GUIST'S opinion a few weeks ago, in Michigan v. Tucker, 5-5 he echoed, or presaged, perhaps, the same point that JUDGE SIRICA made, that it's really the obligation of the prosection to present all of the material evidence for the jury, for the fact-finder

to pass upon. That's what this case invloves.