D. C. Form No. 1	and the second s	LE OF CASE		1344		ATTORNEYS			
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		va.			78i(a)(2) a	ind 78ff(s	a): T.1	8.	
1. OSBORN ANDREAS - Cts. 1,2,3 and h thru 21, incl.				U.S.C., Sec. 2 - Unlawfully, by					
			use of t			e mails & means &			
2. SFERO FURLA - Cts. 1.2.3 and L thru 21, incl.				instrumentalities of intersta					
The control of the state of the				commerce & the facilities of					
3. PAUL HEISCHUBER - Cts. 1,3,4 thru 21, incl.				The same of the sa	the American Stock Exchange effecting transactions in the				
		A CHR A STATE OF THE STATE OF T	St. F. St.						
4. ROBERT NESS - Gt. 1 only.				registered common stock of					
Control of the Contro	A STATE OF THE PARTY OF THE PAR	AND DESCRIPTION OF THE PROPERTY OF THE PROPERT	21 incl						
5. MARK ROLLAND - Counts 1,2,3 and 4 thru 21, incl.					Corp., by creating actual & apparent active trading in				
6. MARIO	TROMBONE - Cts. 1	and 3			such securi				
. g _ T. 15.	U.S.C., Secs. 776	(a) & 77x; T.16	B, U.S.C., Se	c. 2	price there				
Unlawf	ully using & causi	ng to be used i	means & ins	truments:	purchase. (-	
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steek	sina esteme to de	fraud. (Sign 4	thru 21, inc	1	NAME OR RECEIPT NO.	REC.	DI	SB.	
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15/71 = Mar 17/71 - Sper	<u>lo Trombone - Fine</u> ro Furla Fined \$3	\$7,500.			,			14	
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DATE			PROCEEL	DINGS	· _ ~	and the same of th		2	
6-1-67	Filed Indictme	ent,							
6/20/67	MARK ROLLAND - Pl							Sec	
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	limits extended t								
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6/20/67	SPERO FURLA - Pleads not guiltybail fixed at \$1,000. Def't. may be released the depositing \$100. cash (10%) the balance a personal recognizance bond. Bail limits								
	extended to Illin 6/21/67 to give	ois, Kansas, Wi	Lsconsin, I	ndiana a	nd New York. I	Paroled w	ntill les	00.	
6/20/67	OSBORN ANDREAS		ller heal d	'dwad -d				-	
5/20/01									
	upon depositing \$100. cash (10%) the balance a personal recognizance bond. On condition the defendant deposit his passport with the U.S. Atty. by 4:00FM 6/23/6								
	Bail limits extended to Continental United States on the conditions set forth on								
	the stenographic r								
	1014	2011						7545	
	Adjourned to 8/	20/67 for motio	ons as to e	ach defe	ndant. MANSFII	ELD, J.		-	
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67 Cr.509

DATE .	PROCEEDINGS
6/23/67	MARIO TROMBONE: Pleads not guilty - Ordered fingerprinted - R.O.R Adj'd. to 8/28/67 for motions. MANSFIELD, J.
6/21/67	ROBERT NESS: Barry Ivan Slotnick Esq. admitted Pro Hac Vice. Defendant pleads not guilty - ordered fingerprinted - R.O.R. Adjourned to 8/28/67 for motions. MANSFIELD.
X6X8XX6 X X	RUBERTXNESS XXXEXIBAXHEEXEXXABRAHENNEAXBYXEREEX Error,
62167	ROBERT NESS - Filed Notice of Appearance by Barry Ivan Slotnick, Esq., 15 Park Row, NYC (233-5390).
6-22-67	SPERO FURIA - Filed Notice of Appearance by Shea, Gallop, Climenko & Gould, Esqs., (Sheldon D. Camhy), 330 Madison Ave., NYC. (Mo 1 - 3200)
6-22-67	OSBORN ANDREAS - Filed Notice of Appearance by Strasser, Spiegelberg, Fried & Frank, Escs (Leon Silverman), 120 Broadway, NYC. (Wo h - 6500)
6-22-67	MARK ROLIAND - Filed Notice of Appearance by Shea, Gallop, Climenko & Gould, Esqs., (Sheldon D. Camly), 330 Madison Avenue, NYC. (Mo 1 - 3200).
6-22-67	PAUL HEISCHUBER - Pleads Not Guilty - Def't ordered fingerprinted and released on own recognizance, Adj'd to 8/28/67 for motions. MANSFIELD, J.
6/22/67	P. HEISCHMUBER- filed notice of appearance of Kostelanetz and Ritholz Esqs. 52 Wall St NYC. 10005 Tel. #Ha-2-4030.
6-22-67	MARK ROLIAND - Filed Affidavit and Order extending the deft's bail limits. MANSFIELD, J.
6-22-57	SPERO FURLA - Filed Affidavit and Order extending the deft's bail limits. MANSFIELD.J.
6/30/67	O. ANDREAS:- Filed Persoanl recognizance bond in the amount of \$1,000. with 10% to be deposited with the Clerk of the U.S. Dist. Ct. SDNY as security Receipt. 53980. date 6/20/67.
6/30/67	S.FURIA; filed personal recognizance bond in Amt. \$1,000. with 10% to be deposited with the Clerk of the U.S. Didt. Ct. SDNY as security receipt #53996 dated 6/20/67
6/30/67	M. ROLLAND: Filed personal B recognizance bond \$ 10,000, with 10% to be deposited with Clerk of the U.S. Dist. Ct. SDNY. as security. Receipt. 53995 dated 6/20/67.
7-27-67	SPERO FURLA) Each deft. plead guilty (Attorney present to cts 1 & 2 only MARK ROLLAND) pre-sentence Investigations ordered. Sentences adjud until 5-27-67. Bail Continued Cooper, J.
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	09 Page 3 67 Cr. 509
DATE	PROCEEDINGS SPERO FURLA:
1-2-68	Filed affidavit consent & order, that the bail limits are extended to include the continental United States. 1-3-68. MOTIEY, J. (mailed notice)
12-68	ROLLANDFiled affdvt, consent & order the bail limits are extended to include the continental United States 1-3-68. MOTLEY, J. (mailed notice)
7-31-68	SPERO FURIA Filed consent order extending bail limits to include the continental Untied States, to Greece for a period of 45 days commencing 8-5-68. Deft is to abide by provisions of bail bond except as indicated & shall be available in the third district within 48 hours if deemed necessary by the U.S. Atty. COOPER, J.
11-1-68	MARK ROLLAND Filed affdvt and order extending the deft's bail limits. COOPER, J.
4-3-69	MARK ROLLAND: Filed consent & Order that the bail limits as prescribed in the deft's bail bond executed on June 20, 1967 be and they hereby are extended to include the continental United States and travel to and from and throughout the countries of Western Europe, inclusive of the United Kingdom, France, Spain, Portugal, Italy, Switzerland and Greece for a period of 40 days commencing on April 2, 1969 etc. (mailed notice) WYATT, J.
4-15-69	OSBORN ANDREAS-MARKED OFF TRIAL CALENDAR. DEFT DECEASED. MOTLEY, J.
2-5-70	Filed Order and Affidavit for Defendant SPERO FURLA, the defendant having made application for enlargment of his bail limits to permit him to travel beyond the S.D. of N.Y. (mail notice) WEINBERG, J.
5-8-70 7-13-70	Filed Order and Affidavit, enlarging bail limits, Europe, Romiania, for a period of 45 days. Order signed by Judge Croake. 5-8-70. (notice Mail OSBORN ANDREAS - Entered and filed nolle prosequi. MURPHY, J.
4-7-71	HEISCHUBER) Each deft. Pleads -GUILTY- to ct. 3 (atty present). Pre-sentence TROMBONE) report ordered. Sentence 6-15-71 at 9:30 A.M.
	NESS - Deft. Pleads -GUILTY - to ct. 1. Pre-sentence report ordered. Sentence
	6-15-71 at 9:30 A.M.
	6-15-71 at 9:30 A.M. All defts released on their own recognizance. COOPER, J.
IPR 8 0 197 IPR 2 6 197	6-15-71 at 9:30 A.M.
	6-15-71 at 9:30 A.M. All defts released on their own recognizance. COOPER, J.
IPR 8 0 197 IPR 2 6 197 6-15-71	All defts released on their own recognizance. COOPER, J. ROBERT NESS - Filed Judgment - Def't sentenced on count 1 for a period of one(1)YEAR. Execution of sentence is suspended. Defendant is placed on probation for TWO(2)YEARS, subject to the standing probation order of this court. COOPER, J. MARIO TROMBONE - Filed Judgment (#) - Def't sentenced on count 3 for a period of ONE(1)YEAR and FINED \$7,500.00. Execution of the prison sentence is suspended. Defendant is placed on probation for ONE(1)YEAR, subject to the standing probation order of this Court. The fine of \$7,500.00 is to be paid in 60 days or the defendant is to be committed until the fine is paid or he is otherwise discharged according to law. Count 1 is dismissed on montion of the defendant's counsel with the consent of the Government.

DATE	PRÔCEEDINGS
6-17-71	DAIR HETGONYDED DISTANCE
	PAUL HETSCHUBER - Filed Judgment - Deft sentenced to ONE(1)YEAR on count 3. Execution of sentence is suspended. Defendant is placed on
	probation for a period of ONE(1)YEAR, subject to the standing probation
9	order of this Court. Counts 1 and 4 throught 21 inclusive are dismissed
	on motion of the defendant's counsel with the consent of the Government.
	COOPER.J.
<u>.</u>	
7-20-71	Filed notice of motion for stay of execution of sentence and modification of terms of probation.
7-20-71	Filed memo-endorsed on motion for stay., "Motion granted upon consent of the Fout."
1-10-17	(mailed notice) COOPER, J.
7-20-71.	Robert Ness- Filed Order signed by Judge Bonsal, dtd.7/1/71 for
	transfer of jurisdiction to the Nothern Dist. of Ill. and Order
	from Northern Dist. of Ill. accepting jurisdiction of transfer.
9-1-71	MARIO TROMBONE-Filed notice of motion for reduction of sentence and affidavit. COOMER, J.
9-23-71	MADTO MDOMPONII 8:3 1
7-23-11	TITO CLASS SOCIAL TOTAL TOTAL TOTAL TOTAL TITO CLASS TITO CLASS TOTAL TO
	imposition of sentence is suspended pending determination the within motion to reduce semecne." (m/n) COOPER, J.
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9-17-71	SPERO FURIA-Filed Judgment # (atty present) It is adjudged that the imposition of prison sentence on counts 1 and 2 is suspended. Defendant is placed on probation for a period of ONE(1)YEAR, to run
	67 Cr 729, subject to the standing probation order of this Court.
	Special condition of probation being that the defendant is not to do business with or advise the public on the sale or purchase of secur-
	itiesAND- Defendant is FINED \$2,500 to cover counts 1 and 2. Fine to be paid within NINETY(90)DAYS. Defendant is not to be committed for
	non-payment of fine. Counts 3 thru 21, inclusive, are dismissed on motion of defendant's counsel with the consent of the Government.
9-17-71	MARK ROLLAND-Filed Judgment # (atty present)It is adjudged that the imposition of prison sentence on counts 1 and 2 is suspended.
	Defendant is placed on probation for a period of ONE(1) YEAR, to run
	consecutively to the probation imposed on indictment 67 Cr 729, subject to the standing probation order of this Court. Special condition of
	probation being that the defendant is not to do business dealing in
	or advising the public on the sale or purchase of securitiesAND-
<u> </u>	Defendant is FINED \$5,000 to cover counts 1 and 2. Fine to be paid
	within NINETY(90)DAYS. Defendant is not to be committed for non- payment of fine. Counts 3 thru 21, inclusive, are dismissed on motion
	of defendant's counsel with consent of the Government. LASKER, J.
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DATE	PROCEEDINGS
,10-21-71.	Mario Trombone- Filed 2nd memo. endorsed by Judge Cooper, dtd.10-20-71 For good and sufficient cause shown, *********** Accordingly, we direct that the sentence heretofore imposed be revised so as to reflect the sole change here authorized, to wit, the reduction of the fine to \$1,000. payable as follows: \$500. on or before Dec.31,1971; \$500. payable on or before April 1, 1972. The defendant is to stand committed if fine is not paid. So Ordered: Cooper, J. (mailed notice) (see file)
	Filed Amended Judgment-It is adjudged that the defendant is guilty as charged and convicted, and the Judgment dated 6-15-71 is amended as Follows: It is adjudged that the defendant is sentenced on count 3 for a period of ONE(1) YEAR and FINED \$1,000.00. Execution of prison sant. is suspended. Defendant is placed on probation for ONE(1) YEAR, subject subject to the standing probation order of this Court. The fine of \$1,000. is to be paid as follows: \$500. on or before 12/31/\$500. on or before April 1,1972 or the defendant is to be committed until fine is paid or he is otherwise dischaged according to law. COOPER, J.
12-14-71	M. Roch AND: Fine nighted satisfied a intered in money
4-11-72	M. Roch AND: Fine myrled satisfied a intered in money protogrant book. Fine martial satisficationed entered in money judgment book.
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