

CRIMINAL DOCKET  
UNITED STATES DISTRICT COURT

67 CRIM. 509

D. C. Form No. 100 Rev.

TITLE OF CASE	ATTORNEYS
UNITED STATES	XXXXXXXXX T. 15, USC, Secs. 78i(a)(2) and 78ff(a); T. 18, U.S.C., Sec. 2 - Unlawfully, by use of the mails & means & instrumentalities of interstate commerce & the facilities of the American Stock Exchange effecting transactions in the registered common stock of <del>Defendant</del> : Pentron Electronics Corp., by creating actual & apparent active trading in such security, & raising the price thereof, to induce its purchase. (Cts. 2 & 3) T. 18, Sec. 371, U.S. Code. Conspiring so to do. (Ct. 1) * *
1. OSBORN ANDREAS - Cts. 1,2,3 and 4 thru 21, incl.	
2. SPERO FURLA - Cts. 1,2,3 and 4 thru 21, incl.	
3. PAUL HEISCHUBER - Cts. 1,2,4 thru 21, incl.	
4. ROBERT NESS - Ct. 1 only.	
5. MARK ROLLAND - Counts 1,2,3 and 4 thru 21, incl.	
6. MARIO TROMBONE - Cts. 1 and 3	
* * - T. 15, U.S.C., Secs. 77b(a) & 77x; T.18, U.S.C., Sec. 2 Unlawfully using & causing to be used means & instruments of transportation in interstate commerce & the mails by sending <sup>&amp; causing</sup> to be sent confirmations for the sale of Pentron stock in a scheme to defraud. (Cts. 4 thru 21, incl.)	

STATISTICAL RECORD	DATE	NAME OR RECEIPT NO.	REC.	DISB.
J.S. 2 mailed ✓	12/14/71	ROLLAND	5000 -	
	12/17/71	U.S. Treas		5000 -
	12/27/71	TROMBONE	500 -	
J.S. 3 mailed #1, 3, 4, 6	12/18/71	U.S. Treas		500 -
Coord. # 2, 5	1/5/72	Furla	2,000 -	
VICTIM Comp. # 40128	1/7/72	U.S. Treas.		2,000 -
Title	3/10/72	Furla	500 -	
Sec.	3/14/72	U.S. Treas.		500 -
	4/11/72	M. Trombone	500 -	
	4/14/72	U.S. Treas.		500 -

6/15/71 - Mario Trombone - Fined \$7,500.  
9/17/71 - Spero Furla - Fined \$2,500.  
9/17/71 - Mark Rolland - Fined \$5,000.

DATE	PROCEEDINGS
6-1-67	Filed Indictment.
6/20/67	MARK ROLLAND - Pleads not guilty, bail fixed at \$10,000. Defendant may be released upon depositing \$1,000. cash (10%) the balance a personal recognizance bond on condition def't. deposit his passport with the U.S. Atty. by 4:00PM 6/23/67. Bail limits extended to Illinois, Kansas & New York. Paroled until 4 PM, 6/21/67 to give bail. MANSFIELD, J.
6/20/67	SPERO FURLA - Pleads not guilty bail fixed at \$1,000. Def't. may be released upon depositing \$100. cash (10%) the balance a personal recognizance bond. Bail limits extended to Illinois, Kansas, Wisconsin, Indiana and New York. Paroled until 4:00PM 6/21/67 to give bail. MANSFIELD, J.
6/20/67	OSBORN ANDREAS * Pleads not guilty bail fixed at \$1,000. Defendant may be released upon depositing \$100. cash (10%) the balance a personal recognizance bond. On condition the defendant deposit his passport with the U.S. Atty. by 4:00PM 6/23/67. Bail limits extended to Continental United States on the conditions set forth on the stenographic record. PAROLED until 4:00PM today to give bail. MANSFIELD, J.
	Adjourned to 8/28/67 for motions as to each defendant. MANSFIELD, J.
	((( OVER )))



DATE	PROCEEDINGS
	SPERO FURLA:
1-2-68	Filed affidavit consent & order, that the bail limits are extended to include the continental United States. 1-3-68. MOTLEY, J. (mailed notice)
1-2-68	ROLLAND Filed affdvt, consent & order the bail limits are extended to include the continental United States 1-3-68. MOTLEY, J. (mailed notice)
7-31-68	SPERO FURLA Filed consent order extending bail limits to include the continental United States, to Greece for a period of 45 days commencing 8-5-68. Deft is to abide by provisions of bail bond except as indicated & shall be available in the third district within 48 hours if deemed necessary by the U.S. Atty. COOPER, J.
11-1-68	MARK ROLLAND Filed affdvt and order extending the def't's bail limits. COOPER, J.
4-3-69	MARK ROLLAND: Filed consent & Order that the bail limits as prescribed in the def't's bail bond executed on June 20, 1967 be and they hereby are extended to include the continental United States and travel to and from and throughout the countries of Western Europe, inclusive of the United Kingdom, France, Spain, Portugal, Italy, Switzerland and Greece for a period of 40 days commencing on April 2, 1969 etc. (mailed notice) WYATT, J.
4-15-69	OSBORN ANDREAS-MARKED OFF TRIAL CALENDAR. DEFT DECEASED. MOTLEY, J.
2-5-70	Filed Order and Affidavit for Defendant SPERO FURLA, the defendant having made application for enlargement of his bail limits to permit him to travel beyond the S.D. of N.Y. (mail notice) WEINBERG, J.
5-8-70	Filed Order and Affidavit, enlarging bail limits, Europe, Romania, for a period of 45 days. Order signed by Judge Croake. 5-8-70. (notice Mail)
7-13-70	OSBORN ANDREAS - Entered and filed nolle prosequi. MURPHY, J.
4-7-71	HEISCHUBER) Each def't. Pleads -GUILTY- to ct. 3 (atty present). Pre-sentence TROMBONE ) report ordered. Sentence 6-15-71 at 9:30 A.M.
	NESS - Deft. Pleads -GUILTY- to ct. 1. Pre-sentence report ordered. Sentence 6-15-71 at 9:30 A.M.
	All defts released on their own recognizance. COOPER, J.
APR 30 1971	Filed Transcript of Proceedings, dated July 27, 1969
APR 26 1971	Filed Transcript of Proceedings, dated June 20, 1969
6-15-71	ROBERT NESS - Filed Judgment - Def't sentenced on count 1 for a period of ONE(1)YEAR. Execution of sentence is suspended. Defendant is placed on probation for TWO(2)YEARS, subject to the standing probation order of this Court. COOPER, J.
6-15-71	MARIO TROMBONE - Filed Judgment (# ) - Def't sentenced on count 3 for a period of ONE(1)YEAR and FINED \$7,500.00. Execution of the prison sentence is suspended. Defendant is placed on probation for ONE(1)YEAR, subject to the standing probation order of this Court. The fine of \$7,500.00 is to be paid in 60 days or the defendant is to be committed until the fine is paid or he is otherwise discharged according to law. Count 1 is dismissed on motion of the defendant's counsel with the consent of the Government. COOPER, J.

DATE	PROCEEDINGS
6-17-71	PAUL HEISCHUBER - Filed Judgment - Def't sentenced to ONE(1)YEAR on count 3. Execution of sentence is suspended. Defendant is placed on probation for a period of ONE(1)YEAR, subject to the standing probation order of this Court. Counts 1 and 4 through 21 inclusive are dismissed on motion of the defendant's counsel with the consent of the Government. COOPER, J.
7-20-71	Filed notice of motion for stay of execution of sentence and modification of terms of probation.
7-20-71	Filed memo-endorsed on motion for stay, "Motion granted upon consent of the Govt." (mailed notice) COOPER, J.
7-20-71.	Robert Ness- Filed Order signed by Judge Bonsal, dtd. 7/1/71 for transfer of jurisdiction to the Northern Dist. of Ill. and Order from Northern Dist. of Ill. accepting jurisdiction of transfer.
9-1-71	MARIO TROMBONE-Filed notice of motion for reduction of sentence and affidavit. COOPER, J.
9-23-71	MARIO TROMBONE- filed memo-endorsed on motion for reduction., "The imposition of sentence is suspended pending determination of the within motion to reduce sentence." (m/n) COOPER, J.
9-17-71	SPERO FURLA-Filed Judgment # _____ (atty present) It is adjudged that the imposition of prison sentence on counts 1 and 2 is suspended. Defendant is placed on probation for a period of ONE(1)YEAR, to run <del>consecutively</del> consecutively to the probation imposed on indictment 67 Cr 729, subject to the standing probation order of this Court. Special condition of probation being that the defendant is not to do business with or advise the public on the sale or purchase of securities. -AND- Defendant is FINED \$2,500 to cover counts 1 and 2. Fine to be paid within NINETY(90)DAYS. Defendant is not to be committed for non-payment of fine. Counts 3 thru 21, inclusive, are dismissed on motion of defendant's counsel with the consent of the Government. LASKER, J.
9-17-71	MARK ROLLAND-Filed Judgment # _____ (atty present) It is adjudged that the imposition of prison sentence on counts 1 and 2 is suspended. Defendant is placed on probation for a period of ONE(1)YEAR, to run consecutively to the probation imposed on indictment 67 Cr 729, subject to the standing probation order of this Court. Special condition of probation being that the defendant is not to do business dealing in or advising the public on the sale or purchase of securities. -AND- Defendant is FINED \$5,000 to cover counts 1 and 2. Fine to be paid within NINETY(90)DAYS. Defendant is not to be committed for non-payment of fine. Counts 3 thru 21, inclusive, are dismissed on motion of defendant's counsel with consent of the Government. LASKER, J.
	<p>— next —</p> <p>— Page —</p>

DATE

PROCEEDINGS

10-21-71. Mario Trombone- Filed 2nd memo. endorsed by Judge Cooper, dtd. 10-20-71 For good and sufficient cause shown, \*\*\*\*\* Accordingly, we direct that the sentence heretofore imposed be revised so as to reflect the sole change here authorized, to wit, the reduction of the fine to \$1,000. payable as follows: \$500. on or before Dec. 31, 1971; \$500. payable on or before April 1, 1972. The defendant is to stand committed if fine is not paid. So Ordered: Cooper, J. (mailed notice) (see file)

10-20-71 Filed Amended Judgment-It is adjudged that the defendant is guilty as charged and convicted, and the Judgment dated 6-15-71 is amended as follows: It is adjudged that the defendant is sentenced on count 3 for a period of ONE(1) YEAR and FINED \$1,000.00. Execution of prison sent. is suspended. Defendant is placed on probation for ONE(1) YEAR, subject subject to the standing probation order of this Court. The fine of \$1,000. is to be paid as follows: \$500. on or before 12/31/71 \$500. on or before April 1, 1972 or the defendant is to be committed until fine is paid or he is otherwise discharged according to law.  
COOPER, J.

12-14-71 M. ROHLAND: Fine marked satisfied & entered in money judgment book.

4-11-72 Fine marked satisfied and entered in money judgment book.