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Date // MAY 92 HRP 92-

18 Nov 1960

MEMORANDUM FOR: Deputy Director (Plans)

SUBJECT:

Letter from Hugh S. Cumming, Jr., Department of State

Transmitted for your signature is a proposed reply to the letter of 25 October 1960 from Hugh S. Cumming, Jr., Department of State, in which Mr. Cumming requested information concerning Americans living in Bloc countries who might be called "defectors."

S. H. Horton Acting Chief Counter Intelligence Staff

Attachment

F Document Number

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COPY OF 1371-447

for FOIA Review on JUN 1976

for FOIA Review on JUN 1976

2 21 Nov 1960

The Honorable Hugh S. Cumming, Jr. The Director of Intelligence and Research Department of State Washington 25, D.C.

Dear Hugh:

Please refer to your letter of 25 October 1960 and my reply of 3 November in which you were advised that our files were being searched for information concerning Americans living in Bloc countries who might be called "defectors." It is hoped that the attached list with brief information on each will meet your needs.

In addition to those appearing on your list, there is included information on Virginius Frank COE and Maurice Hyman HALPERIN. While these individuals have not renounced their American citizenship or declared themselves in any way, both are employed by the Bloc countries in which they new reside and are capable of supplying useful information to the governments of those countries. They are accompanied by their wives.

There have been several instances of persons who might have been called "defectors" at one time but have since returned to the United States apparently disenchanted. We have not listed them.

Although we have confined our research to the relatively recent period acting that your list deals with these cases occurring during the last eighteen months, I do wish to mention Alfred K. STERN and his wife, Martha Dedd STERN. They fled from Mexico

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to Czechoslovakia on Paragnayan passports in 1957. Both are under indictment in the United States District Court, Southern District of New York, for espionage activities. They reside in Prague and Mrs. STERN makes contributions to various Communist and Soviet publications.

18 nov 60

Sincerely yours,

RICHARD M. BISSELL, JR. Deputy Director (Plans)

Attachment

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SECRET

LIST OF AMERICAN "DEFECTORS"

BLOCK, Morris Defected July 1959

The following information is SECRET:

Horris BLOCK, a machinist and shipyard worker, was born in Hew York City on 30 March 1920. His wife, Follie BLOCK, an office worker, was born in New York City on 6 March 1911. Married in 1945 the BLOCKs resided in the area of New York City until 1949 when they made a trip to Poland. In 1957 Morris BLOCK traveled to the USSR to attend the Sixth World Youth Festival. At the close of the Festival BLOCK was one of a group of Americans to travel to Communist China. In the summer of 1958 BLOCK attempted unsuccessfully to travel to the USSR using an altered passport. Accompanied by his wife and adopted daughter he made enother attempt to reach the USSR in July 1959 and was successful. In December of 1959 a Ukramian newspaper published a letter written by BLOCK in which he severaly criticized life in the United States and detailed his own history of unemployment and alleged "porsention" by the Fill after his return from China. The letter also stated that BLOCK intended to live in the Soviet Union.

The following information is SECRET:

Formerly Secretary of the International Monetary Fund and Director of International Finance, U. S. Treasury Department. Virginius Frank COE is reported to be currently employed as an economist in the Chinese Communist State epparatus. It will be recalled that in 1948 Elizabeth HENTLEY. an admitted former Soviet csydonage agent, testified before the Genuittee on Un-American Activities. United States Fouse of Representatives, that Frank COE was a member of a Soviet csydonage group headed by Hathan Gregory SILVEEMASTER, which was active in Washington, D. C., in the carly 1960's. According to our records, COE and his wife, Buth Dyans COE are residing in Peking, Ghina at the present time, Prior to the fall of 1953, the GEE resided in New York City.

CCE, Virginius Frank Traveled to China c 1958 DAVIS, Bruce Frederick Defected August 1960

DUBOIS, David & David G. DUBOIS. True name, David Graham MC CAENS Traveled to China o February 1959

DUTKANICZ, Joseph Defested July 1950 The following information is UNCLASSIFIED:

A coldier from Los Angeles, California with the United States Army in Gormany, Bruce Frederick ENVIS mes reported to have defected on 19 August 1950 and to have asked for asylum in the USSR. Army officials are reported to have stated that DAVIS had been absent without leave since 19 August 1960 when his car was found on the border in northern Bavaria between East Germany and West Germany. Prior to his defection DAVIS had served in the Army for four years and nine months. On 22 October 1960 the Soviet press carried a statement by DAVIS according to which be defected to East Germany on 19 August 1960 because of doubts that the U.S. Government was goniumely pursuing a peaceful policy. DAVIS mentioned his decision to repudiate his U.S. citizenship and expressed hope that he will obtain political refuge in the USSR, continue his education, live and work among Soviet people. DAVIS is the son of Hrs. Dorothy TALEERT of Scotsdale, Arizona. He was born in Rome, New York, o 1936.

The following information is SECRET:

Born on 9 March 1925 in Seattle, Mashington, David Graham MC CANNS, who also uses the name of David DEBOIS, is the eon of Shadrach T. MC CANES (deceased) end Shirley Grahem DUBOIS. His step-father is the well-known Kegro leader and writer, William Edward Durghardt DUEOIS, who in February 1959, at the age of nicety-two, traveled with his wife to the USSR and to Communist China. MO GANNS was issued a 0.S. passport on 4 February 1959 and it is assumed that MC GANAS travoled to Commist China at approximately the sens time, A memorandum from the Federal Eureau of Investigation directed to the Department of State on 18 December 1959 indicated that MC CANNS was attending Poking University and that he planned to remain at the University for about three years. A lator report indicated that MC CAMPIS would remain in China after the completion of his studies.

The following information is SECRET:

On 16 July 1959, Joseph DUFKANICZ, a Sergeant with the United States Armed Forces was reported missing with his family from his post in West Communy. On

23 July 1960, the Soviet-news agoncy Tass reported that DUTRANICZ had cought asylum in the USSR. On 25 August 1950, United States military authorities in West Berlin encounced that BUTKANICZ had been absent without leave since 6 July 1960 and that the Army had no confirmation of the report that he had defected to the Soviets, or that he was in Moscow. DUTRANICZ, who was born in Poland around 1927, was takan to Germany during World War II. After being liberated by the American Army he immigrated to the United States and was then drafted into the Army. His address in the United States was given as Tujanea. California. We are informed that DUTKANICZ has had difficulties with his wife and that she reported that he had relatives in the USSR and that he had admitted that he was a Communist and that he had associated with German Nationals who were Communists.

The following information is UNCLASSIFIED:

A New York Times article of 14 July 1959 stated that Sorgeant Ernie F. FLETCHER was balieved by the United States Army authorities to have defected to Communist East Garmany. According to this article, Soviet Army Ecadquarters in East Eerlin issued a statement on 29 June 1959 indicating that FIETCHER had asked for political asylum in East Carnany ... "Facts on File, World News Digest," gives the date of FLETCHER's defection as 8 June 1959 and his home as Covington,

FLETCHER, Ernie F. Defected June 1959

HALPERIN, Maurice Hyman Travoled to the USSR in October 1958

Kentucky,

The following is SECRET:

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On 15 July 1960 Maurice Hyman HALPERIN and his wife. Edith Frisch HALPERIN applied at the United States Fabassy in Moscow, USSR for a renewal of their passports. HALPERIN 1s reported to have stated that he had been in the USER since December 1958 as a visiting professor of the Social Sciences Division of the USSR Academy of Solences on a contract which expires in 1951. In 1948 Elizabeth EENTLEY, an edmitted former Scriet capionage egent, stated that she had become acquainted with HALFERIN in the latter part of 1952 through arrangements made by Jacob GOLO3, a known Soriot conionage agent, She further stated that during the time HALFERIN was employed by the Office of Strategio Services in Washington, D. C., ho cupplied GOLOS with information to which ho had access in his office, In lats 1953 HALPFRIN loft

the United States for Mexico after refusing on constitutional grounds to tell the U. S. Senate Internal Sub-Committee whether he was ever a member of the Generalist Party. In 1958, following the efforts made by the Government of Mexico to deport a number of American Communists, MALPERIM and his wife went to the USSR where they now reside.

JONES, Sgt. (fmu) Defected Mid-Hay 1960

MARITN, William Hamilton MITCHELL, Bernon Ferguson Defected June 1960 The following information is SECRET:

Air Intelligence Report Humber 1430223 of 9 August 1960 stated that Sergeant (fnu) JONES, born in 1926, Kentucky, Ohio, (sic) was observed by border guards crossing into the Soviet Zone of Germany near the "Village of Obersuhl." Reportedly JONES wanted to become acquainted with Communism through personal experience and he was quoted as asying. "If I find out that Communism is goed, I will stay, otherwise, I will return." JONES was also reported to have stated that he was married and that he had two ohildren.

The following information is UNCLASSIFILD:

William Ramilton MARTIN and Bernon Ferguson MITCHELL of the National Security Agency, Ft. Heads, Maryland, loft Leurel, Maryland, where they were both residing on 24 June 1960, estensibly to drive to the West Coast to see their families. When they failed to return to their jobs at the end of their vacation period (11 July 1960), it was learned that they had gone to Mexico and thenes to Cubs where they had obtained transportation to the USSR. On 6 September 1960 MARTIN and MITCHELL appeared before a televised press conference in the House of Journalists in Moscow, and in a scathing denouncement of the United States, gave their reasons for leaving their country and for seeking Soviet citizenship. The two man were hired by the National Scourity Agency in 1957. Both had previously cerved in the United States Navy where they had become friends. Shortly bafors his flight from the United States, MITCHBLL had had three one-hour sessions with a psychiatriot. During these sessions MITCHELL roportedly discussed Ma "damineering" father, his "overly-protective" mother and his affairs with both mon and women. MARTIN was born in Columbus, Georgia on 27 May 1931. His parents now reside at Filensburgh,

STOREL

Washington, MITCHFIL was born in San Francisco, Galifornia on 11 March 1929, and his parants now reside at Eureka, Galifornia.

Born 18 October 1939 in New Orleans, Louisiana, Lee

The following information is SECRET:

OSWALD, Lee Henry Defected October 1959

> Hanry OSWALD joined the United States Marines at the age of seventeen because he did not want to be a "burden" to his mother who was widowed prior to his birth. While in the Marines, OSMALD, a Private First Class, became a radar operator and had fourteen nonths service in Japan and the Philippines. About a year before his discharge from the Marines OSMALD began to teach himself to read and speak Russian, After receiving an honorable discharge from the Marines on 3 September 1959 OSWALD visited his mother in Waco, Texas for about three days and then departed. A note written to his mother from New Orleans stated that he had booked passage to Europe and that he was doing comething he felt he must do. Shortly thereafter he appeared at the United States Embassy in Moscow and renounced his U. S. citizenship, giving as his reason the plight of the American Negro and U. S. "imperializa" abroad. O.S.ALD aoknowledged mail addressed to him at the Hotel Metropole in Moscow in 1959; however, he has failed to do so in 1960. OSWALD is reported to have stated that regardless of any material shortcomings he sees in the USSR, he will never return to the United States.

RICCIARDELLI, LAbero Defected July 1959

The following information is UNCLASSIFIED:

According to "Fests on File, World News Digest," Libero RICCIARDELLI, ex-U.S. Air Force Major and veteron of fifty World War II boshing missions. said in letters issued to the Soviet press on 19 July 1959 that he had requested Soviet citizenship for himself, his wife and three children. HICCIARDELLI, who was from Meedham, Massachusetts was reported to have stated that a trip to the USSR had convinced him that "Germanism is the savier of himself." An article superring in the 3 August 1959 iosue of the magazine <u>Herromeck</u> (pages 19 and 20), pointed out that RICCIARDELLI had been engaged in ceveral unsuccessful business ventures and that in January 1959 he had "sold hib \$15,000 Florida house, his Danish modern furniture, and his Gadillao and told noighbors he was taking his wife and three children to a new job in Barbados." The <u>Newsweek</u> article added, "That was the last that anyone heard of RICCIARDELLI until he turned up in Moscow and started lankasting the U. S."

SLOBODA, Vladimir 0. Defected August 1960 The following information is UNCLASSIFIED:

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Specialist Fifth Class Vladimir O. SLOBODA, was born in Poland in 1927 on territory which was attached to the Ukranian Republic of the USSR in 1939. In 1943. at the age of 15, he was deported to Germany and allegedly wandered in various European countries until 1952 when he entered a displaced persons camp at _____ Frankfurt/Main, Germany. In 1953 he entered the United States Army as a displaced person in Brossen, Germany, under a special not permitting aliens to join the U. S. Army for a minimum of a five year period after which they would be entitled to acquire U. S. citizenship. Prior to serving with a military intelligence group in Mest Germany, SLOBODA recoived training at Ft. Bragg, North Carolina and at Ft. Meade, Maryland. In 1958 he became an American citizen. On 2 August 1950 SLOBODA crossed the border into East Cormany and asked for asylum in the Soviet Union. On 28 August SLOBODA appeared on a Moscow telovision broadcast and stated that an attache of the American Embassy in Hoscew had helped the United States "got spies into the Soviet Union disguised as tonrists, delegates and diplomate." A dehunoiation of U. S. intelligence, allegedly written by SLOFODA, appeared in Soviet press releases and was broadcast over Soviet radio networks. 3103001's twenty-nine year old British-born wife, Lillion SLOBODA, who new lives in Halifar, England, with their three children has stated that prior to his defection, SLOBODA had "lost a lot of money gambling and that he had been very depressed."

The following information is CONFIDENTIAL:

A plastics expert employed by the Rand Development Corporation of Cleveland, Chio, Robert Edward WEESTER traveled to the USOR on business in connection with the American Finibition which was hold in Moscow in the summer of 1959. At the close of the exhibition

WLBSTPH, Nobert Edward Defected September 1959

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SEGIEL.

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MEESTER disappeared "on a trip." On 19 October 1959 WEESTER appeared at the American Exbansy in Messow and stated that he had been granted Soviet citizenship and that he wished to remain in the Soviet Union. He has since changed his mind and is attempting to return to the United States as a Russian inseigrant. Born in Tiffin, Ohio, on 23 October 1928, WEESTER served in the United States Havy during World War II. His wife and two ohildren reside in Cleveland. WEESTER allegedly has had marital difficulties and in Mesoow became involved with a married woman by whom he is reported to have had a child.