CHARLES McC. MATHIAS, JR. SIXTH DISTRICT, MARYLAND

COMMITTEES: JUDICIARY DISTRICT OF COLUMBIA

### Congress of the United States House of Representatives

Washington, D.C.

May 31, 1966

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Mr. Harold Weisburg Hyattstown, Maryland

Dear Note bung:

My secretary informed me of your recent telephone call requesting a copy of the 1959 bill which established a code of ethics for the executive and legislative branches of our Government.

Unfortunately, I was able to secure only one copy from the Library of Congress, however, if you wish additional copies they will glady photograph the pages for sixty cents a page.

If I can be of any further assistance to you, please do not hesitate to get in touch with me.

With all best wishes.

Sincerely,

Charles McC. Mathias, Jr.

CM/apc

Encl.

# S. 658

### IN THE SENATE OF THE UNITED STATES

JANUARY 23, 1959

Mr. Javits (for himself and Mr. Keating) introduced the following bill; which was read twice and referred to the Committee on Labor and Public Welfare

## A BILL

To establish a code of ethics for the executive and legislative branches of the Government.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 DECLARATION OF POLICY
- 4 Section 1. One of the most vital concerns of a free
- 5 representative government is the maintenance of moral and
- 6 ethical standards which are above cause for reproach and
- 7 which warrant the confidence of the people. These stand-
- 8 'ards must be practical; government is and should be fairly
- 9 representative of the people who elect it. The people are
- 10 entitled to expect from their public servants a standard above
- 11 that of the market place, for such public servants are en-

trusted with the welfare of the Nation. Some conflicts of interest are clearly wrong and should be proscribed by 3 sanctions in the criminal law. Some are composed of such diverse circumstances, events, and intangible and indirect 4 concerns that only the individual conscience can ever serve as a practical guide. But there are many possibilities of conflict lying in that shadowland of conduct for which guidance would be useful and healthy, but for which the criminal law is neither suited nor suitable. For matters of 10 such complexity and close distinctions the Congress finds 11 that a code of ethics is desirable for the guidance and pro-12 tection of Federal officers and employees, setting forth in 13 general terms the standards of conduct reasonably to be 14 expected of them.

### GENERAL RULE

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16 SEC. 2. No public officer or employee should have any
17 interest, financial or otherwise, direct or indirect, or engage
18 in any business, transaction, or professional activity, or incur
19 any obligation of any nature whether financial or moral,
20 which is in substantial conflict with the proper discharge of
21 his duties in the public interest; nor should any public officer
22 or employee give substantial and reasonable cause to the
23 public to believe that he is acting in breach of his public
24 trust.

### SPECIFIC STANDARDS

- 2 SEC. 3. In addition to the general rule set forth in
- 3 section 2, the following specific standards are hereby
- 4 established:
- 5 (a) No officer or employee of the executive branch of
- 6 the Government, Member of Congress, or officer or employee
- 7 of the legislative branch of the Government should accept
- 8 other employment which will tend to impair his independence,
- 9 of judgment in the exercise of his official duties.
- 10 (b) No officer or employee of the executive branch of
- 11 the Government, Member of Congress, or officer or employee
- 12 tof the legislative branch of the Government should accept
- 13 employment or engage in any business or professional activity
- which will tend to involve his disclosure or use of confidential
- 15 information which he has gained by reason of his official
- 16 position or authority.
- (c) No officer or employee of the executive branch of
- the Government, Member of Congress, or officer or em-
- 19 ployee of the legislative branch of the Government should
- 20 disclose confidential information acquired by him in the course
- 21 of his official duties or use such information for other than
- <sup>22</sup> official purposes.
- (d) No officer or employee of the executive branch of the
- <sup>24</sup> Government, Member of Congress, or officer or employee

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- 1 of the legislative branch of the Government should use or
- 2 attempt to use his official position to secure unwarranted
- 3 privileges or exemptions for himself or others.
- 4 (e) No officer or employee of the executive branch of
- 5 the Government should engage in any transaction as repre-
- 6 sentative or agent of the United States with any business
- 7 entity in which he has a direct or indirect financial interest
- 8 that might reasonably tend to conflict with the proper dis-
- 9 charge of his official duties.
- 10 (f) An officer or employee of the executive branch of
- 11 the Government, Member of Congress, or officer or employee
- 12 of the legislative branch of the Government should not by
- 13 his conduct give reasonable cause for belief that any person
- 14 can improperly influence him or unduly enjoy his favor in
- 15 the performance of his official duties, or that he is affected by
- 16 the kinship, rank, position, or influence of any person or
- 17 political party.
- 18 (g) An officer or employee of the executive branch of
- 19 the Government should abstain from making personal invest-
- 20 ments in enterprises which he has reason to believe may be
- 21 directly involved in decisions to be made by him or which
- 22 will otherwise create substantial conflict between his official
- 23 duty and his personal interest.
- 24 (h) An officer or employee of the executive branch of
- 25 the Government, Member of Congress, or officer or employee

- 1 of the legislative branch of the Government should endeavor
- 2 to pursue a course of conduct which will not give reasonable
- 3 cause for belief that he is likely to violate his trust.
- 4 (i) No officer or employee of the executive branch of
- 5 the Government employed on a full-time basis, nor any firm
- 6 or association of which an officer or employee is a member,
- 7 nor corporation a substantial portion of the stock of which is
- 8 owned or controlled directly or indirectly by such officer or
- 9 employee, should sell goods or services to any person, firm,
- 10 corporation, or association which is licensed or whose rates
- 11 are fixed by a Federal agency in which such officer or em-
- 12 ployee serves or is employed.
- 13 (j) Any officer or employed of the executive branch
- 14 of the Government, Member of Congress, or officer or em-
- 15 ployee of the legislative branch of the Government having
- 16 a financial interest, direct or indirect, having a value of
- \$10,000 or more, in any activity which is subject to the
- 18 jurisdiction of a regulatory agency, should file with the
- 19 Comptroller General a statement setting forth the nature of
- 20 such interest in such reasonable detail, and in accordance
- 21 with such regulations as shall be prescribed by the Comp-
- 22 troller General. As used herein, the term "regulatory
- 23 agency" shall include such agencies as shall be designated
- 24 by the Comptroller General, which list shall be published
- 25 in the Federal Register as soon as practicable.

VIOLATIONS

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SEC. 4. The Attorney General shall receive complaints 2 3 concerning violations of this Act by officers and employees 4 of the executive branch of the Government and he may 5 render advisory opinions with respect to the alleged violations under such procedures as he shall determine. He may also refer such complaints or such requests for opinion to the Public Advisory Committee on Ethical Standards, appointed in accordance with section 6 of this Act. He 9 may report his own findings and recommendations, or those 10 of the Advisory Committee which he adopts and approves, 11 to the officer or agency having the power of removal or 12 other discipline over the officer or employee involved in 13 14 the complaint.

### CIVIL ACTIONS

SEC. 5. The Attorney General may cause civil actions 16 17 to be instituted in the appropriate United States district court 18 for the recovery of money or property received or expended 19 by any officer or employee in the executive branch of the 20 Government in violation of any law or regulation concerning 21 breach of his public trust in the performance of his official 22 duties, and the district courts of the United States shall have 23 jurisdiction of any proceedings so instituted.

1	PUBLIC ADVISORY COMMITTEE ON ETHICAL STANDARDS
2	SEC. 6. (a) The Attorney General is hereby author-
3	ized and empowered to establish a Public Advisory Com-
4	mittee on Ethical Standards insofar as they apply to officers
5	and employees of the executive branch of the Government.
6	(b) The Advisory Committee shall, but only at the
7	request of the Attorney General—
8	(1) consider any complaints concerning violations
9	of this Act by or involving officers and employees of
10	the executive branch of the Government, make de-
11	terminations thereon, and report its recommendations
12	to the Attorney General;
13	(2) render to the Attorney General an advisory
14	opinion as to whether the facts and circumstances in a
 15	particular case, concerning any such officer or employee
16	of a Federal agency, may constitute a violation of this
17	Act;
18	(3) advise and assist any Federal agency in estab-
19	lishing rules and regulations relating to possible con-
20	flicts between private interests and official duties of
21	present or former officers and employees; and
22	(4) make recommendations for revisions in the
23	code of ethics and other legislation relating to the con-
24	duct of officers and employees of the United States in
25	the performance of their official duties.

2 administrative, or appointive duties. Each member shall

3 serve without compensation but shall be reimbursed for

4 expenses actually and necessarily incurred by him in the

5 performance of his official duties.

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JANUARY 23, 1959

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