

March 14, 1964

**SECRET**

**MEMORANDUM FOR: PPT - Miss Frances G. Knight**

**FROM: SCA - Abba P. Schwartz**

**SUBJECT: Military Personnel Who May Have Defected  
to Communist Countries or Areas**

The Oswald case highlighted the necessity of maintaining up-to-date "lookout cards" in the files of the Passport Office for persons who may have defected to Communist countries or areas or redefected. Subsequent to the Oswald incident, I requested the Department of Defense to furnish this Office with identifying information on military personnel in this category. Information with respect to these military personnel has now been received from all three services and copies are attached.

On the basis of the attached information, please bring up-to-date the "lookout cards" of the Passport Office.

**Attachments:**

1. Letter dated February 6, 1964 (Bartino to Schwartz)  
Confidential
2. Memorandum dated February 3, 1964 from Department  
of the Air Force to Bartino - Confidential
3. List of Actual and Potential Defectors - USAF -  
Confidential
4. Letter dated January 15, 1964 (Bartino to Schwartz)  
Secret
5. Memo dated January 7, 1964 (To Bartino from US Army)  
Confidential
6. List of

GROUP 5  
Declassified following  
removal of classified  
attachments.

~~SECRET~~

-2-

6. List of non-registrates Korean conflict
7. List of U.S. Army defectors to Communist-bloc nations (Confidential)
8. Memorandum to Assistant General Counsel (Dispower) from US Navy dated December 24, 1953 (Secret)
9. List of actual and potential defectors among personnel presently or formerly connected with the Naval Establishment (Secret)

SCA:APSchwartz:jm

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March 14, 1964

**SECRET**

**MEMORANDUM FOR: FPI - Miss Frances C. Knight**

**FROM: ECA - Abba P. Schwartz**

**SUBJECT: Defectors or Re-defectors to Communist  
Countries or Areas**

On the basis of the attached information, please bring up-to-date the "lookout cards" of the Passport Office, if lookout cards do not already exist for these individuals.

Effective immediately any requests for passport service by or on behalf of the individuals whose names are included in the attachments, or any other individual who appears to have defected to a Communist country or area, shall be brought to my attention before any action is taken on the request for passport service.

**Attachments:**

1. Resume on Libero Ricciardelli (Secret)
2. Resume on Robert Edward Webster (Secret)
3. Resume on William Hamilton Martin (Secret)
4. Resume on Bernice P. Mitchell (Secret)
5. Resume on Marilyn Dorothea Murrel (Secret)
6. Resume on Victor Morris Hamilton (born Mindell) (Secret)
7. Resume on Lotty Jane Myers (Limited Official Use)
8. Resume on Shirley Dubinsky (Confidential)
  - a. Copy of Mrs. Dubinsky's letter dated September 13, 1961

SCA:APSchwartz:jm

GROUP 5 - Declassified following  
removal of classified attachments.

**SECRET**

Session Exhibit No. 948

ATTACHMENT B

QUESTION 13

Did any other governmental agency or department at any time request that the Department of State place a look-out card in the passport file for Lee Harvey Oswald or request that such a card be removed or modified in any manner? Did any other governmental agency or department at any time forward information to the Department of State which might reasonably have caused the Department to prepare, modify or remove a look-out card for Lee Harvey Oswald? Did the Department of State itself develop information which might reasonably have caused it to prepare, modify or remove a look-out card for Lee Harvey Oswald? If the answer to any of these questions is "YES", please give details, including copies of all correspondence or other written papers of any kind. If in your opinion any of the foregoing information received by the Department of State or developed by it would have justified the preparation, modification or removal of a look-out card for Lee Harvey Oswald but the appropriate action was not taken, please inform the Commission why such action was not taken.

(A) Did any other governmental agency or department at any time request that the Department of State place a lookout card in the passport file for Lee Harvey Oswald or request that such a card be removed or modified in any manner?

ANSWER - No

(B) Did any other governmental agency or department at any time forward information to the Department of State which might reasonably have caused the Department to prepare, modify or remove a lookout card for Lee Harvey Oswald?

ANSWER - No. Reports from Navy, FBI and CIA were either inconclusive or contained no additional information to warrant preparation of a "lookout card" without a specific request. No such request was made.

(C) Did the Department of State itself develop information which might reasonably have caused it to prepare, modify or remove a lookout card for Lee Harvey Oswald?

ANSWER - Yes. The information from Moscow, beginning in October 1959, indicating that Oswald desired to renounce his citizenship and to acquire Soviet citizenship, was sufficient basis for the preparation of a lookout card



for use until the expatriation question was resolved. The passport file shows that a refusal sheet (see question 4) was prepared on March 25, 1960 at the same time an Operations Memorandum was drafted to the American Embassy at Moscow. The Operations Memorandum which was approved and mailed on March 28, 1960 stated in part:

"An appropriate notice has been placed in the lookout card section of the Passport Office in the event that Mr. Oswald should apply for documentation at a post outside the Soviet Union."

It is noted that Oswald did not leave the Soviet Union to apply for documentation.

The refusal sheet should have led to the placement of a lookout card in the ordinary course of business. At that time, such cards were prepared in the Clearance Section of the Passport Office.

A present review of the passport file tends to indicate that a lookout card may not have been prepared and filed. This opinion is based upon the following grounds:

- (1) No such card has been located.
- (2) Under standard operating procedures in effect in March 1960, a file number "130" should have been placed on the refusal sheet immediately preceding the name on the index line on the right margin of the sheet when the card has been made. No such file number appears on the sheet.
- (3) The passport file contains a record stamp of a "FT/RCL (lookout files)" search made on August 2, 1961, which reports "No Lookout (Refusal) file record" located on that date (see the reverse side one of Foreign Service Despatch 29 of July 11, 1961).

There is no evidence or information contained in the file to indicate that any action was taken to remove from the lookout card file any card which may have been filed pursuant to the refusal sheet.

The procedure to have a lookout card removed from the file is to mark the refusal sheet "disregard" and to send the case to the Clearance Section with a notation to remove the card. The refusal sheet in the passport folder of Lee Harvey Oswald is not marked "disregard." The reason for this possible failure to take this administrative action is not apparent from the file.

In addition, a lookout card for Oswald should have been prepared in June 1962, when he received a repatriation loan. In the promissory note which he signed for the loan he stated, in accordance with 7 Foreign Affairs Manual §423.6-5, that:

"I further understand and agree that after my repatriation I will not be furnished a passport for travel abroad until my obligation to reimburse the Treasurer of the United States is liquidated."

The purpose of the lookout card would have been to ensure Oswald's compliance with this commitment.

On receipt of notice of the loan from the Embassy in Moscow, the Department's procedures provided that Miss Leola B. Burkhead of the Revenues and Receipts Branch of the Office of Finance should have notified the Clearance Section in the Passport Office of Oswald's name, date and place of birth. If the Passport Office received only the name and not the date and place of birth of a borrower, it would not have prepared a lookout card under its established procedures because of lack of positive identification. (Among the Passport Office's file of millions of passport applicants, there are, of course, many thousands of identical names.) Mr. Richmond C. Keeley was the Chief of the Revenues and Receipts Branch of the Office of Finance and Mr. Alexander W. Maxwell was Chief of the Clearance Section. If the notice was received in the Clearance Section it would have been delivered to the Carding Desk for preparation of a lookout card on Oswald.

It appears, however, that such a lookout card was not prepared. It may have been that the Finance Office did not notify the Clearance Section of Oswald's loan. One reason for this might have been the Finance Office's lack of information concerning Oswald's date and place of birth. On the other hand, the Finance Office may have notified the Clearance Section of Oswald's name only, in which case this Section would not have prepared a lookout card under its procedures. Since Oswald began repaying the loan in installments immediately after his return to the United States, it is also possible that the Office of Finance decided that it was unnecessary to pursue the matter further. In any event, Oswald's loan was repaid in full on January 29, 1963, five months prior to his application for a new passport.

ATTACHMENT B

QUESTION 14

Do any procedures exist which are not dependent upon the existence of a look-out card and which are designed to alert the Department to the fact that an individual who has applied for a passport or in some other manner indicated an intent to travel abroad might be cause for special action of some kind? Have any such procedures been in existence at any time since August 1959? If such procedures were or are now in existence, please describe them.

(A) Do any procedures exist which are not dependent upon the existence of a lookout card and which are designed to alert the Department to the fact that an individual, who has applied for a passport, or in some other manner indicated an intent to travel abroad, might be cause for special action of some kind?

ANSWER - Yes, such procedures exist in the Passport Office of the Department of State.

(B) Have such procedures been in existence at any time since August 1959?

ANSWER - Yes, such procedures were in existence for many years prior to this date.

(C) If such procedures were, or are now in existence, please describe them.

ANSWER - There are two broad categories of review procedures which are not dependent upon the existence of a lookout card. The first are the normal adjudication procedures applicable to every application for a passport. Each application and all documents submitted with it are examined in the Passport Office, a Passport Agency, or at a Foreign Service post abroad, by an individual who has been specially trained in the adjudication of passports.

These adjudicators check the application and related documents to detect any discrepancies on the application itself, or in the evidence submitted in conjunction with the application.

If any questionable statements or omissions of material importance such as those relating to birth, travel to restricted geographical areas, etc. are disclosed by adjudication, the application is held up until the discrepancy is resolved.



This may entail referring the matter to another area of the Passport Office or the Department, or may require an investigation or interview of the applicant, or some other action to resolve the discrepancy.

The second category of procedures relates to the notifications which the Passport Office receives from many sources advising the Passport Office that a named individual may apply for a passport, or renewal of a passport, and requesting some restrictive action on the part of the Passport Office.

As an example of the diversity of these sources, the notifications may come from any of the Departments of the Executive Branch, from security/intelligence agencies, the courts (usually in the form of a court order or warrant), or from the Congress. The notifications are also forwarded by agencies of State governments (usually the law enforcement agencies), and by private individuals (usually attorneys or other interested parties).

The information is almost as diverse as its sources, and might relate to a fugitive from justice; a member of the Communist Party; an individual who is planning to travel to a geographically restricted area of the world; a parent seeking to stop a child from traveling; a person seeking to avoid a subpoena from the courts or Congressional Committees; a report on the loss of citizenship; etc.

If the information warrants, a card of a specified or open expiration date, depending upon the circumstances, is placed in the Lookout File. When an application is received it is searched over the Lookout File and a notation is placed indicating whether there is or is not a Lookout Card. If there is a lookout card then the previous file, containing the notification, along with the application, are referred to the area in the Passport Office having jurisdiction of the action.

The action is normally a notification to some person or agency, prior to issuing passport facilities, along the lines of the notification discussed in the answer to question 15.

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The foregoing procedures were in existence before August 1959, and continue in existence at the present time.

ATTACHMENT B

QUESTION 15

We would appreciate a description of the procedures, if any, within the Department of State for notifying other Government agencies or departments, such as the FBI, CIA and Secret Service, when a person covered by a look-out card or in some other special category applies for a passport or otherwise indicates to the Department his intention to go abroad.

ANSWER - Under procedures in effect for a long period of time the Passport Office, upon request, will advise other Government agencies or departments, such as the FBI, CIA, and Secret Service, of a person's application for passport facilities. This notification is usually effected by the insertion of a card in the lookout file of the Passport Office.

This lookout card serves to alert the employee who searches a passport application across the lookout file that some action must be taken before the application is cleared.

The previous file containing the information upon which the lookout card was placed, together with the application and/or TWX, are then referred to the responsible division in the Passport Office for action.

The responsible Division then complies with the initial request by advising the appropriate agency (usually a named individual or function) by telephone of the receipt of the application. Further action on the application is then held up, pending advice from the agency or department which originally requested to be informed of the person's travel.

Since the Department receives over 1,000,000 passport applications per year, it is generally not possible to notify other Government agencies of the passport application of a particular individual unless the agency has specifically requested that it be notified.

ATTACHMENT B

QUESTION 16

What action was taken by the Department of State, when it received information from the CIA in October 1963 concerning the appearance of Oswald at the Soviet and Cuban Embassies in Mexico City? Did the Department of State at this time undertake to prepare a look-out card or take any action intended to result in the cancellation of Oswald's passport? If so, please describe the actions taken.

ANSWER - A CIA report concerning (Lee Henry)Oswald's appearance at the Soviet Embassy in Mexico City only (the report did not contain any information regarding his appearance at the Cuban Embassy in Mexico City) was received in the Passport Office on October 16, 1963. Records show that the passport file on Lee Harvey Oswald was obtained and that the CIA report was read by Mr. James F. Richie, an attorney, and by Mr. Carroll H. Seeley, Jr., a supervisory attorney in the Legal Division, on October 22, 1963. Since the report indicated no ground for determining that Oswald was ineligible for a passport, a determination was made that no action by the Passport Office was required. No action was, therefore, taken to prepare a lookout card, nor was any action taken intended to result in the cancellation of Oswald's passport.



ATTACHMENT B

QUESTION 17

We would like a description of the procedures, if any, within the Department for revoking a passport already issued should the Department determine or be informed that there are grounds for doing so, and a memorandum on the differences, if any, between the grounds for refusing to issue a passport and the grounds for revoking a passport already issued in the kinds of situations which might reasonably have applied to Lee Harvey Oswald.

ANSWER - The attached reprint from the Federal Register of January 12, 1962 sets forth the substantive and procedural regulations governing the denial and revocation of a passport. The grounds for the revocation of a passport and the refusal of a passport are identical.

There are no differences between the substantive or regulatory grounds for refusing to issue a passport, and the grounds for revoking a passport. When grounds are discovered for the revocation of a passport a letter of tentative withdrawal is prepared, setting forth the specific regulation under which the action is taken, and the basis for the action. The bearer of the passport is requested to surrender his passport and is informed of his right to seek a review of the Department's action, in accordance with the Department's Passport Regulations. (See Attached Reprint from the Federal Register.)

There were no grounds consonant with the Passport Regulations to take adverse passport action against Oswald prior to November 22, 1962.

REPRINTED FROM  
THE NATIONAL ARCHIVES  
OFFICE OF THE NATIONAL ARCHIVES  
1934  
OF THE UNITED STATES

# FEDERAL REGISTER

VOLUME 27 NUMBER 8

Washington, Friday, January 12, 1962

## Title 22—FOREIGN RELATIONS

Chapter I—Department of State  
[Dept. Reg. 100.675]

### PART 51—PASSPORTS

Pursuant to the authority vested in me by Paragraph 126 of Executive Order No. 7856 dated March 31, 1933, issued under the authority of section 1 of the Act of Congress approved July 3, 1926, 44 Stat. 887 (22 U.S.C. 211a) and section 4 of the Act of May 26, 1949, 63 Stat. 111 (5 U.S.C. 151c) I hereby revise §§ 51.135 to 51.170 inclusive of Part 51 of Title 22 of the Code of Federal Regulations to read as follows:

#### § 51.135 Denial of passports to members of Communist organizations.

A passport shall not be issued to, or renewed for, any individual who the issuing officer knows or has reason to believe is a member of a Communist organization registered or required to be registered under section 7 of the Subversive Activities Control Act of 1950 as amended. (50 U.S.C., sec. 786.)

#### § 51.136 Limitations on issuance of passports to certain other persons.

In order to promote and safeguard the interests of the United States, passport facilities, except for direct and immediate return to the United States, shall be refused to a person when it appears to the satisfaction of the Secretary of State that the person's activities abroad would: (a) Violate the laws of the United States; (b) be prejudicial to the orderly conduct of foreign relations; or (c) otherwise be prejudicial to the interests of the United States.

#### § 51.137 Tentative denial of passports and available administrative procedures.

Any person whose application for a passport or renewal of a passport has been tentatively denied under § 51.135 or § 51.136 shall be entitled to a notification in writing of the tentative denial. The notification shall set forth clearly and concisely the specific reasons for the denial and the procedures for review available to the applicant.

#### § 51.138 Procedure for review of tentative denial.

(a) A person whose application for a passport or renewal of a passport has been tentatively denied in accordance with § 51.135 or § 51.136 shall be entitled, upon request, and before the denial becomes final, to present to the Passport Office any information he deems relevant to support his application. He shall be entitled to appear in person before a Hearing Officer in the Passport Office; to be represented by counsel; to present evidence; to be informed of the evidence upon which the Passport Office relied as a basis for the tentative denial; to be informed of the source of such evidence; and to confront and cross-examine adverse witnesses.

(b) The applicant shall, upon request by the Hearing Officer, confirm his oral statements in an affidavit for the record. After the applicant has presented his case, the Passport Office shall review the record and advise the applicant of its decision. In making its decision, the Passport Office shall not take into consideration confidential security information that is not made available to the applicant in accordance with paragraph (a) of this section. If the decision is adverse to the applicant, he shall be notified in writing, and the notification shall state the reasons for the decision. Such notification shall also inform the applicant of his right to appeal to the Board of Passport Appeals under § 51.139.

#### § 51.139 Appeal by passport applicant.

In the event of a decision adverse to the applicant, he shall be entitled within thirty days after receipt of notice of such decision to appeal his case to the Board of Passport Appeals provided for in § 51.150.

#### § 51.150 Creation and functions of Board of Passport Appeals.

There is hereby established within the Department of State a Board of Passport Appeals, hereinafter referred to as the Board, composed of not less than three members of the Department to be designated by the Secretary of State. The Board shall hear all appeals under

§ 51.135. The Board shall adopt and make public rules of procedure to be approved by the Secretary.

#### § 51.151 Organization of Board.

The Board of Passport Appeals shall consist of three or more members designated by the Secretary of State, one of whom shall be designated by the Secretary as Chairman. The Chairman shall assure that there is assigned to hear the appeal of any applicant a panel of not less than three members including himself or his designee as presiding officer, which number shall constitute a quorum.

#### § 51.152 Chairman.

The Chairman, or his designee, shall preside at all hearings of the Board, and shall be empowered in all respects to regulate the course of the hearings and to pass upon all issues relating thereto. The Chairman, or his designee, shall be empowered to administer oaths and affirmations.

#### § 51.153 Counsel to the Board.

A Counsel, to be designated by the Secretary of State, shall be responsible to the Board for the schedule and presentation of cases; for assistance in legal and procedural matters; for providing information to the applicant as to his procedural rights before the Board; for maintenance of records; and for such other duties as the Board, or the Chairman on its behalf, may determine.

#### § 51.154 Examiner.

The Board may, in its discretion, appoint an examiner in any case, who may, with respect to such case be vested with any or all authority vested in the Board or the Chairman, subject to review and final decision by the Board, but an applicant shall not be denied an opportunity for a hearing before the Board unless he expressly waives it.

#### § 51.155 Duty of Board to advise Secretary of State on action for disposition of appealed cases.

It shall be the duty of the Board, on the basis of the evidence on the record, to advise the Secretary of the action it finds necessary and proper to the disposition of the cases appealed to it, and to this



Friday, January 12, 1962

FEDERAL REGISTER

and the Board may first call for clarification of the record; make further investigation; or take other action consistent with its duties.

**§ 51.156 Basis for findings of fact by the Board.**

In making or reviewing findings of fact, the Board, and all others with responsibility for so doing under §§ 51.133 to 51.154 shall be convinced by a preponderance of the evidence, as would a trial court in a civil case. In determining whether there is a preponderance of evidence supporting the denial of a passport, the Board shall consider the entire record before it. The Board shall not take into consideration any confidential security information which is not part of the record.

**§ 51.157 Decisions of the Board.**

Decisions shall be by majority vote. Voting may be either in open or closed session on any question except recommendations under § 51.155 which shall be in closed session. Decisions under § 51.155 shall be in writing and shall be signed by all participating members of the Board.

**§ 51.158 Delivery of papers.**

Appeals or other papers for the attention of the Board may be delivered personally, by registered mail, or by leaving a copy at the office of the Board at the address to be stated in the notification of adverse decision furnished to the applicant by the Passport Office.

**§ 51.159 Notice of hearing.**

An applicant shall receive not less than five business days notice in writing of the scheduled date and place of hearing, which shall be set for a time as soon as possible after receipt by the Board of the applicant's appeal.

**§ 51.160 Appearance.**

Any party to any proceeding before the Board may appear in person, or by or with his attorney, who must possess the requisite qualifications, as herein-after set forth, to practice before the Board.

**§ 51.161 Applicant's attorney.**

(a) Attorneys at law in good standing who are admitted to practice before the Federal courts or before the courts of any State or Territory of the United States may practice before the Board.

(b) No officer or employee of the Department of State whose official duties have, in fact, included participation in the investigation, preparation, presentation, decision or review of cases of the

class within the competence of the Board of Passport Appeals shall, within two (2) years after the termination of such duties, appear as attorney in behalf of an applicant in any case of such nature, nor shall any one appear as such attorney in a case of such class if in the course of prior government service he has dealt with any aspects of the applicant's activities relevant to a determination of the case.

**§ 51.162 Hearings.**

The record of proceedings held under § 51.138 shall be made available to the applicant in connection with his appeal to the Board. The applicant may appear and testify in his own behalf, be represented by counsel, present witnesses and offer other evidence in his own behalf. The Passport Office may also present witnesses and offer other evidence. The applicant and witnesses may be examined by any member of the Board or by counsel. If any witness whom the applicant wishes to call is unable to appear personally, the Board may, in its discretion, accept an affidavit by him or order evidence to be taken by deposition. Such deposition may be taken before any person designated by the Board and such designee is hereby authorized to administer oaths and affirmations for purposes of the depositions. The applicant shall be entitled to be informed of all the evidence before the Board and of the source of such evidence, and shall be entitled to confront and cross-examine any adverse witness.

**§ 51.163 Admissibility.**

The Passport Office and the applicant may introduce such evidence as the Board deems proper. Formal rules of evidence shall not apply, but reasonable restrictions shall be imposed as to the relevancy, competency and materiality of evidence presented.

**§ 51.164 Privacy of hearings.**

Hearings shall be private. There shall be present at the hearing only the applicant, his counsel, the members of the Board, Board's Counsel, official stenographers, Departmental employees and the witnesses. Witnesses shall be present at the hearing only while actually giving testimony, or when otherwise directed by the Board.

**§ 51.165 Misbehavior before Board.**

If, in the course of a hearing before the Board, an applicant or attorney is guilty of misbehavior, he may be excluded from further participation in the hearing. In addition, an attorney guilty of misbehavior may be excluded from

participation in any other case before the Board.

**§ 51.166 Transcript of hearings.**

A complete verbatim stenographic transcript shall be made of the hearing by qualified reporters, and the transcript shall constitute a permanent part of the record. Upon request, the applicant or his counsel shall have the right to inspect the complete transcript, and to purchase a copy thereof.

**§ 51.167 Notice of decision.**

The Board shall communicate to the Secretary of State the action that it recommends under § 51.155. In taking action upon such recommendation of the Board, the Secretary shall not take into consideration any confidential security information which is not part of the record. The decision of the Secretary shall be promptly communicated in writing to the applicant.

**GENERAL APPLICABILITY OF REVIEW AND APPEAL PROCEDURES**

**§ 51.170 Applicability of §§ 51.138-51.167.**

Except for action taken by reason of noncitizenship or geographical limitations of general applicability necessitated by foreign policy considerations, the provisions of §§ 51.135 to 51.167 shall apply in any case where the person affected takes issue with the action of the Secretary in refusing, restricting, withdrawing, canceling, revoking, or in any other fashion or degree affecting the ability of such person to receive or use a passport.

The regulations contained in this order shall become effective upon publication in the FEDERAL REGISTER. The provisions of section 4 of the Administrative Procedure Act (60 Stat. 238; 5 U.S.C. 1003) relative to notice of proposed rule making and delayed effective date are inapplicable to this order because the provisions thereof involve foreign affairs functions of the United States.

For the Secretary of State.

ROCK W. JONES,  
Deputy Under Secretary  
for Administration.

JANUARY 11, 1962.

[F.R. Doc. 62-437; Filed, Jan. 21, 1962; 2:39 a.m.]

ATTACHMENT B

QUESTION 18

As an aid to its interpretation of the materials in the files of the Department of State and the Immigration and Naturalization Service, the Commission would appreciate a list of the abbreviations and code phrases commonly used in these files together with their translations. We draw your attention in particular to the inter-office telegrams, which contain a large amount of this kind of material.

ANSWER - Enclosed is a copy of "Authorized Abbreviations of the Department of State for Classified Telegrams." Many of these abbreviations are no longer in actual use.

The abbreviations on the left-hand side of Department telegrams refer to the offices within the Department, to the other Government agencies to which copies of the telegram have been distributed. A list of these abbreviations, taken from the Department's telephone directory, is enclosed.

Also enclosed is a list of abbreviations used in wirems and cables in relation to visa matters. This list is found in Volume 9 of the Foreign Affairs Manual.

If there are any other abbreviations which are of interest to the Commission, we would be pleased to furnish translations.



See Appendix A of the Organization Manual for Current Listing of Authorized Symbols. Questions regarding Organization Symbols may be referred to OM/RP, Ext. 5721.

OFFICE SYMBOLS

	Phone	MAIL ROOMS Location	Phone
RM/S	Records Management Staff ..... 6441	2291	6441
RNA	Office of Research and Analysis for Near East and South Asia (See INR) ..... 3294	7828	3294
RNA/MEA	Mid-East, South Asia Division (See INR) ..... 4551	7827	4551
RNA/NE	Near East Division (See INR) ..... 3036	7824A	3036
RP	Regulations and Procedures Staff (See A) ..... 3164	2437	4134
RPA	Office of Inter-American Regional Political Affairs (See ARA) ..... 3002	6701	3233
RPM	Atlantic Political and Military Affairs (See EUR) ..... 4306	6511	3731
RPE	Atlantic Political - Economic Affairs (See EUR) ..... 2094	6511	3731
RSB	Office of Research and Analysis for Soviet Bloc (See INR) ..... 6477	7429	3112
RSB/AC	Asian Communist Areas Division (See INR) ..... 3672	7526	3672
RSB/BE	Bloc International Economic Activities Division (See INR) ..... 4609	3638	4609
RSB/BP	Bloc International Political Activities Division (See INR) ..... 4513	7528	4513
RSB/EA	Eastern Europe Division (See INR) ..... 4922	7426	4922
RSB/SOV	USSR Division (See INR) ..... 3020	7528	3020
RSC	Records Service Center (RMD) ..... 6561	3919	6561
RTCA	Radio Technical Commission for Aeronautics (See Commissions, p. 98) ..... ST 3-0784	2072-T-5	ST 3-0784
S	Secretary of State (See p. 61) ..... 3171	7512	4925
S/AL	Ambassador At Large ..... 3171	7512	4925
S/IL	Special Assistant to the Secretary and Coordinator of International Labor Affairs (See S) ..... 4796	4254	4796
S/P	Counselor and Chairman of Policy Planning Council (See S) ..... 3301	7261A	4677
S/S	The Executive Secretariat (See S) ..... 3301	7512	4925
S/S-O	Operations Center (See S) ..... 4204	7517	4204
S/S-S	Secretariat Staff (See S) ..... 3130	7512	4925
SCA	Administrator, Bureau of Security and Consular Affairs (See p. 83) ..... 3306	4810	4205
SCA	Bureau of Security and Consular Affairs (See p. 83) ..... 3306	4810	4205
SCA/EX	Executive Director (See SCA) ..... 3306	4810	4205
SCI	Office of International Scientific Affairs (See p. 65) ..... 3141	4209	4253
SCS	Office of Special Consular Services (See SCA) ..... 228-4513	9035A5	228-4513
SEA	Office of Southeast Asian Affairs (See FE) ..... 3287	6310	2631
SES	Soviet and Eastern European Exchange Staff (See EUR) ..... 6880	6511	3731
SOA	Office of South Asian Affairs (See NEA) ..... 4703	3243	2586
SOV	Office of Soviet Union Affairs (See EUR) ..... 6906	6511	3731
SPA	Office of Southwest Pacific Affairs (See FE) ..... 3127	6310	2631
ST	Division of Supply and Transportation Management (See OPR) ..... 6147	775 SA-2	3025
STA	Special Trade Activities and Treaties Division (See E) ..... 4426	3818	3905
TA	Trade Agreements Division (See E) ..... 4426	3818	3905
TAC	Trade Agreements, Interdepartmental Committee on (See Committees, p. 91) ..... 2813		
TD	Telecommunications Division (See E) ..... 3550	3818	3905
U	Under Secretary of State (See p. 62) ..... 3351	3612	4925
U/FW	Special Assistant for Fisheries & Wildlife to the Under Secretary (See U) ..... 3035	3714	4440
U/IB	Special Assistant for International Business (See U) ..... 6043	7512	4925
U/PR	Chief of Protocol (See U) ..... 3633	3238	7364
UNP	Office of United Nations Political Affairs (See IO) ..... 3136	6379	
USUN	US Mission to the United Nations (See p. 98) ..... YU 6-2424		
VO	Visa Office (See SCA) ..... 4357	2477	3433
VS	Division of Visual Services (See OPR) ..... 3305	0-250	3103
WE	Office of Western European Affairs (See EUR) ..... 2124	6511	
WLG	Washington Liaison Group (See SCA) ..... 2250	6807	
WST	Office of West Coast Affairs (See ARA) ..... 3858	6809	

See Appendix A of the Organization Manual for Current Listing of Authorized Symbols. Questions regarding Organization Symbols may be referred to OM/OP, Ext. 5721.

OFFICE SYMBOLS

	Phone	MAIL ROOMS Location	Phone
P/SI Special Information Staff .....	6888	2117	6888
P/SR Speech Review Staff (See P) .....	4841	6808	4872
PAB Passport Appeals Board (See Committees, p. 99) .....	9969		
PB Division of Publishing Services (See OPR) .....	4311	481, SA-7	4311
PER Director for Personnel (See A) .....	2318	4803A	5340
PER/BEX Board of Examiners for the Foreign Service (See PER) .....	3058	2236	4890
PER/CDC Career Development & Counseling Staff (See PER) .....	3841	2236	4890
PER/COMP Compensation Division (See PER) .....	128-4876	807, SA-5	128-4876
PER/EMD Employment Division (See PER) .....	2255	2236	4890
PER/JOP Junior Officer Program (See PER) .....	4036	2236	4890
PER/MED Medical Division (See PER) .....	6693	2236	4890
PER/PCS Presidential Commissions Staff (See PER) .....	6622	2236	3433
PER/PMS Program Management Staff (See PER) .....	8147	2236	4890
PER/PPS Personnel Policy and Planning Staff (See PER) .....	4900	2236	4890
PER/POC Personnel Operations Division (See PER) .....	6241	2236	4890
PER/PSD Personnel Services Division (See PER) .....	6284	2236	4890
PIN Policy Committee on Immigration and Naturalization (See Committees, p. 100) .....	2387		
PPT Passport Office (See SCA) .....	5193	3818	5118
PRC Performance Rating Committee (See Comm., p. 99) .....	6286		
PT/DF Passport Agency or (Passport Agents, See Comm., p. 98) 6474		2334	
PUB Review Board for Unofficial Publications (See Committees, p. 99) .....	5732		
RAF Office of Research and Analysis for Africa (See INR) ..	2203	7817A	2203
RAF/NE Northern and Eastern Africa Division (See INR) .....	2683	7817A	2683
RAF/W Western Africa Division (See INR) .....	3006	7817A	2524
RAR Office of Research and Analysis for American Republics (See INR) .....	4850	7534	4884
RAR/E Inter-American Economic Division (See INR) .....	4360	7831	4360
RAR/P American Republics Political Division (See INR) .....	3095	7534	4884
RAR/R American Republics Regional Affairs Division (See INR) .....	4360	7534	4884
RCI Office of Current Indications (See INR) .....	3274	7812	3274
RD Division of Reproduction and Distribution Services (See OPR) .....	5344	3851	4441
RD/D Distribution Branch .....	3351	8844E	3351
REA Office of Inter-American Regional Economic Affairs (See ARA) .....	2308	6909	3233
REC Office of Regional Economic Coordination (See ARA) ..	3073	6909	3233
REP Foreign Reporting Staff (See E) .....	128-6729	3818	3805
RES Office of Research in Economics and Science (See INR) .....	4824	8772	4824
RES/GE The Geographer (See INR) .....	4508	8744	4508
REU Office of Research and Analysis for Western Europe (See INR) .....	2117	7529	2117
REU/BNC British Commonwealth Northern and Central Europe Division (See INR) .....	2723	7535	3474
REU/RO Regional Affairs Division (See INR) .....	3893	7527	3893
REU/WS Western and Southern Europe Division (See INR) .....	3893	7527	3893
RFE Office of Research and Analysis for Far East (See INR) .....	3523	7417	3523
RFE/AC Asian Communist Areas Division (See INR) .....	4573	7417	4573
RFE/NA Northeast Asia Division (See INR) .....	4555	7417	4555
RFE/SA Southeast Asia Division (See INR) .....	2375	7419	2375
RM Division of Records Management (See OPR) .....	2206	2239	2206
RM/A Authentication Section .....	2224	3817	2224
RM/AC Accessioning and Disposition Branch .....	6561	3819	6561
RM/AN Analysis and Distribution Branch .....	3853	2239	3853
RM/R Records and Reference Branch .....	2776	2239	2203

See Appendix A of the Organization Manual for Current Listing of Authorized Symbols. Questions regarding Organization Symbols may be referred to OM TRP, Ext. 5721.

OFFICE SYMBOLS

	Phone	MAIL ROOMS Location	Phone
IJC	International Joint Commission (See Commissions, p. 98) .....		
	ED-33402	Fed Trade	ED-33402
INR	Bureau of Intelligence and Research (See p. 63) .....	7018	3972
INR	Office of The Director of Intelligence and Research (See p. 63) .....		
	2132	6527	3987
INR/CS	Coordination Staff (See INR) .....	6638	3122
INR/EX	Executive Staff (See INR) .....	6524	3327
INR/DDR	Office of the Deputy Director for Research (See INR) ..	6535	5145
INR/DDC	Office of the Deputy Director for Coordination (See INR) ..	6635	4134
INR/SSG	Special Studies Group (See INR) .....	6645	4542
INR/M	Coordinator for Maps (See INR) .....	6647	4858
INR/NIS	NIS Coordinator (See INR) .....	6646	4887
IO	Assistant Secretary for International Organization Affairs (See p. 77) .....		
	4241	6319	2478
IO	Bureau of International Organization Affairs (See IO, p. 77) .....		
	2352	6319	2478
IO/EX	Executive Director (See IO) .....	6319	2478
IRAC	Radio Advisory Committee, Interdepartmental (See Committees, p. 300) .....		
	3461		
ISM	Industrial and Strategic Materials Division (See E) .....	3818	3805
L	Legal Adviser (See p. 64) .....	6417	4754
L/A	Administration & Foreign Service, Assistant Legal Adviser for (L) .....	6417	4754
L/AF	African Affairs, Assistant Legal Adviser for (L) .....	6417	4754
L/ARA	Inter-American Affairs, Assistant Legal Adviser for (L) ..	6417	4754
L/C	International Claims, Assistant Legal Adviser for (L) ..	6417	4754
L/CRP	Cultural Relations and Public Affairs, Assistant Legal Adviser for (L) .....	6417	4754
L/E	Economic Affairs, Assistant Legal Adviser for (L) .....	6417	4754
L/EUR	European Affairs, Assistant Legal Adviser for (L) .....	6417	4754
L/FE	Far Eastern Affairs, Assistant Legal Adviser for (L) .....	6417	4754
L/MF	Multilateral Force, Special Counsel for (L) .....		
L/NEA	Near Eastern, South Asian and African Affairs, Assistant Legal Adviser for (L) .....	6417	4754
L/SFP	Special Functional Problems, Assistant Legal Adviser (L) .....	6417	4754
L/T	Treaty Affairs, Assistant Legal Adviser for (L) .....	6417	4754
L/UNA	United Nations Affairs, Assistant Legal Adviser for (L) ..	6417	4754
LR	The Library (See DPR) .....	2428	4349
LS	Division of Language Services (See DPR) .....	2209A	4803
M	Under Secretary for Political Affairs (See M, p. 62) .....	7512	4925
MA	Maritime Affairs Division (See E) .....	3818	3805
MC	Office of Munitions Control (See G) .....	3818	3805
MDC	Mutual Defense Control Staff (See E) .....	3818	3805
MAC	National Advisory Council on International Monetary and Financial Problems (See Commissions, p. 98) ..		
	2907		
NE	Office of Near Eastern Affairs (See NEA) .....	3243	2586
NEA	Assistant Secretary - Near Eastern and South Asian Affairs (See p. 76) .....	3243	2586
NEA	Bureau of Near Eastern and South Asian Affairs (See NEA) .....	3243	2586
NEA/EX	Executive Director (See NEA) .....	3243	2586
NER	Office of Near Eastern, South Asian Regional Affairs (See NEA) .....	3243	2586
NISC	National Security Council (See Committees, p. 300) .....		
O	Deputy Under Secretary for Administration (See p. 62) ..	7300	7387
O/AA	Deputy Assistant Secretary for Administrative Affairs (See O) .....	7310	4804
O/CL	Special Assistant for Congressional Relations (Appropriations) .....	7310	7387
O/EP	Special Assistant for Employment Practices .....	7310	7387
O/FI	Foreign Service Inspection Corps (See O) .....	3808	6131



See Appendix A of the Organization Manual for Current Listing of Authorized Symbols. Questions regarding Organization Symbols may be referred to OM/RP, Ext. 572L.

OFFICE SYMBOLS

	Phone	MAIL ROOMS	Phone
O/FS	Director General of the Foreign Service (See O) .....	7310	7307
O/FSI	Foreign Service Institute (See O) .....	2109 SA-3	2380
O/PM	Policy Management Staff (See O) .....	7310	7307
O/SL	Special Liaison Staff (See O) .....	7310	7307
OAP	Office of Central American and Panamanian Affairs (See ARA) .....	0909	0233
OA	Office of International Aviation (See E) .....	3018	3005
OB	Office of Budget (See BF) .....	3418	3049
OB/E	Division of Estimates (See OB) .....	3418	3049
OB/PR	Division of Program Review (See OB) .....	3418	3049
OB/R	Division of Reimbursements (See OB) .....	3418	3049
OC	Office of Communications (See OC, p. 78 & 79) .....	44A29	4334
OC/AS	Administrative Staff (See OC) .....	44A29	3213
OC/E	Engineering and Technical Services Division (See OC) .....	44A29	4086
OC/M	Communications Systems Management Division (See OC) .....	44A29	3213
OC/P	Diplomatic Pouch and Courier Operations Division (See OC) .....	44A29	3213
OC/PO	Mail and Pouch Service (See OC) .....	8528	3023
OC/PS	Plans Staff (See OC) .....	44A29	4334
OC/S	Communications Security Division (See OC) .....	44A29	4086
OC/T	Telecommunication Operations Division (See OC) .....	44A29	3231
OES	Office of International Economic and Social Affairs (See IO) .....	6319	3078
OF	Office of Finance (See BF) .....	3430A	4691
OFE	Office of International Finance & Economic Analysis (See E) .....	3018	3005
OF/A	Administrative Assistant (See OF) .....	3430A	4691
OF/ACD	Accounting Division (See OF) .....	3430A	4691
OF/CAR	Caribbean Fiscal Staff (See OF) .....	3430A	4691
OF/C	Special Assistant on Foreign Currency (See OF) .....	3430A	4691
OF/FS	Fiscal Services Division (See OF) .....	3430A	4691
OF/FO	Field Operations Division (See OF) .....	3430A	4691
OIA	Office of International Adm. (See IO, p. 77) .....	6319	3078
OIC	Office of International Conference (See IO, p. 77) .....	3430A	3220
OM	Deputy Assistant Secretary for Management (See A, p. 71) .....	3007	4005
OPR	Director for Operations (See A) .....	3007	4004
OPR/M	Management Staff (See OPR) .....	400, SA-7	3652
OR	Office of International Resources (See E) .....	3018	3005
ORM	Office of Refugee and Migration Affairs (See SCA) .....	4015A	4779
O/SY	Office of Security (See O, p. 63) .....	3017	2595
O/SY/I	Division of Investigations (See O/SY) .....	3017	2595
O/SY/T	Division of Technical Services (See O/SY) .....	3017	2595
O/SY/FO	Division of Foreign Operations (See O/SY) .....	3017	2595
O/SY/E	Division of Evaluations (See O/SY) .....	3017	2595
O/SY/DO	Division of Domestic Operations (See O/SY) .....	3017	2595
O/SY/EX	Executive Office (See O/SY) .....	3017	2595
OT	Office of International Trade (See E) .....	3018	3005
OTM	Office of Telecommunications and Maritime Affairs (See E) .....	3018	3005
P	Assistant Secretary - Public Affairs (See p. 67) .....	4010	4041
P	Bureau of Public Affairs (See P, p. 67) .....	4010	4041
P/EX	Executive Director (See P) .....	4010	4041
P/HO	Historical Office (See P) .....	619, SA-2	3662
P/MS	Office of Media Services (See P) .....	4031	4795
P/ON	Office of News (See P) .....	2009	3221
P/OPS	Office of Public Services (See P) .....	4031	3993
P/PG	Policy Plans and Guidance Staff (See P) .....	4010	4041
P/POS	Public Opinion Studies Staff (See P) .....	3010	3005



**OFFICE SYMBOLS**

See Appendix A of the Organization Manual for Current Listing of Authorized Symbols. Questions regarding Organization Symbols may be referred to OM/RP, Ext. 5721.

OFFICE SYMBOLS		Plan	MAIL ROOMS	Plan
		Location		
A	Assistant Secretary for Administration (See A, p. 70)	4127	7310	4304
A	Bureau of Administration (See A, p. 70)	4127	7310	4304
A/EX	Executive Director for Administration (See A)	5392	4137	3023
AAB	Appointments & Assignments Board of the Foreign Service (See Committees, p. 98)	6200		
AC	Meritorious Awards Committee (See Committees, p. 98)	6161		
ACD	Accounting Division (See BF)	9133	3430A	6091
ACD/AA	Allotment Accounting Branch (See ACD)	6491	3430A	3071
ACD/G	General Accounting Branch (See ACD)	6888	3430A	6491
ACD/RR	Revenue and Receipts Branch (See ACD)	3142	3430A	3142
ACDA	U.S. Arms Control and Disarmament Agency (See p. 85)	6336	3472	6781
ADP	Automated Data Processing Division (See OPR)	6338	39A16	6338
AF	Assistant Secretary-African Affairs (See p. 70)	5374	3438	3632
AF	Bureau of African Affairs (See AF)	4300	3438	3632
AF	Office of Central African Affairs (See AF)	6041	3438	3632
AFE	Office of Eastern and Southern African Affairs (See AF)	3977	3438	3632
AF/EX	Executive Director (See AF)	3740	3438	3632
AF/P	Public Affairs Adviser (See AF)	2905	3438	3632
AFN	Office of Northern African Affairs (See AF)	2288	3438	3632
AFI	Office of Inter-African Affairs (See AF)	6425	3438	3632
AFU	Office of African and Malagasy Union Affairs (See AF)	6431	3438	3632
AFW	Office of West Coast and Malian Affairs (See AF)	3803	3438	3632
AFSI	Advisory Committee for the Foreign Service Institute (See Committees, p. 99)	3841		
AID	Agency for International Development (See p. 84)	8014	0461	7641
AL	Aviation Liaison Division (See E)	6431	3818	3905
AN	Aviation Negotiations Division (See E)	5206	3818	3905
ARA	Assistant Secretary-Inter-American Affairs (See p. 72)	5425	6909	3233
ARA	Bureau of Inter-American Affairs (See ARA, P. 72)	7031	6909	3233
ARA/EX	Executive Director (See ARA)	7031	6909	3233
ARA-				
LA/BR	Office of Brazilian Affairs (See ARA, p. 72)	3044	6909	3233
ARA/P	Public Affairs Adviser (See ARA)	3911	6909	3233
BF	Deputy Assistant Secretary for Budget & Finance (See A, p. 70)	3205	34188	4335
BF/A	Audit Staff (See BF)	4380	34188	4335
BF/S	Systems Staff (See BF)	6018	34188	4335
BFS	Board of the Foreign Service (See Committees, p. 98)	4132		
BNA	Office of British Commonwealth and Northern European Affairs (See EUR)	9938	0511	3731
BP	International Business Practices Division (See E)	9913	3838	3905
CCA	Office of the Coordination of Cuban Affairs (See ARA)	4588	6909	3233
CD	Commodity Programming Division (See E)	4377	3818	3905
CMA	Office of Caribbean and Mexican Affairs (See ARA)	3803	6909	3233
CU	Assistant Secretary for Educational and Cultural Affairs (See CU, p. 60)	3235	0417	3909
CU/ACA	Secretariat to the Advisory Committee on the Arts (See CU, Committees p. 100)	6785	0417	3909
CU/ACS	Secretariat to the US Advisory Commission on International Educational and Cultural Affairs (See CU, Committees p. 100)	2932	0417	3909
CU/AF	Office of African Programs (See CU)	0871	0417	3909
CU/ARA	Office of Inter-American Programs (See CU)	0951	0417	3909
CU/CP	Office of Cultural Presentations (See CU)	6786	0417	3909
CU/ECD	Director Educational and Cultural Programs (See CU)	0838	0417	3909

See Appendix A of the Organization Manual for Current Listing of Authorized Symbols. Questions regarding Organization Symbols may be referred to OM/RP, Ext. 5721.

OFFICE SYMBOLS	Phone	MAIL ROOMS Location	Phone	
CU/-				
ECD/S	Operations Staff, Board of Foreign Scholarships (See CU; Committees p. 100).....	6908	4417	2909
CU/ECS	Office of US Programs and Services (See CU) .....	6944	4417	2909
CU/EUR	Office of European Programs (See CU) .....	2686	4417	2909
CU/EX	Executive Director (See CU) .....	6894	4417	2909
CU/FE	Office of Far Eastern Programs (See CU) .....	6911	4417	2909
CU/IR	Public Information and Reports Staff (See CU).....	2957	4417	2909
CU/MPP	Multilateral Policy Planning Staff (See CU) .....	3478	4417	2909
CU/MSD	Director Multilateral and Special Activities (See CU)...	6919	4417	2909
CU/NEA	Office of Near Eastern and South Asian Programs (See CU) .....	4116	4417	2909
CU/PRS	Policy Review and Research Staff (See CU).....	6881	4417	2909
CU/UCS	Secretariat to the US National Commission for UNESCO (See CU; Committees, p. 100).....	2672	4417	2909
DA	Despatch Agents (See ST p. 98) .....	WH 3-8000		
DOR/XR	External Research Staff (See INR) .....	9492	8733	8492
E	Assistant Secretary-Economic Affairs (See p. 81) .....	3029	9818	8505
E	Bureau of Economic Affairs (See E, p. 81).....	5737	9818	8505
E/ES	Foreign Economic Advisory Staff (See E) .....	3492	9818	8505
E/EX	Director Executive Staff (See E) .....	3178	9818	8505
E/MDC	Mutual Defense Control Staff (See E).....	4377	9818	8505
EA	Office of East Asian Affairs (See FE).....	3317	6310	2631
EE	Office of Eastern European Affairs (See EUR).....	9620	6511	8731
EST	Office of East Coast Affairs (See ARA) .....	2575	6909	8233
EUR	Assistant Secretary-European Affairs (See p. 73) .....	2253	6511	8731
EUR	Bureau of European Affairs (See EUR, p. 73).....	2253	6511	8731
EUR/EX	Executive Director, (See EUR) .....	2987	6511	8731
FAO	US - FAO Intergovernmental Committee (See Committees, p. 99).....	6853		
FBO	Deputy Assistant Secretary for Foreign Buildings (See A) .....	6305	814, SA-2	7903
FD	Foodstuffs Division (See E) .....	9926	9818	8505
FE	Assistant Secretary-Far Eastern Affairs (See FE, p. 75) .....	3019	6310	2631
FE	Bureau of Far Eastern Affairs (See FE) .....	3019	6310	2631
FE/EX	Executive Director (See FE) .....	4834	6310	2631
FS	Fiscal Services Division (See BF).....	6619	3430A	6491
FS/P	Employee Accounts and Reports Branch (See FS) .....	6549	3430A	6491
FS/V	Voucher Examination Branch (See FS) .....	6675	3430A	6491
FSCB	Foreign Service Claim Board (See Committees, p. 99) .....	228-7363		
FSE	Fuels and Energy Division (See E) .....	2041	9818	8505
FTD	Fibers and Textiles Division (See E) .....	2596	9818	8505
G	Deputy Under Secretary for Political Affairs (See p. 63) .....	2232	2832	4925
G/PM	Deputy Assistant Secretary for Politico-Military Affairs (See G, p. 63).....	8153	7910	9496
GCP	General Commercial Policy Division (See E) .....	4427	9818	8505
GER	Office of German Affairs (See EUR).....	4301	6511	8731
GS	Division of General Services (See OPR) .....	4361	3493	4861
GTI	Office of Greek, Turkish & Iranian Affairs (See NEA) ..	2732	8243	2584
GSD	German War Documents Project, Advisory Committee (See Committees, p. 100) .....	2662		
RI	Assistant Secretary for Congressional Relations (See p. 68) .....	3395 or 3277	2838	4887
IBC	International Boundary Commission, United States & Canada (See Commissions, p. 98).....	ST 3-8151	2818 GAO	ST 3-8151
IBWC	International Boundary & Water Commission United States and Mexico (See Commissions, p. 98) .....	3779	6809	8239
IGA	Office of Inspector General, Foreign Assistance (See p. 62).....	2835	6833	2835

2. (2.2 cont'd)

opinion is required before eligibility under section 212(a) of the Act may be determined. Reference should be to the ringleader or, if unknown, to the group involved in such activities and not to any individual applicant who may be victimized by them.

**INVESTIGATIONS:**

All requests for investigation of fraud or suspected fraud concerning any aspect of a visa case or group of cases which can be investigated in the United States. In order that an effective investigation may be conducted, the original documents presented must be forwarded, with a statement of the post's reasons for suspecting that fraud may exist. When necessary, a request may be submitted for the investigation of a matter which is not indicative of fraud, if it appears that the results of an inquiry in the United States will assist the consular officer.

**OPERATIONS:**

All correspondence relating to the internal administration of the visa function at a specific post or at posts in a specific country including: problems arising from actions or requirements of the host government; reports on reciprocity under sections 221(c) and 281 of the Act and section 8 of the Act of September 11, 1957, as amended; deportation cases and others involving specifically authorized direct communication with the Immigration and Naturalization Service; relations of an operating nature with other government agencies including investigations performed on their behalf (e.g. Immigration and Naturalization Service, Public Health Service or their representatives); effect of personnel, supply or equipment problems on visa output; recommendations for awards; preparation of Form FS-514.

**PRIVATE BILLS:**

All correspondence relating to proposed, pending or enacted private legislation.

**PROCEDURES:**

All correspondence suggesting or reporting to the Department efficient methods used in doing visa work; new forms or form letters devised which, if approved, could be of use to many posts in one area or perhaps usable on a world-wide basis. For example, this heading should be used for suggestions concerning improvement in visa filing systems or methods of channeling visa applicants or how and why additional equipment or materials would result in a more efficient visa operation. This subject heading should not be used for suggesting changes in regulations or the substantive notes thereto or forms prescribed by law.

**QUOTA CONTROL:**

All discussion of the allocation and use of quota numbers, of the status of quotas or categories thereof and of the quota control system as a mathematical or mechanical concept, correspondence regarding post problems of registration, quota waiting lists, preparation and submission of Forms FS-469; (and FS-258 in quota immigrant cases). Does not include questions on quota chargeability.

**REGULATIONS AND NOTES:**

All correspondence concerning the organization, clarification, interpretation and completion of regulations and notes (substantive as distinguished from operational and procedural questions). All requests for or changes in the distribution of Visa Transmittal Letters.

**REVIEW:**

All visa correspondence submitted for review in the Department before release to other persons or agencies except for any item that is specifically covered by one of the foregoing headings.

**SECTION 243(g) INDIVIDUAL WAIVERS:**

All correspondence referring to individual cases involving section 243(g) waivers. (Applies to certain posts in Communist or Communist-controlled countries only.)

**STATISTICS:**

All correspondence relating to reports or statistical analyses of visa performance; preparation and submission of Forms FS-258 and FS-258A.

Telegraphic references to standard visa texts.

Telegraphic references to standard visa messages relating to "C" (Transit) visas or "U" (International Organization Alien) visas should refer to them as "Chester - 1", "Chester - 2", "George - 1", "George - 2", etc., in order to minimize the chance of error in transmission.



## 3. (cont'd) (p. 2)

## 3.1 From Department to Foreign Service posts.

All information requested or furnished by the Department and transmitted to Foreign Service posts, by WIRE or telegram, on the matters covered by the paragraphs listed below will refer to the subject heading "VISAS" followed by the indicator of the selected paragraph.

**ONE**

Information supplied by you and/or otherwise available to Department at this time does not warrant a finding that named applicant is ineligible under the cited paragraph(s) of section 212(a) of the Act. If information is hereafter developed at the post which would render him ineligible, the post should take appropriate action.

e.g. "VISAS ONE JOHN DOE (3) and (29)".

**TWO**

Information supplied by you and/or otherwise available to the Department is sufficient to find the named applicant ineligible under the cited paragraph(s) of section 212(a) of the Act.

e.g. "VISAS TWO JOHN DOE (9) and (27)".

**THREE**

The visa issued in this case is to be annotated with the period of time requested in the itinerary submitted or as indicated in the message transmitting the order. See 22 CFR 41.124, Proc. Note 5. (This key word is to be used in conjunction with one transmitting an order of admission.)

e.g. "VISAS FOUR AND THREE JOHN DOE (28) 30 DAYS NEW YORK ONLY".

**FOUR**

The applicant should be informed that the Attorney General has ordered that he be admitted into the United States temporarily pursuant to authority contained in section 212(d)(3)(A) of the Act, if admissible other than under the cited paragraph(s) of section 212(a) of the Act. The order has been approved for the period of time and itinerary submitted, unless this message provides otherwise, and is subject to revocation at any time at the discretion of the Attorney General. Any deviation from the approved itinerary or extension of the period of admission after entry depends upon prior approval of the district director of the Immigration and Naturalization Service having jurisdiction over the geographic area to which the travel is limited. (The telegraphic reference will include the applicant's name, paragraph(s) of section 212(a), any time limitation or other exception from the itinerary submitted, and any special conditions imposed by the order.)

e.g. "VISAS FOUR JOHN DOE (3) and (28) (four months) or (11/25/60)".

**FIVE**

Available information concerning the named applicant establishes his eligibility for the relief provided by section 212(a)(28)(1)(1) of the Act. (See App. A, 22 CFR 42.91(a)(28), Note 3).

e.g. "VISAS FIVE JOHN DOE".

**SIX**

As recent security checks disclose no (additional) derogatory data regarding the applicant, you may process the case to a conclusion.

e.g. "VISAS SIX JOHN DOE".

**SEVEN**

Case cannot be met due to time required to complete security checks and/or other actions necessary before Department will be able to take the action requested in the named applicant's case. Consideration of this matter is being expedited and a decision will be made as promptly as circumstances permit. (May be used together with Visas Eleven). (See App. A, 22 CFR 41.95, B. 1.3)

"VISAS SEVEN JOHN DOE".



## 3. (3.1 cont'd)(p. 4)

**SIXTEEN**

Requested quota numbers not presently available. Report priority on next monthly quota registration report (Form FS-669).

e.g. "VISAS SIXTEEN".

**SEVENTEEN**

Requested quota numbers not presently available. Priority has been recorded for consideration when future allotments are made.

e.g. "VISAS SEVENTEEN".

**EIGHTEEN**

If the named alien is accredited as that term is defined in 22 CFR 41.1, the Department concurs in A-1 classification.

e.g. "VISAS EIGHTEEN JOHN DOE".

**NINETEEN**

Information available in the Department is insufficient to justify finding the named alien ineligible under section 212(a)(27) or (29). If accredited as that term is defined in 22 CFR 41.1, the Department concurs in the classification noted. (Name of alien and classification will be stated in telegram.)

e.g. "VISAS NINETEEN JOHN DOE A-2" or "VISAS NINETEEN JOHN DOE CHESTER-3".

**TWENTY**

Information available in the Department is insufficient to justify finding the named alien ineligible under section 212(a)(27) for G-1 classification.

e.g. "VISAS TWENTY JOHN DOE".

**TWENTY-ONE**

Information available in the Department is insufficient to justify finding the named alien ineligible under section 212(a)(27) or (29) for the classification noted. (This will be used for A-3, G-2, G-3, G-4, G-5.)

e.g. "VISAS TWENTY-ONE JOHN DOE GEORGE-2".

**TWENTY-TWO**

Information available in the Department is insufficient to justify finding the named alien ineligible under section 212(a)(27) or (29) for the classification noted. If visa issued follow Appendix A, 22 CFR 41.122, Note 3.

e.g. "VISAS TWENTY-TWO JOHN DOE A-1, JULY 1 - AUGUST 15, 1961.

**TWENTY-THREE**

Quota under which numbers were requested is exhausted for the current quota year. Should any numbers be returned by other offices an allotment will be made later.

e.g. "VISAS TWENTY-THREE".

**TWENTY-FOUR**

The Department and/or the Immigration and Naturalization Service finds that the circumstances reported or otherwise known in the case of the named applicant, who is ineligible for a visa under the cited paragraphs of section 212(a) of the Act, do not warrant exercise of the discretionary authority of section 212(d)(3)(A), inasmuch as the proposed visit is not considered to be in the national interest and/or the alien is otherwise not qualified therefor. The case should be re-submitted to the Department with substantiating details if you believe that this action should be reconsidered, particularly if it appears that the refusal adversely affects the foreign relations of the United States or the public relations of the Department if it is otherwise embarrassing.

e.g. "VISAS TWENTY-FOUR JOHN DOE (28)".

## 3. (3.1 cont'd)(p. 3)

**EIGHT**

Telegraph named applicant's travel plans in advance of his arrival in the United States.

e.g. "VISAS EIGHT JOHN DOE".

**NINE**

Report final action taken in named applicant's case. The report should be transmitted by OMV unless a reply by telegram or STCOR telegram is directed.

e.g. "VISAS NINE JOHN DOE TELEGRAPH", or  
"VISAS NINE JOHN DOE STCOR".

**TEN**

The Department has been informed that named alien plans to enter the United States. If he applies for any kind of visa the consular officer should suspend action and request an advisory opinion. (Brief identifying data will appear in telegram.)

e.g. "VISAS TEN JOHN DOE Age 27 Polish born. Last address Hamburg, Germany (no street). Last applied Warsaw January, 1951".

**ELEVEN**

Issuance of a visa is left to your discretion if you are satisfied that he is not ineligible. If information is hereafter developed at the post which would render him ineligible, the post should take appropriate action. (May be used together with Visas Seven).

e.g. "VISAS ELEVEN JOHN DOE".

**TWELVE**

The Attorney General has ordered that applicant be admitted to the United States pursuant to provisions of section 212(a)(28)(I)(ii) of the Act, if otherwise admissible. See App. A, 22 CFR 42.91(a)(28), Note 4.4. Above information is not classified and may be repeated to applicant. (Name of applicant, date of Attorney General's Order, and IHS File No. of case will be stated.)

e.g. "VISAS TWELVE JOHN DOE Order June 1, 1959, IHS (No. & file no.)".

**THIRTEEN**

Telegraph immediately a complete status report on this case. If named applicant is found to be eligible, expedite action because of special interest: if a non-immigrant and ineligible other than under paragraphs (27) or (29), submit urgently for possible 212(d)(3)(A) action. (Telegram will identify interested party, if pertinent.)

e.g. "VISAS THIRTEEN JOHN DOE Nonimmigrant Representative James, Kentucky STCOR".

**FOURTEEN**

Following quota numbers are allocated for category and month indicated. This allotment should be verified against your request. Any quota numbers that will not be used should be returned to the Department urgently. This allotment lists in sequence (a) total of quota numbers allocated; (b) quota from which allocated; (c) preference or nonpreference category for which allocated; (d) quota number(s); (e) month quota number(s) should be issued; and (f) priority date if applicable.

e.g. "VISAS FOURTEEN Three German first 900 through 902 July. One British sub-quota Jamaica first 25 August. Four Austrian nonpreference 4 through 7 September prior December 1, 1951".

**FIFTEEN**

Requested quota numbers are not available for an earlier month.

e.g. "VISAS FOURTEEN AND FIFTEEN Three German first 903 through 905 August".

## 3. (3.1 Cont'd) (p. 6)

Although standard visa texts EIGHTY-ONE through NINETY which follow will be received from the Department, they will be prepared in the respective district offices of the Immigration and Naturalization Service and the Department normally will not have knowledge of the status of such cases. Any additional information, qualifications, or conditions attendant upon approval or revocation will be added at the end of the standard text. When a petition is revalidated by the Immigration and Naturalization Service, the word "REVALIDATED", followed by the date of the revalidation, will be inserted after the paragraph number for standard visa texts EIGHTY-ONE through EIGHTY-SIX.

**EIGHTY-ONE**

The Attorney General has approved first preference status for the named alien as an expert of the nature indicated on the basis of a petition filed on the stated date by the named sponsor. (Immigration Service file number, if any, name of alien, specialty of alien, filing date and validity (WRITTEN OUT IN LETTERS) of petition, name of sponsor will be given in that order.)

e.g. "VISAS EIGHTY-ONE A-6181242 JOHN DOE PROFESSOR PHILOSOPHY JULY 12, 1963 SIX MONTHS SLEEPY EYE UNIVERSITY, SLEEPY EYE MINNESOTA".

**EIGHTY-TWO**

The Attorney General has approved second preference status for the named alien or aliens on the basis of a petition filed on the stated date by the named sponsor whose relationship is given. (DS file number, if any, name of alien(s), filing date of petition and name and relationship of petitioner will be given in that order.)

e.g. "VISAS EIGHTY-TWO A-6181242 JOHN AND MARY DOE AUGUST 30, 1963 HENRY DOE SON".

**EIGHTY-THREE**

The Attorney General has approved third preference status for the named alien or aliens on the basis of a petition filed on the given date by the named petitioner who is the spouse and/or parent. (DS file number, if any, name of alien or aliens, filing date of petition and name of petitioner will be given in that order.)

e.g. "VISAS EIGHTY-THREE A-6181242 MARY AND OLGA DOE JULY 21, 1963 HENRY DOE".

**EIGHTY-FOUR**

The Attorney General has approved fourth preference status for the named alien on the basis of a petition filed on the given date by the named petitioner whose relationship is given. (DS file number, if any, name of alien, filing date of petition and name and relationship of petitioner will be given in that order.)

e.g. "VISAS EIGHTY-FOUR A-6181242 MARY DOE JULY 1, 1963 HENRY DOE BROTHER".

**EIGHTY-FIVE**

The Attorney General has approved nonquota status for the named alien on the basis of a petition filed on the given date by the named petitioner whose relationship is given. (DS file number, if any, name of alien, filing date of petition and name and relationship of petitioner will be given in that order.)

e.g. "VISAS EIGHTY-FIVE A-6181242 MARY DOE AUGUST 12, 1963 HENRY DOE HUSBAND".

**EIGHTY-FIVE ADOPTED ORPHAN**

The Attorney General has approved nonquota status for the named alien as an eligible orphan adopted by the named petitioner and spouse, with the assistance of the named social agency. (The term "adopted orphan", name of alien, DS file number, if any, filing date of petition, name of petitioner and spouse and the name of the interested social agency, if any, shall be given in that order.)

e.g. "VISAS EIGHTY-FIVE ADOPTED ORPHAN MARY DOE AKA KIM A-12 666 DECEMBER 15, 1963 JOHN AND ELIZABETH DOE HOLT ADOPTION PROGRAM".

**EIGHTY-FIVE ORPHAN TO BE ADOPTED**

The Attorney General has approved nonquota status for the named alien as an eligible orphan to be adopted by the named petitioner and spouse, with the assistance of the named social agency. (The term "orphan to be adopted", name of alien, DS file number, if any, filing date of petition, name of petitioner and spouse and the name of the interested social agency, if any, shall be given in that order.)

e.g. "VISAS EIGHTY-FIVE ORPHAN TO BE ADOPTED ROBERT DOE AKA KIM A-13 777 DECEMBER 15, 1963 WILLIAM AND MARY DOE NATIONAL CATHOLIC WELFARE CONFERENCE".



3. (3.1 Con't) (p. 5)

**TWENTY-FIVE**

The applicant should be informed that the Attorney General has ordered that he be admitted into the United States temporarily in a C-2 classification for the period stated in paragraph (c), as provided in section 101(a)(15)(C) of the Act and pursuant to authority contained in section 212(d)(3)(A), despite inaccessibility under the cited paragraph(s) of section 212(a). This order is subject to revocation at any time at the discretion of the Attorney General and subject to the following conditions:

- (a) That the applicant shall proceed directly to the immediate vicinity of the United Nations Headquarters District and remain there continuously, departing therefrom only if required in connection with his departure from the United States. The term "United Nations Headquarters District and its immediate vicinity" has been defined as "that area lying within a twenty-five mile radius of Columbus Circle, New York, N. Y."
- (b) That the applicant shall be in possession of a valid visa or other form of valid authority assuring his entry into the country whence he came, or to some other foreign country, following his sojourn in the United Nations Headquarters District;
- (c) That upon termination of the particular mission or assignment, for which the subject is admitted, or upon cancellation of his accreditation by the United Nations, whichever is sooner, he will depart promptly from the United States;
- (d) That in case of abuse of his privilege to reside temporarily in the United States by any activity in this country outside his official capacity, including the recording of speeches outside his official capacity in and at the United Nations Headquarters District, he will be in violation of the conditions of his admission to the United States.

(The telegraphic reference to this paragraph will include the name of the applicant and the paragraph(s) of section 212(a).)

e.g. "VISAS TWENTY-FIVE JOHN DOE(25)".

**THIRTY**

A check of the Habana visa files was negative.

e.g. "VISAS THIRTY JOHN DOE".

**THIRTY-ONE**

A check of the Habana visa files reveals possible derogatory information, CNV to follow.

e.g. "VISAS THIRTY-ONE JOHN DOE".

**SIXTY-ONE**

The Attorney General has refused to concur in the granting of a waiver of his sanction under section 243(g) of the Act in the case of the alien(s) named.

e.g. "VISAS SIXTY-ONE JOHN DOE".

**SIXTY-TWO**

The Attorney General has concurred in the granting of a waiver of his sanction under section 243(g) of the Immigration and Nationality Act in the case of the alien(s) named.

e.g. "VISAS SIXTY-TWO JOHN DOE".

**SIXTY-THREE**

The Attorney General has concurred in the granting of a waiver of his sanction under section 243(g) of the Act in the case of the alien(s) named. The following quota number(s) is (are) allotted for issuance in the month(s) specified; priority date given where applicable. If unused it (they) should be returned urgently to the Department.

e.g. "VISAS SIXTY-THREE JOHN DOE HUNGARIAN SECOND 182 OCTOBER PRIOR JUNE 1, 1954" or "VISAS SIXTY-THREE JOHN DOE HUNGARIAN SECOND 182 OCTOBER PRIOR JUNE 1, 1954 MARY DOE SERBIAN SECOND 215 NOVEMBER".

## 3. (3.2 Cont'd) (p. 2)

**ANTELOPE**

The visa in question has been issued. (State applicant's name, classification and date visa issued.)

e.g. "VISAS ANTELOPE JOHN DOE, B-1, MAY 12".

**BEAR**

Advisory opinion is requested in case involving application for visa for official travel for purpose and duration of stay indicated. (State applicant's name, occupation, place and date of birth, type of passport held, and purpose and duration of visit.)

e.g. "VISAS BEAR JOHN DOE, ENGINEER, WISCONSIN, MAY 1, 1920, SPECIAL PASSPORT, VISIT OKLAHOMA STATE FAIR THREE WEEKS".

**CHIPMUNK**

Section 212(d)(3)(A) waiver recommended for alien ineligible under section 212(a)(28). (See App. A, 22 CFR 42.90, Note 6.13 for telegraphic form to be used.)

**DOKEY**

Advisory opinion is requested in case involving application for visa for nonofficial travel. (See Appendix A, 22 CFR 42.90, Note 6.13 for telegraphic form to be used.) When a section 212(d)(3)(A) waiver is desired, if Department finds alien ineligible, add key word "CHIPMUNK" at end of message.

**EAGLE - SY**

Same check through SY/I - Liaison is requested in case involving application for visa for nonofficial travel. (See Appendix A, 22 CFR 42.90, Note 6.13 for telegraphic form to be used.)

**PROG**

Following quota numbers are requested for category and month indicated. The request lists in sequence, (a) total of numbers requested; (b) quota for which requested; (c) preference or nonpreference category for which requested; (d) month for issuance; and (e) priority date if applicable.

e.g. "VISAS PROG THREE GERMAN FIRST JULY. TWO AUSTRIAN SECOND AUGUST. FOUR ITALIAN THIRD SEPTEMBER PRIORITY JUNE 1, 1950".

**GIRAFFE**

Following quota number(s) returned unused.

e.g. "VISAS GIRAFFE GERMAN FIVE THROUGH TEN. ITALIAN 240 THROUGH 270".

**HORSE**

The named alien who has been granted a \_\_\_\_\_ symbol visa (state class of visa) is arriving at the indicated port of debarkation on the date and via the transportation noted.

e.g. "VISAS HORSE JOHN DOE B-2 NEW YORK JULY 4 SAS FLIGHT 1".

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State LEE HARVEY OSWALD

Commission No. 2

Commission Exhibit No. 950

REPORT OF THE

Commission Exhibit No. 950

DEPARTMENT OF STATE

LEE HARVEY OSWALD



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\* Page 3 of this document contains classified information.

LEE HARVEY OSWALD - CHRONOLOGY OF CONTACTS  
WITH THE DEPARTMENT OF STATE

The first contact of the Department of State with Lee Harvey Oswald came in September 1959, just before Oswald's release from active service in the Marine Corps when he applied for a passport in Los Angeles. From that time until his death the Department of State records show that he came in contact with the Department a number of times both in the United States and in Moscow. Oswald applied for passports in September 1959 and June 1963 and for a renewal in July 1961; he made an attempt to renounce his citizenship in October 1959; he applied for a non-quota immigrant visa for his wife, a Soviet citizen, in July and August 1961; and he applied for a loan to pay for passage to the United States for himself, his wife, and their infant daughter in the spring of 1962. Separate memoranda attached hereto describe the laws, regulations, policies and procedures relevant to each of these matters. This paper presents a chronological narrative of Oswald's contacts with the Department.

Passport Application in Los Angeles - September 1959

Oswald appeared at the Los Angeles passport agency of the Department of State on September 4, 1959, and there executed a passport application. In support of that application he furnished a paper from the Separation Section, U.S. Marine Corps, El Toro, Santa Ana, California,



certifying that he was scheduled to be released from active duty with the Marine Corps on September 11, 1959. Oswald's passport application stated that the purpose of his trip was to attend the College of Albert Schweitzer in Switzerland, the University of Turku in Finland, and to visit other countries as a tourist. He listed the countries to be visited as Cuba, Dominican Republic, England, France, Switzerland and Russia. He stated that his point of departure would be New Orleans and his approximate departure would be September 21, 1959. The passport file shows that a Marine Corps Reserve inactive ID card and a birth certificate were submitted as part of the passport application. The passport was issued routinely on September 10, 1959.

First Appearance at Moscow Embassy - October 1959

Six weeks later on October 31, 1959, Oswald appeared at the United States Embassy in Moscow and stated to the Consul Officer that he wished to renounce his American citizenship and that he had applied to become a citizen of the Soviet Union. He presented his passport to the interviewing officer and submitted the following undated hand-written statements:

I Lee Harvey Oswald do hereby request that my present citizenship in the United States of America, be revoked.

I have entered the Soviet Union for the express purpose of applying for citizenship in the Soviet Union, through the means of naturalization.

My request for citizenship is now pending before the Supreme Soviet of the U.S.S.R.



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I take these steps for political reasons. My request for the revoking of my American citizenship is made only after the longest and most serious considerations.

I affirm that my allegiance is to the Union of Soviet Socialist Republics.

Lee H. Oswald

The Consular Officer talked with Oswald about the seriousness of the step he was proposing to take. Oswald gave as the principal reasons for his decision that "I am a marxist", but declined any further statement of his motives. He also stated that he had been a radar operator in the Marines and had offered to take the knowledge he had learned in that job available to Soviet officials when he became a Soviet citizen.

The Consular Officer told Oswald that the Consulate was then closed, but that he could come back to execute the appropriate documents at any time during normal business hours. It is clear from the report of the Consular officer to the Department that in view of the seriousness of the step, the fact that Oswald was only 20 years old at the time, and the fact that in another recent case the "defector" had changed his mind after receiving a less than warm welcome from the Soviets, the attitude of the Embassy in Moscow was to attempt to delay Oswald's formal act of renunciation. This was in line also with the general policy of the Department to discourage expatriation of American citizens.

Oswald Settles in Russia - Fall, Winter 1959

Oswald never returned to execute the formal papers. Instead, following the interview of October 31, Oswald directed another letter from Moscow to the Embassy dated November 3, 1959, as follows:

I, Lee Harvey Oswald, do hereby request that my present United States citizenship be revoked.

I appeared in person, at the consulate office of the United States Embassy, Moscow, on Oct. 31st, for the purpose of signing the formal papers to this effect. This legal right I was refused at that time.

I wish to protest against this action, and against the conduct of the official of the United States consular service who acted on behalf of the United States government.

My application, requesting that I be considered for citizenship in the Soviet Union is now pending before the Supreme Soviet of the U.S.S.R. In the event of acceptance, I will request my government to lodge a formal protest regarding this incident.

Lee Harvey Oswald

It was also noted at the time both by the Embassy officers familiar with the case and by American journalists who had interviewed Oswald that while Oswald appeared angry at the Embassy for not accepting his application at once, he failed to return to the Embassy, as he knew he could, to execute the papers. The reports suggest that Oswald might have deliberately left himself an opening by not executing these papers, perhaps waiting to see how his application for Soviet citizenship would fare.

In the last days of November 1959, Oswald apparently left his hotel in Moscow without informing either the Embassy or American correspondents who had been in touch with him there he was headed. The Embassy reported this fact to Washington and undertook to inform the Department of any further developments. Nothing further was heard from Oswald for several months. Accordingly, the Department's Passport Office advised Embassy Moscow in March of 1960 that no further action was then required in the case. A final determination was made at this time that Oswald either had or had not expatriated himself.



During this time Mrs. Marguerite Oswald, the mother of Lee Harvey Oswald, made several inquiries of the Department as to her son's whereabouts, including an interview in January 1961. Apparently she also had no knowledge of where he was. She did report, however, that she had a short note from Oswald stating that he could not cash a check for \$20.00 which she had sent and asking that she send cash instead as he was in need of money. She said that she thereupon sent Oswald a letter enclosing a \$20.00 bill but that the letter had been returned to her. She also stated that she had sent him a \$25.00 money order. Mrs. Oswald's contacts with the Department inquiring about her son's whereabouts continued until February 1961. In July 1960, Congressman Jim Wright of Texas received a letter from Mrs. Oswald and forwarded it to the Department. The Department's correspondence with Mrs. Oswald and copies of four letters exchanged with her in July were made available to Congressman Wright.

Oswald begins inquiries concerning return to the United States - February-August 1961

According to the Embassy records, the Department next heard from Lee Oswald in February 1961, when it received a letter postmarked Kinshasa February 5. The letter read as follows:

Dear Sirs:

Since I have not received a reply to my letter of December 1960, I am writing again asking that you consider my request for the return of my American passport.



I desire to return to the United States, that is if we could come to some agreement concerning the dropping of any legal proceedings against me. If so, then I would be free to ask the Russian authorities to allow me to leave. If I could show them my American passport, I am of the opinion they would give me an exit visa.

They have at no time insisted that I take Russian citizenship. I am living here with non-permanent type papers for a foreigner.

I cannot leave Minsk without permission, therefore I am writing rather than calling in person.

I hope that in recalling the responsibility I have to America that you remember yours in doing everything you can to help me since I am an American citizen.

Sincerely,  
Lee Harvey Oswald

Apparently, the letter of December 1960 to which he refers was never received.

The Embassy replied to Oswald's letter of February suggesting that he come personally to the Embassy for an interview on which to base a decision concerning the status of his American citizenship. In reply to the Embassy's letter, Oswald wrote on March 22, 1961 that he found it inconvenient to come to Moscow for the sole purpose of an interview since he would have to apply for permission from the authorities in Minsk in order to travel to Moscow for such an interview.

On March 24, 1961, the Embassy wrote again to Oswald concerning an interview. The Embassy's letter stated in pertinent parts:

The Soviet Ministry of Foreign Affairs has always assured the Embassy that it interposes no objections or obstacles to

visits to the Embassy on the part of American citizens in the Soviet Union. As stated in our previous letter a final determination of your present American citizenship status can only be made on the basis of a personal interview. Certain statements of legal force relating to your citizenship status should be made under oath in the presence of a consular officer . . .

You may wish to present this letter to the authorities in Minsk in connection with your application for permission to travel to Moscow.

On May 25, 1961, the Embassy in Moscow received another letter

from Oswald:

Dear Sirs:

In regards to your letter of March 24. I understand the reasons for the necessity of a personal interview at the Embassy, however, I wish to make it clear that I am asking not only for the right to return to the United States, but also for full guarantees that I shall not, under any circumstances, be persecuted for any act pertaining to this case. I made that clear from my first letter, although nothing has been said, even vaguely, concerning this in my correspondence with the Embassy. Unless you honestly think that this condition can be met, I see no reason for a continuance of our correspondence. Instead, I shall endeavour to use my relatives in the United States, to see about getting something done in Washington.

As for going to Moscow, this would have to be on my own initiative and I do not care to take the risk of getting into a awkward situation unless I think it worthwhile. Also, since my last letter I have gotten married.

My wife is Russian, born in Leningrad, she has no parents living, and is quite willing to leave the Soviet Union with me and live in the United States.

I would not leave here without my wife so arrangements would have to be made for her to leave at the same time as I do.

The marriage stamp was placed on my present passport, after some trouble with the authorities, so my status as far as the USSR is concerned, is the same as before, that is, "without citizenship".



So with this extra complication I suggest you do some checking up before advising me further.

I believe I have spoken frankly in this letter, I hope you do the same in your next letter.

Sincerely yours,  
Lee Harvey Oswald

On July 8, 1961, Oswald appeared at the Embassy on his own initiative. He executed under oath an application for the renewal of a passport, and in connection with that application he executed a questionnaire relating to possible expatriating acts. In that questionnaire he stated inter alia that he was not considered a national of the U.S.S.R. by the U.S.S.R.; that he had never sought or obtained registration as a national of a foreign country, and that he had never taken an oath or affirmation or other form of declaration of allegiance to a foreign state. In support of these statements Oswald presented his Soviet document of residence on which, he stated, his nationality was listed as American. The reporting officer at the Embassy noted that the document was a "document of residence for persons without citizenship".

At the July 8 interview, according to the reporting despatch, Oswald stated that despite the wording of the statement which he handed to the Embassy on October 31, 1959, he never in fact actually applied for Soviet citizenship. His application at that time was for permission to remain in the Soviet Union and for a temporary extension of his



tourist visa pending outcome of his request. This application, according to Oswald, contained no reference to Soviet citizenship, nor did he subsequently make any application for Soviet citizenship.

The reporting officer noted that "20 months of the realities of life in the Soviet Union have clearly had a maturing effect on Oswald. He stated frankly that he learned a hard lesson the hard way and that he had been completely relieved about his illusions about the Soviet Union. . . . Much of the arrogance and bravado which characterized him on his first visit to the Embassy appears to have left him."

Oswald stated that he intended to institute an application for an exit visa immediately upon his return to Kinsk. The Embassy returned his American passport to him for use in connection with the exit visa application. The passport was stamped valid for direct return to the United States only. On August 18, 1961, the Department of State sent a memorandum to Embassy Moscow concurring in the conclusion of the Embassy that "there is no available information and/or evidence to show that Mr. Oswald has expatriated himself under the pertinent laws of the U.S."

Oswald begins inquiries concerning a visa for his Russian wife

At approximately the same time as he had discussed his own status with the consular officer in Moscow (July 1961), Oswald inquired about a visa for his wife to accompany him to the United States. On July 11, 1961, he executed a visa petition under Section 205 of the Immigration

and Nationality Act relating to non-quota status for Marina Nicholasna Oswald as the spouse of an American citizen. This petition, together with a check for \$10.00 and a copy of a Soviet marriage certificate showing Oswald's marriage on April 30, 1961, was submitted to the Department by Embassy Moscow on August 23, 1961.

At the same time the Embassy requested a "security advisory opinion" on Mrs. Oswald, with a recommendation that the opinion be favorable and that the petition be approved. The memorandum from the Embassy in Moscow gave the pertinent biographic information about Mrs. Oswald and stated that she was employed as a laboratory assistant in a hospital in Minsk. The Embassy reported that in connection with this employment Mrs. Oswald was a member of the Soviet Trade Union for Medical Workers. The Embassy noted that "such membership is routinely considered to be involuntary" under the section of the Immigration and Nationality Act exempting certain involuntary membership in communist or communist-front organizations from the provisions of inadmissibility contained in the Act (Section 212(a)(25)(I)(1)).

Upon receipt of the request from Embassy Moscow of August 23, the Department initiated a check on Mrs. Oswald with the CIA, the FBI, the Department's own Office of Security, Division of Biographic Intelligence and, since the nationality of the husband was relevant to the petition, the Department's Passport Office. The name checks turned up certain information concerning Lee Oswald but no information indicating a



different conclusion from that contained in the Embassy memorandum of August 28. Accordingly, on October 3, the Department cabled to Moscow that available information concerning the applicant established her eligibility under Section 212(a)(22)(1)(i) of the Act. The Department also forwarded the petition for non-quota status, together with the check, to the District Director of the Immigration and Naturalization Service in Texas by letter dated October 6, 1961.

Correspondence between Oswald and Moscow Embassy  
July 1961 - February 1962

Oswald wrote four letters to the Embassy in Moscow from July to October 1961. He described certain "unusual and crude attempts on his wife at her place of work, apparently on the basis that she was seeking to leave the country". He enclosed copies of his wedding certificate and the birth certificate of his wife; he asked for clarification of the notation on his passport that it was valid only for direct travel to the United States; and he requested the United States Embassy to institute an official inquiry on his behalf in connection with the delay in issuance of an exit visa to him by the Soviet authorities. The Embassy in Moscow replied to Oswald by saying that the question of passport renewal could be discussed only in person at the Embassy, that the petition concerning his wife's status had not yet been approved, and that the Embassy had no way of influencing Soviet action on exit visas.

On November 1, Oswald again wrote to the American Embassy in Moscow stating that his document of residence was good until January 4, 1962.



He wrote that the Soviet officials had said to him that if he had not received an exit visa by the time his document of residence expired, this document would be extended. Oswald expressed his opinion that extension of that document without his consent or request would be unlawful, and asked whether the American Embassy supported this view. In reply the Embassy in Moscow wrote on November 13 that the Soviet document which he had was the type issued to persons considered by the Soviet authorities to have no citizenship and not the type issued to individuals acknowledged to be foreigners. "Meanwhile", the Embassy continued, "your continued retention of your present Soviet passport or an extension thereof, does not prejudice in any way your claim to American citizenship."<sup>2</sup>

Oswald was not satisfied with that reply and renewed his question by letter to the Embassy of December 1, 1961. On December 14 the Embassy again wrote to Oswald that "since you are not considered a Soviet citizen by the authorities in this country, you are entitled to receive a Soviet exit visa upon presentation of a valid foreign national passport. Regarding the latter, as we have indicated to you before, you can take up the matter of renewing your expired American passport upon your next personal appearance at the Embassy."

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<sup>2</sup> The word "passport" here refers to the identification document required of all persons resident in the Soviet Union, and not to a passport in the American sense of the word.

Oswald wrote back on December 27 inquiring how long the processing of his passport would take. He said that <sup>if</sup> a delay could be expected he would make a special trip to Moscow for the purpose of his passport application, but that he preferred to make a single trip at the time his wife's visa application came up. The Embassy replied on January 5, 1962, that the processing of his wife's visa application would still take some time but that "a passport could normally be extended at the Embassy within a single full work day if we are informed of your arrival in advance. Following issuance, the passport will be yours to keep until completion of your travel."

On January 5 Oswald wrote again to the Embassy to say that his document of residence in the U.S.S.R. had been extended until July 5, 1962. He said also that permission for exit visas for himself and his wife had been granted by the Soviet authorities but that his own exit visa would be good for 45 days only. He added that he would like to leave as soon as all documents were finished since there would be an addition to the family in March. Finally, Oswald said, "I would like to make arrangements for a loan from the Embassy or some organization for part of the plane fares. Please look into this and notify me." The Embassy replied on January 15, 1962 that several documents connected with Mrs. Oswald's immigrant visa application were still lacking and that in view of these circumstances Oswald might wish to reconsider



his decision to defer his departure until Mrs. Oswald's documentation was complete. The Embassy letter indicated that it might be easier to provide the necessary evidence that Mrs. Oswald would not become a public charge if Oswald were already in the United States. As to the inquiry concerning a loan, the Embassy indicated that this could be discussed when he appeared at the Embassy.

Oswald replied on January 16, "I certainly will not consider going to the United States alone for any reason, particularly since it appears my passport will be confiscated upon my arrival in the United States." Oswald enclosed with that letter an affidavit of support in Russian executed before a Soviet notary in Kiznek. The Embassy replied on January 24, stating that there was considerable doubt about the adequacies of the affidavit and other documentation which Oswald had sent to test the provisions of United States immigration law. The Embassy letter, signed by the American Consul in Moscow, went on, "I cannot urge you strongly enough to obtain a support affidavit from a close relative in the United States in order to insure that your wife will be able to travel with you." Oswald never furnished such affidavit of support.

In answer to another letter from Oswald dated January 23, 1962, the Embassy wrote to him on January 31 that it was not yet in a position to issue a visa to Mrs. Oswald for two reasons: First, because an approved visa petition had not yet been received from the Immigration



and Naturalization Service, and second, because it was not yet clear that the affidavit of support which he had submitted met the public charge provisions of the immigration law. The Embassy said it "is making every effort to expedite action on your wife's visa application as soon as possible ..." but that it was unlikely that the visa could be issued in time to permit her to travel to the United States before the child was born.

Oswald's Loan Application - January-March 1962

The Embassy had sent a memorandum to the Department on January 16, 1962 referring to some of the above correspondence, and requesting advice concerning authorization to make a loan for air travel to Mr. and Mrs. Oswald under the authority contained in the Foreign Service Manual. The Department wrote to Oswald's mother, Mrs. Marguerite Oswald, in Fort Worth, Texas, stating that Oswald had reported that he had received permission from the Soviet authorities to leave, but that he did not have the necessary funds. In its letter to Mrs. Oswald the Department said that it would arrange to transmit funds supplied by her through official channels in order to arrange for transportation for Mr. and Mrs. Lee Oswald. At the same time the Department got in touch by telephone with the International Rescue Committee in New York to find out if that organization was in a position to furnish funds for the travel of Mr. and Mrs. Oswald from the Soviet Union. The International Rescue Committee replied that it normally did not approve funds in this kind of case but only in the case of refugees.

On January 26 Senator John G. Tower of Texas wrote to the Department of State enclosing correspondence he had received from Lee H. Oswald relating to efforts on his behalf to return to the United States along with his wife. Senator Tower said, "Quite obviously his [Oswald's] inquiry should have been addressed to the Executive branch. For this reason I am forwarding this copy to you for whatever action the Department would consider appropriate." On February 9 the Department wrote to Senator Tower returning the letters he had sent in, and enclosing copies of certain correspondence between Embassy Moscow and Oswald and offering to keep the Senator informed of further developments if he should wish.

On February 1, 1962 the Department wrote to Mrs. Oswald that her son had indicated that he might be able to defray part of the cost of travel. The Department stated it hoped she would be able to raise the remainder of the necessary funds possibly by a loan from a bank or from friends or relatives. The Department discouraged her suggestion that her son's story be made public with an appeal for help. On February 2 the Department cabled the Embassy in Moscow that the loan was not approved pending receipt by the Embassy of an application in accordance with the Foreign Service Manual.

On February 6, the Embassy in Moscow addressed a letter to Oswald with a list of the information necessary to complete an application for a loan. On February 24, 1962, Oswald wrote to the Embassy enclosing



answers to the questions listed in the Embassy's letter. He submitted also an "affirmation" in which he solemnly declared that he was a loyal U. S. national, that he had not lost his citizenship and that all the statements in this application are true.

On March 6 Embassy Moscow requested authorization to make the loan to Oswald for transportation to the United States. The Embassy telegram states that Oswald had estimated that transportation for him and his family would cost \$800, while the Embassy's estimate was \$700. Oswald stated that he could pay \$200. On March 7 the Department replied that a loan for Oswald up to \$500 was authorized.

Processing of Mrs. Oswald's Visa - February-May 1962

On February 23, 1962 the District Director, Immigration and Naturalization Service in San Antonio, Texas, advised the Department and also Oswald directly, that the petition for non-quota immigrant status of Mrs. Oswald had been approved. The District Director advised the Department that the waiver of sanctions imposed under section 243(g) of the Immigration and Nationality Act relating to issuance of immigrant visas in the Soviet Union was not authorized.

The Department advised Embassy Moscow of this decision. The Department instructed the Embassy that if Oswald inquired about the possibility of a waiver of the section 243(g) sanction, he may be informed that full and complete consideration was given to that possibility and it was determined that the sanction should not be



valued. However, the Office of Soviet Affairs in the Department urged reconsideration of the 243(g) decision and addressed memoranda to that effect to the Visa Office, and to the Acting Administrator of the Bureau of Security and Consular Affairs. Soviet Affairs stated that Oswald was an American citizen who defected from the United States and decided to reside permanently in the Soviet Union. Although he made known to the Embassy his original intention to renounce his American citizenship, he never completed the formalities and after due consideration the Passport Office made the decision that Oswald was still an American citizen. It added that:

SCA believes it is in the interest of the US to get Lee Harvey Oswald and his family out of the Soviet Union and on their way to this country as soon as possible. An unstable character, whose actions are entirely unpredictable, Oswald may well refuse to leave the USSR or subsequently attempt to return there if we should make it impossible for him to be accompanied from Moscow by his wife and child.

Such action on our part also would permit the Soviet Government to argue that, although it had issued an exit visa to Mrs. Oswald to prevent the separation of a family, the United States Government had imposed a forced separation by refusing to issue her a visa. Obviously, this would weaken our Embassy's position in encouraging positive Soviet action in other cases involving Soviet citizen relatives of US citizens.

The Soviet Affairs office also related the Oswald case to the general policy of the Department to seek to obtain permission from the Soviets for persons desiring to leave the Soviet Union in order to be with their close relatives who are American citizens.

Accordingly, the Department telegraphed Embassy Moscow on March 27, 1962, to withhold action on its memorandum of March 9. On the same date the Acting Administrator of the Bureau of Security and Consular Affairs wrote to the Commissioner of Immigration and Naturalization requesting reconsideration of the decision to refuse to authorize issuance of an immigrant visa in Moscow to Mrs. Oswald. The Department's letter read in pertinent part as follows:

I appreciate the difficulty this case presents for your Service, because of Mr. Oswald's background, and the fact that granting a waiver of the sanction makes it appear that this Government is assisting a person who is not altogether entitled to such assistance. However, if the Embassy at Moscow is unable to issue Mrs. Oswald a visa, it would appear that she and indirectly the Oswald's newborn child are being punished for Mr. Oswald's earlier indiscretions. I might also point out that this Government has advanced Mr. Oswald a loan of \$500.00 for repatriation.

More important, however, is the possibility that if Mrs. Oswald is not issued a visa by the Embassy, the Soviet Government will be in a position to claim that it has done all it can to prevent the separation of the family by issuing Mrs. Oswald the required exit permission, but that this Government has refused to issue her a visa, thus preventing her from accompanying her husband and child. This would weaken the Embassy's attempts to encourage positive action by the Soviet authorities in other cases involving Soviet relatives of United States citizens.

Because of these considerations and because I believe it is in the best interests of the United States to have Mr. Oswald depart from the Soviet Union as soon as possible, I request that the Section 243(g) sanction be waived in Mrs. Oswald's case.

On May 4 Embassy Moscow telegraphed the Department to urge a decision on the Section 243(g) waiver as soon as possible. The Embassy reported that Oswald knew the petition for non-quota status for his