

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

Harold Weisberg,)
)
 Plaintiff,)
)
 v.) CA No. 75-1996
)
 Department of Justice,)
)
 Defendant.)
)
 _____)

DEFENDANT'S OPPOSITION TO PLAINTIFF'S MOTION
FOR AN ORDER REQUIRING DEFENDANT TO
PAY CONSULTANCY FEE

Preliminary Statement

The posture of this Freedom of Information Act (FOIA) lawsuit is fully detailed in the papers previously filed with this Court, and, most recently, in defendant's Memorandum In Support Of Its Motion For Partial Summary Judgment, filed on May 11, 1979. Subsequent to defendant filing its motion for partial summary judgment, plaintiff noticed depositions of certain individuals and defendant's moved for a protective order. The Court has not yet ruled on either of defendant's motions. Now, plaintiff has moved this Court for an Order Requiring Defendant To Pay Consultancy Fee. Defendant opposes this motion for the reasons discussed below.

Argument

The FOIA, 5 U.S.C. §552(a)(4)(E), provides that:

The Court may assess against the United States reasonable attorney fees and other litigation costs reasonably incurred in any case under this section in which the complainant has substantially prevailed.

Thus, it is an express precondition of the award of attorneys' fees and litigation costs that a FOIA plaintiff must have

substantially prevailed in the litigation. See, e.g.,
Cuneo v. Rumsfeld, 553 F.2d 1360, 1364 (D.C. Cir. 1977).
Although this standard has been generally interpreted by
the Courts to mean that a plaintiff need not ultimately
prevail at a final judgment on the merits, it does require
more than a mere showing that requested information was
disclosed by the agency subsequent to the institution of
the lawsuit. See, e.g., Nationwide Building Maintenance,
Inc. v. Sampson, 559 F.2d 704, 711 (D.C. Cir. 1977);
Vermont Low Income Advocacy Council v. Usery, 546 F.2d 509
(2nd Cir. 1976); Exner v. FBI, 443 F.Supp. 1349, 1353 (S.D.
Cal. 1978).

Since this lawsuit is currently at the stage where
defendant has recently moved for partial summary judgment
regarding the scope of the FBI's search for records respon-
sive to plaintiff's FOIA request, and litigation has not
yet fully begun on the merit of defendant's substantive
withholdings, it is far too early to determine if plaintiff
will "substantially prevail" in the lawsuit. Because sub-
stantially prevailing is the sine qua non for an award of
fees and costs under the FOIA, the Court would abuse its
discretion by ordering such an award at this time.

In addition, the policy objectives of the FOIA's fee
provisions would not be served by an award at this time.
As the Court of Appeals for this Circuit noted, the fee
provision

was not enacted to provide a reward for any liti-
gant who successfully forces the government to
disclose information it wished to withhold. It
had a more limited purpose -- to remove the incen-
tive for administrative resistance to disclosure
based not on the merits of exemption claims, but
on the knowledge that many FOIA plaintiffs do not
have the financial resources or economic incentives
to pursue their requests through expensive litiga-
tion.


Nationwide Building Maintenance v. Sampson, supra, at 711.
See also, S.Rept. No. 93-854, 93d Cong., 2d Sess. (1974),
page 17. It seems clear that plaintiff in this lawsuit has
sufficient incentive -- economic or otherwise -- to
vigorously pursue this litigation as well as several others
in which he is involved.

Finally, even assuming arguendo that plaintiff will
ultimately substantially prevail in this lawsuit, it is not
at all clear that a consultancy fee is an item properly
included in the phrase "litigation costs reasonably incur-
red." See, e.g., Wild v. HEW, Civil No. 4-72-130 (D. Minn.
August 24, 1978) (attached hereto as Appendix A) (fee denied
for reasonable value of time expended by plaintiff). At the
very least, prior to deciding this issue, the Court should
request the parties to fully brief the question, and should
require plaintiff to present a detailed, itemized bill for
the services plaintiff claims to have performed.

CONCLUSION

For the foregoing reasons, plaintiff's Motion For An
Order Requiring Defendant To Pay Consultancy Fee should be
denied.

Respectfully submitted,


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O R D E R

Upon consideration of plaintiff's Motion For An Order Requiring Defendant To Pay Consultancy Fee, the papers filed in support thereof and in opposition thereto, and the entire record herein, it is this ____ day of _____, 1979

ORDERED, that plaintiff's motion be, and it hereby is, denied.

UNITED STATES DISTRICT JUDGE

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing Defendant's Opposition to Plaintiff's Motion for an Order Requiring Defendant to Pay Consultancy Fee; and Order was mailed, postage prepaid, this 6th day of June, 1979, to:

James H. Lesar, Esquire
910 16th Street, N.W.
Suite 600
Washington, D.C. 20006

Betsy Ginsberg
BETSY GINSBERG

72-0132

1 UNITED STATES DISTRICT COURT
2 DISTRICT OF MINNESOTA
3 FOURTH DIVISION

4 JOHN J. WILD,

5 Plaintiff,

6 v.

4-72 Civ. 130

7 UNITED STATES DEPARTMENT OF
8 HEALTH, EDUCATION AND WELFARE,
9 an authority (i.e. agency) of
10 the Government of the United
11 States, and the UNITED STATES
12 DEPARTMENT OF PUBLIC HEALTH,
13 an authority (i.e. agency) of
14 the Government of the United
15 States,

MEMORANDUM ORDER

16 Defendants.

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JAMES MALCOLM WILLIAMS, Esq., Minneapolis, Minnesota, appeared for the plaintiff.

Andrew W. Danielson, United States Attorney, by STEPHEN G. PALMER, Esq., Assistant United States Attorney, Minneapolis, Minnesota, appeared for the defendants.

Dr. John J. Wild brought this action against the United States Department of Health, Education and Welfare (HEW) and the United States Department of Public Health, to obtain disclosure of information he had requested under the Freedom of Information Act, 5 U.S.C. § 552 (FOIA or the Act).

On August 1, 1962, the Public Health Service awarded a grant to the Minnesota Foundation of Saint Paul, Minnesota, to support a project entitled "Medico-Technological Research Unit" GM10063-02. The project was under the scientific leadership of the plaintiff. Apparently during the course of the project, dissention and dissatisfaction developed among the parties involved. On-site visits were conducted in St. Paul, Minnesota on July 17 and 18, 1963, and thereafter the Minnesota Foundation withdrew its sponsorship of Dr. Wild. The grant was continued to December 31, 1963 at which time it expired. The records which the plaintiff seeks are, in general,

1 the correspondence between Mr. Rarig of the Minnesota Foundation
2 and Dr. Tuve and Dr. Brewer of the Public Health Service,
3 memoranda relating to the site visit by Dr. Tuve and Dr. Brewer,
4 and memoranda of telephone calls among the principals involved.

5 The plaintiff's quest for the documents in question
6 commenced on March 24, 1969, at which time he visited the
7 Public Information Center of the Department of Health,
8 Education and Welfare and had an opportunity to make a
9 preliminary review of and copy various documents contained
10 in the government's files.

11 By letter of August 11, 1969, the plaintiff made
12 additional written requests for such documents as had not
13 been furnished to him at the time of his personal visit. By
14 letter of October 21, 1969 from the Associate Director of
15 Information and Public Service, plaintiff was advised that
16 certain letters and records listed by him were not contained
17 in the agency files and others were claimed to be exempt
18 under the then-existing provisions of the FOIA. Thereafter,
19 and by letter of November 14, 1969, plaintiff appealed that
20 decision to the Assistant Secretary for Health and Scientific
21 Affairs of the Department of Health, Education and Welfare.

22 By letter of October 1, 1970, the Assistant Secretary
23 for Health and Scientific Affairs advised the plaintiff that
24 additional specified documents were being made available to
25 him but that others were again "not in the files and cannot
26 be found." The decision that reports of project site visits
27 were exempt was upheld. The present action followed.

28 This action was commenced on February 25, 1972. It
29 has had an extended and tortuous history. It is interesting
30 to note that the minute entry on October 5, 1973 quotes
31 plaintiff's counsel as advising the court that the action is
32 "probably 99% settled."

1 On October 5, 1973, the late Judge Philip Neville issued
2 an order directing the Secretary of the United States Depart-
3 ment of Health, Education and Welfare and those acting at
4 his direction to produce for in-camera inspection by the
5 court and counsel some 14 separate individual documents or
6 categories of documents regarding projects with which Dr.
7 Wild had been connected.

8 On February 8, 1974, plaintiff moved before Chief
9 Judge Edward J. Devitt for an order assigning the action to
10 a judge other than Judge Neville for immediate proceedings
11 in conformity with the order of October 5, 1973. Pursuant
12 to that motion and on February 8, 1974, Chief Judge Devitt
13 ordered that the in-camera inspection required by Judge
14 Neville's order dated October 5, 1973 be assigned to Magistrate
15 Jonathan Earl Cudd. By his report dated July 25, 1974,
16 Magistrate Cudd, after reviewing the documents, segregated
17 the same into so-called "envelope A" containing those documents
18 which the Magistrate deemed privileged under the Act and
19 "envelope B," containing those documents which the Magistrate
20 deemed to be not privileged under the Act. Thereafter and
21 on October 11, 1974, plaintiff filed his objections to the
22 government's production of documents and to the Magistrate's
23 ruling as to access to them.

24 On May 22, 1975, plaintiff filed a motion for an order
25 adjudging Jay Stewart Hunter, Director of Public Services,
26 Department of Health, Education and Welfare; Dr. Robert P.
27 Acres, Office of the Director, Extra-Mural Programs, National
28 Institute of Health; Ms. Mary Goggins, a full-time employed
29 legal assistant of the Department of Health, Education and
30 Welfare; and Roger Egeberg, Assistant Secretary of Health
31 and Scientific Affairs of the Department of Health, Education
32 and Welfare to be in contempt of court for willfully failing

1 to abide by the terms and conditions of the order of Judge
2 Neville. The hearing on this motion was held on June 6,
3 1975 and resulted in an order of September 3, 1975 directing
4 Robert P. Acres to show cause why he should not be held in
5 contempt of court for failure to abide by the order of
6 Judge Neville.

7 Dr. Acres appeared and testified on October 10, 1975,
8 at which time a further order was issued directing Ms. Mary
9 Goggins to similarly show cause why she should not be held
10 in contempt for failure to abide by the order of Judge
11 Neville. Following a hearing on December 12, 1975, this
12 court issued its order of April 9, 1976 reconfirming the
13 production of documents' order of Judge Neville and further
14 directing the Secretary of Health, Education and Welfare to
15 produce such additional documents as would be encompassed
16 within the provisions of 5 U.S.C. § 552. The matter was
17 thereafter referred to Magistrate George G. McPartlin to
18 determine whether or not the government had in fact complied
19 with this court's order by furnishing the documents ordered
20 to be produced by the defendants for in-camera inspection.

21 A hearing was thereafter conducted on June 14, 1976 at
22 which time Magistrate George McPartlin reported to the court
23 that the government had complied with Judge Neville's order
24 of October 5, 1973 and with this court's order of April 9,
25 1976 by furnishing the documents therein ordered to be
26 furnished for in-camera inspection. Plaintiff continued to
27 assert that various documents had been withheld or at least
28 not furnished by the government. The June 14, 1976 hearing
29 resulted in an order of this court dated August 3, 1976
30 adopting the findings and determination of the United States
31 Magistrate that the order of the late Judge Philip Neville
32 dated October 5, 1973 and the order of this court dated

April 9, 1976 had been complied with as to the furnishing of documents by defendants. The court did, however, reserve to plaintiff the right to conduct further discovery on the issue of compliance or the availability of records the plaintiff claimed had not as yet been produced. The court further ordered that the defendants make available to the plaintiff for deposition certain designated agents and employees. All documents which had previously been designated by Magistrate Cudd into "envelope A" and "envelope B" and all additional documents furnished to Magistrate McPartlin, other than the so-called "litigation file," were made available to the plaintiff for inspection and copying.

Trial of the case was conducted on December 20, 1976 at which time the plaintiff testified on his own behalf and introduced various exhibits. Thereafter the parties were allowed an opportunity to submit briefs and proposed findings on the issues remaining.

The FOIA, promulgated in 1966, was intended generally to increase public access to government records. As the Act's legislative history makes clear, such access was intended to be very broad. Congress considered the Act necessary to provide a vehicle through which the public could gain access to official information that Congress thought had unnecessarily been withheld. See Brockway v. Department of Air Force, 518 F.2d 1184, 1186 (8th Cir. 1975). The statute was amended in 1974 to strengthen the disclosure requirements. Cox v. U. S. Department of Justice, 576 F.2d 1302 (8th Cir. 1978).

In the present case the vast majority of the various records and documents sought by the plaintiff have in fact been furnished to him, albeit in piecemeal fashion. Some documents were obtained by him at the time of his visit to

1 the Public Information Center of the Department of HEW. Fol-
2 lowing a second written request for documents, some were
3 denied to him and designated as privileged or confidential
4 under 5 U.S.C. § 552(b)(4) or as intra-agency memorandums or
5 letters under 5 U.S.C. § 552(b)(5).

6 Following plaintiff's request for administrative
7 review of the limited denial of his written request, and by
8 letter of October 1, 1970, additional documents were furnished
9 to him. Following the order of Judge Neville and the hearing
10 before Magistrate Cudd, additional documents, namely those
11 contained in so-called "envelope A," were furnished to him.
12 Following the hearing before Magistrate McPartlin, yet
13 additional documents, those contained in so-called "envelope
14 B," were furnished to him.

15 Despite these disclosures, throughout the entire
16 history of plaintiff's efforts to obtain documents from the
17 defendants, the plaintiff has consistently maintained that
18 yet other documents which the defendants have continued to
19 refuse to furnish to him did at least at one time exist.
20 Such a list is again presented to the court consisting of
21 some twenty-two letters and memos, some eight memos or
22 records of telephone calls, some four items of source material,
23 together with twelve items of miscellaneous varieties. In-
24 terestingly enough, of the approximately twenty-six specific
25 items of documentation sought by the plaintiff as set forth
26 in the complaint, it would appear that only five categories
27 or types of categories therein sought to be obtained by the
28 plaintiff remain on the list of documents not yet obtained
29 by him during the course of this litigation.

30 The real issue remaining before this court is not that
31 of entitlement, i.e., whether the plaintiff is entitled to
32 have the documents now listed by him as not having been

1 turned over now produced by the government, but is, instead,
2 the question of whether or not such documents are indeed
3 presently available. It is the government's position that
4 except as to those documents contained in its litigation
5 file, to which it claims an exemption under 5 U.S.C. §
6 552(b)(5) and which are not the documents plaintiff now
7 designates as not having been produced, it has delivered to
8 the plaintiff all the documents it presently possesses.
9 Running throughout the entire course of this litigation,
10 and in fact predating the litigation, is the defendants'
11 claim that certain of the documents designated by the plaintiff
12 are no longer in existence in government files.

13 In an effort to resolve this dispute the court first
14 referred the matter to a magistrate for a hearing of the
15 type contemplated in Vaughn v. Rosen, 484 F.2d 820 (D.C.
16 Cir. 1973), cert. denied, 415 U.S. 977. The court further
17 specifically made available to the plaintiff by way of
18 discovery and further deposition all of the "responsible
19 employees" who had knowledge of the records sought by the
20 plaintiff together with all employees who operate the computers
21 which might have been used to search out the documents
22 sought by plaintiff in the complaint. The plaintiff chose
23 not to depose such parties, but instead relied upon his own
24 testimony and various documentation to demonstrate, among
25 other things, the absence of various and sundry documents to
26 which reference is made in documents already made available
27 to the plaintiff.

28 The court is satisfied and concludes that from the
29 hearings held before the magistrate and the files, records
30 and proceedings now presented to the court, to the extent
31 that they are physically able to do so, the defendants have
32 furnished to the plaintiff such documents as were sought by

1 him in his complaint and as were ordered to be produced by the
2 late Judge Philip Neville by his order of October 5, 1973.

3 On June 14, 1976, the court granted the plaintiff leave
4 to serve and file an amended complaint so as to include an
5 allegation seeking an award of attorney's fees pursuant to
6 5 U.S.C. § 552(a)(4)(E). Such an amended complaint was
7 filed on August 27, 1976. On November 5, 1976, the court
8 denied the plaintiff's motion for an interim assessment of
9 attorney's fees, but granted leave to renew such motion upon
10 the conclusion of the trial. Such a motion was made, and
11 there is before the court plaintiff's motion for an award of
12 attorney's fees and litigation costs.

13 The general rule is that in the absence of an express
14 contractual or statutory provision or of exceptional circum-
15 stances warranting the exercise of equitable powers, an
16 award of attorney's fees is not available to a prevailing
17 party. Alyeska Pipeline Serv. Co. v. Wilderness Society,
18 421 U.S. 240 (1975). However, the Freedom of Information
19 Act, pursuant to which the present action is brought, pro-
20 vides that the court

21 may assess against the United States reasonable
22 attorney's fees and other litigation costs
23 reasonably incurred in any case under this
section in which the complainant has substan-
tially prevailed.

24 5 U.S.C. § 552(a)(4)(E). The issue the court must resolve
25 is whether the plaintiff has "substantially prevailed" as
26 that term is used in the above statutory provision, and,
27 if attorney's fees are to be awarded, the amount thereof.

28 The Freedom of Information Act was enacted to insure
29 that unnecessary secrecy would not surround information
30 which the public is entitled to have. The attorney's fee
31 provision of the statute has as its underlying purpose the
32 implementation of this right to know by affording citizen

1 access to the courts to enforce it. Quite obviously, without
2 some provision for attorney's fees, the majority of our citizens
3 would be unable to seek vindication of their right to know
4 by the instigation and conduct of litigation. Congress
5 realized that an allowance of fees and costs was necessary
6 in FOIA actions to encourage full public disclosure of
7 government information and has clearly determined that an
8 award of attorney's fees is appropriate and desirable whenever
9 a complainant prevails in FOIA litigation.

10 Legislative history provides some insight into the
11 purpose and function of the provision of attorney's fees.
12 As originally proposed by the House of Representatives, the
13 provision which was to become § 552(a)(4)(E) permitted re-
14 covery of attorney's fees and litigation costs in any FOIA
15 case in which the United States "has not prevailed." H.R.
16 12471, 93d Cong., 2d Sess. (1974). The Senate's somewhat
17 different version proposed an award of attorney's fees in
18 any case where the complainant "substantially prevailed."
19 S. 2543, 93d Cong., 2d Sess. (1974). The Senate bill out-
20 lined four criteria to be considered by the court in ex-
21 exercising its discretion to award attorney's fees: (1) the
22 benefit to the public, if any, deriving from the case; (2)
23 the commercial benefit to the complainant; (3) the nature of
24 the complainant's interest in the records sought; and (4)
25 whether the government's withholding of the records sought
26 had a reasonable basis in law. The Senate report accompanying
27 the bill included the following explanation:

28 Generally, if a complainant has been successful
29 in proving that a government official has wrong-
30 fully withheld information, he has acted as a
31 private attorney general in vindicating an im-
32 portant public policy. In such cases it would
seem tantamount to a penalty to require the
wronged citizen to pay his attorneys' fees to
make the government comply with the law.

S. Rep. No. 93-854, 93d Cong., 2d Sess. 19 (1974).

1 The compromise bill which emerged from conference
2 retained the "substantially prevailed" language of the
3 Senate bill but eliminated the four criteria set forth
4 above. Although the conferees did not wish to preclude the
5 courts from taking such criteria into consideration, they
6 believed the courts should not be limited to these criteria
7 in exercising their discretion. H.R. Rep. No. 93-1380, 93d
8 Cong., 2d Sess. 9 (1974). The conference report stated that
9 the conferees did not intend to make the award of attorney's
10 fees automatic and that such an award lies in the court's
11 sound discretion. The detailed list of criteria governing a
12 court's discretion was eliminated not because the conferees
13 disagreed with it, but because they regarded it as "too
14 delimiting" and "unnecessary." See Vermont Low Income Ad-
15 vocacy Council v. Usery, 546 F.2d 509, 513 (2d Cir. 1976).

16 At the outset, it must be stated that the rendition of
17 a judgment in favor of the plaintiff is not a necessary
18 precondition to the award of attorney's fees and costs under
19 § 552(a)(4)(E). Vermont Low Income Advocacy Council,
20 Inc. v. Usery, supra; Kaye v. Burns, 411 F. Supp. 897 (S.D.N.Y.
21 1976). The fact that the court will enter judgment in
22 favor of the government, dismissing the action, is not
23 determinative against the plaintiff on this issue.

24 In order to obtain an award of attorney's fees in an
25 FOIA action, the plaintiff must show as a minimal prerequisite:
26 (1) that the prosecution of the action could reasonably have
27 been regarded as necessary and (2) that the action had a
28 substantial causative effect on the delivery of the informa-
29 tion ultimately obtained. Vermont Low Income Advocacy
30 Council v. Usery, supra. In the court's opinion both
31 conditions have been fulfilled here.
32

1 With reference to the first condition, plaintiff had,
2 and continues to have, a compelling need for the information
3 sought and ultimately obtained. Plaintiff was not simply an
4 ordinary citizen curious as to information contained in
5 government documents. Plaintiff had, and continues to have,
6 substantial litigation against third parties, the subject
7 matter of which is directly related to the contents of the
8 documents which are the subject of this action. It would
9 appear that the information contained in the documents
10 sought goes both to the subject matter of the third-party
11 litigation and also to the applicability of various tolling
12 provisions of statutes of limitations. Beyond that, plaintiff
13 was of the view that the information sought bore directly
14 upon his professional competence and integrity. By reason
15 of these concerns, plaintiff did and does have a legitimate
16 interest in reviewing the information contained in the
17 defendants' files. The commencement of this action to
18 obtain that review must under the circumstances herein set
19 forth be deemed to have been necessary.

20 As regards the issue of causative effect, the court has
21 no doubt that the commencement and maintenance of this
22 action was directly responsible for the delivery of such
23 documents as the plaintiff has obtained since the commenc-
24 ement thereof. The government suggests that the court has
25 never had to render a decision in this action regarding the
26 right of the defendants to withhold any of the documents,
27 and that it was the 1974 amendments to the Act which in
28 effect resulted in the withdrawal by the defendants of all
29 objections to release of documents to the plaintiff.

0 In the first instance, the court is unable to conclude
1 that it was in fact the 1974 amendments that brought about
2

1 the government's change in position. Magistrate Cudd's
2 report of July 25, 1974 indicates that the defendants claimed
3 their exemption from disclosure under the Freedom of Informa-
4 tion Act, 5 U.S.C. § 552(b)(4) as:

5 trade secrets and commercial and financial
6 information obtained from a person and
7 privileged or confidential

8 and under the provisions of § 552(b)(5) which exempts from
9 disclosure,

10 inter-agency or intra-agency memorandums or
11 letters which would not be available by law to
12 a party other than an agency in litigation
13 with the agency.

14 The court is unable to ascertain that any changes in
15 these two exemption provisions were made by the 1974 amendments.

16 Regardless of the foregoing, it is the court's opinion
17 that it was the order of Judge Neville dated October 5, 1973
18 and this court's later efforts to implement that order that
19 were the causative factors in bringing about the delivery of
20 the information ultimately furnished to the plaintiff. It
21 would appear from a total review of the records that perhaps
22 the tenacity and persistence of the plaintiff and his counsel
23 were such as to instill an attitude of caution and reluctance
24 in the representatives of the defendants responsible for the
25 administration of the Act. Whatever the cause, the court is
26 persuaded that it was the determination of plaintiff and his
27 counsel that was the ultimate causative effect of the pro-
28 duction of documents which this action has produced and not
29 a voluntary tender of the defendant agencies. Under those
30 circumstances the court is satisfied that the plaintiff has
31 "substantially prevailed," as that term is used in § 552(a)(4)(E).

32 The sole remaining question is what constitutes "reason-
able attorney's fees" under the circumstances of these

1 proceedings. Plaintiff's counsel urges an award of attorney's
2 fees in the sum of \$18,125.00 based upon the following:

3	Miscellaneous research, preparation of	
4	documents, preparation for hearings, review	
5	of documents, investigation, telephone calls	
6	and conferences -- 287.5 hours at \$50/hour	\$14,375.00
7	Preparation for trial and trial -- 35 hours	
8	at \$75/hour	2,625.00
9	Post-trial research including preparation	
10	of proposed order and memorandum of law --	
11	15 hours at \$75/hour	<u>1,125.00</u>
12	Total	\$18,125.00

13 In addition counsel seeks out-of-town expenses of \$500.00,
14 two days per diem to Washington, D.C. totalling \$1,000.00,
15 filing fees of \$15.00, and document reproduction costs of
16 \$350.00, all for an additional \$1,865.00 as expenses. Plain-
17 tiff in his own right seeks \$6,000.00 in fees and \$4,365.00
18 in transportation and lodging. Defendant on the other hand
19 urges that if fees are to be allowed, a reasonable award would
20 range from \$1,000.00 to \$1,400.00 on a per case basis.

21 The reported decisions regarding the determination of
22 an amount to be awarded as attorney's fees under the FOIA
23 have reached varying results. See Annot., 36 A.L.R. Fed.
24 530 at 550 (1978). A concise synopsis of the history of §
25 552(a)(4)(E) is contained in American Federation of Govern-
26 ment Employees v. Rosen, 418 F.Supp. 205 (N.D. Ill. 1976).
27 Some of the cases would indicate that there ought be an
28 "average fee" in FOIA cases (Campbell v. U.S. Civil Service
29 Commission, 539 F.2d 58 (10th Cir. 1976)) while others would
30 place primary reliance upon time devoted to the litigation
31 multiplied by an hourly rate (Consumers' Union of United
32 States, Inc. v. Board of Governors of Federal Reserve System,
33 410 F. Supp. 63 (D.D.C. 1976)). The issue has not been before
34 the United States Court of Appeals for the Eighth Circuit.

1 In another context, that court has referred trial judges to
2 the guidelines listed in Johnson v. Georgia Highway Express,
3 Inc., 488 F.2d 714 (5th Cir. 1974) in determining a fair
4 award as and for attorney's fees. See Doe v. Poelker, 515
5 F.2d 541 (8th Cir. 1975), rev. on other grnds., 432 U.S. 519
6 (1977).

7 The court is of the view that consideration should be
8 given to the time and labor factors, the customary fee in
9 the area, the results obtained, and awards in similar cases.
10 In addition, the court deems it appropriate to consider the
11 criteria for a determination of the award as originally
12 suggested in the Senate Committee, namely:

- 13 1. General public benefit;
- 14 2. Vindication of Congressional policy;
- 15 3. Commercial or personal benefit to the plaintiff;
- 16 4. Whether the government had at least a colorable
17 right to withhold.

18 Finally, the court considers it not inappropriate to have in
19 mind some form of maximum recovery, absent complex and
20 extended litigation. The court does not deem itself bound
21 by the designation of hours expended or the rate therefor as
22 set forth in the affidavits of plaintiff's counsel in the
23 materials submitted in support of the motion for attorney's
24 fees. See Lyle v. Teresi, 327 F. Supp. 683 (D. Minn. 1971).

25 In assessing the underlying data submitted in support
26 of the award of attorney's fees by plaintiff's counsel, the
27 court is satisfied that the expenditure of 35 hours in
28 preparation for trial and trial, and the expenditure of 15
29 hours in post-trial research and preparation of a proposed
30 order and memorandum is appropriate. The court deems an
31 appropriate hourly rate therefor to be the sum of \$50.00 per
32 hour or a total fee for such services in the sum of \$2,500.00.

1 The court is unwilling to accept, however, an expenditure of
2 287.5 hours for such legal work as was expended by counsel in
3 this action prior to its trial. Certainly the vast majority
4 of such time must have been expended prior to the institution
5 of litigation, for under no circumstances would the services
6 rendered in this case up to the point of preparation for
7 trial require the hourly endeavor set forth by counsel for
8 plaintiff. By its review of the file the court is satisfied
9 that the services rendered and appearances necessary up to
10 counsel's preparation for trial have a reasonable value of
11 \$1,500.00. The court finds then that a reasonable attorney's
12 fee is the total sum of \$4,000.00 and there shall be added
13 thereto filing fees of \$15.00. Although sought by the
14 plaintiff, under no circumstances does the Act authorize an
15 award for the reasonable value of the time expended by the
16 plaintiff personally or his individual expenses in connection
17 therewith.

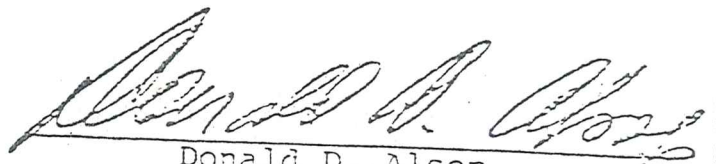
18 Upon the foregoing,

19 IT IS ORDERED That the clerk enter judgment as follows:

20 1. Dismissing the above-entitled action with prejudice
21 and on the merits;

22 2. Granting judgment to the plaintiff in the sum of
23 \$4,015.00 as and for his attorney's fees and costs.

24 DATED: August 24, 1978.

25 
26 Donald D. Alsop
27 United States District Judge